# PCT

# WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



#138 Ex

## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6:

A61K 48/00

A1

(11) International Publication Number: WO 96/36366

(43) International Publication Date: 21 November 1996 (21.11.96)

(21) International Application Number: PCT/US96/07432 vcr, CO 80210 (USA)

(22) International Filing Date: 20 May 1996 (20.05.96)

(30) Priority Data:

446,918 18 May 1995 (18.05.95) US 580,806 29 December 1995 (29.12.95) US

(60) Parent Applications or Grants

(63) Related by Continuation

US 08/446,918 (CIP)
Filed on 18 May 1995 (18.05.95)
US 08/580,806 (CIP)
Filed on 29 December 1995 (29.12.95)

(71) Applicant (for all designated States except US): NATIONAL JEWISH CENTER FOR IMMUNOLOGY AND RESPIRATORY MEDICINE [US/US]; 1400 Jackson Street, Denver, CO 80206 (US).

(72) Inventors; and

(75) Inventors/Applicants (for US only): DOW, Steve, W. [US/US]; 2835 South Vine Street, Denver, CO 80210 (US). ELM-SLIE, Robyn, E. [CA/US]; 2835 South Vine Street, Denver, CO 80210 (US). POTTER, Terence, A. [US/US]; 122 Krameria Street, Denver, CO 80220 (US).

(74) Agents: CONNELL, Gary, J. et al.; Sheridan, Ross & McIntosh, Suite 3500, 1700 Lincoln Street, Denver, CO 80203 (US).

(81) Designated States: AL, AM, AT, AU, AZ, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IS, JP, KE, KG, KP, KR, KZ, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, US, UZ, VN, ARIPO patent (KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA; GN, ML, MR, NE, SN, TD, TG).

**Published** 

With international search report.

(54) Title: GENE THERAPY FOR EFFECTOR CELL REGULATION

### (57) Abstract

The present invention provides a nucleic acid-based therapeutic composition to treat an animal with disease by controlling the activity of effector cells, including T cells, macrophages, monocytes and/or natural killer cells, in the animal. Therapeutic compositions of the present invention include superantigen-encoding nucleic acid molecules, either in the presence or absence of a cytokine-encoding nucleic acid molecule and/or chemokine-encoding nucleic acid molecules, depending upon the disease being treated. The present invention also relates to an adjuvant for use with nucleic acid-based vaccines. Adjuvant compositions of the present invention include an immunogen combined with superantigen-encoding nucleic acid molecules, either in the presence or absence of a cytokine-encoding nucleic acid molecule and/or chemokine-encoding nucleic acid molecules.

BNSDOCID: <WO\_\_\_\_\_9636366A1\_I\_>

## FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AM	Armenia	GB	United Kingdom	MW	Malawi
AT	Austria	GE	Georgia	MX	Mexico
AU	Australia	GN	Guinea	NE	Niger
BB	Barbados	· GR	Greece	NL	Netherlands
BE	Belgium	HU	Hungary	NO	Norway
BF	Burkina Faso	IE	Ireland	NZ	New Zealand
BG	Bulgaria	IT	kaly	PL	Poland
BJ	Benin	JP	Japan	PT	Portugal
BR	Brazil	KE	Kenya	RO	Romania
BY	Belarus	KG	Kyrgystan	RU	Russian Federation
CA	Canada	KP	Democratic People's Republic .	SD	Sudan
CEF	Central African Republic		of Korea	SE	Sweden
CG	Congo	KR	Republic of Korea	. SG	Singapore
CH	Switzerland	KZ	Kazakhstan	SI	Slovenia
a	Côte d'Ivoire	u	Liechtenstein	SK	Slovakia
CM	Cameroon	LK	Sri Lanka	SN	Senegal
CN	China	LR	Liberia	SZ	Swaziland
cs	Czechoslovakia	LT	Lithuania	· TD	Ched
cz	Czech Republic	LU	Luxembourg	TG	Togo
DE	Germany	LV	Latvia	TJ	Tajikistan
DK	Denmark	MC	Monaco	TT	Trinidad and Tobago
EE	Estonia	MD	Republic of Moldova	UA	Ukraine
ES	Spain	MG	Madagascar	UG	Uganda
FI	Finland	ML	Mali	US	United States of America
FR	France	MN	Mongolia	UZ	Uzbekistan
GA	Gabon	MR	Mauritania	VN	Viet Nam

BNSDOCID: <WO\_\_\_\_\_9636366A1\_I\_>

WO 96/36366 PCT/US96/07432

# GENE THERAPY FOR EFFECTOR CELL REGULATION FIELD OF THE INVENTION

The present invention relates to a product and process for regulating T cell activity by providing a superantigen gene, in the presence or absence of a cytokine and/or chemokine gene. The present invention also relates to a product and process for regulating T cell activity by providing a peptide and a superantigen gene, in the presence or absence of a cytokine and/or chemokine gene. In particular, the present invention relates to a product and process for controlling tumor development, immune responses to infectious diseases and diseases caused by immunological disorders.

### BACKGROUND OF THE INVENTION

Two major causes of disease include infectious agents and malfunctions of normal biological functions of an animal. Examples of infectious agents include viruses, bacteria, parasites, yeast and other fungi. Examples of abnormal biological function include uncontrolled cell growth, abnormal immune responses and abnormal inflammatory responses. Traditional reagents used attempt to protect an reagents that destroy disease include animal from infectious agents or cells involved in deregulated biological functions. Such reagents, however, can result For example, anti-viral drugs in unwanted side effects. that disrupt the replication of viral DNA also often disrupt DNA replication in normal cells in the treated patient. Other treatments with chemotherapeutic reagents to

BNSDOCID: <WO\_\_\_\_\_ 9636366A1 1 >

10

15

20

10

15

20

25

destroy cancer cells typically leads to side effects, such as bleeding, vomiting, diarrhea, ulcers, hair loss and increased susceptibility to secondary cancers and infections.

An alternative method of disease treatment includes modulating the immune system of a patient to assist the patient's natural defense mechanisms. Traditional reagents and methods used to attempt to regulate an immune response in a patient also result in unwanted side effects and have limited effectiveness. For example, immunosuppressive reagents (e.q., cyclosporin A, azathioprine, prednisone) used to treat patients with autoimmune disease also suppress the patient's entire immune response, thereby infection. increasing the risk of In addition, immunopharmacological reagents used to treat cancer (e.g., interleukins) are short-lived in the circulation of a patient and are ineffective except in large doses. Due to the medical importance of immune regulation and the inadequacies of existing immunopharmacological reagents, reagents and methods to regulate specific parts of the immune system have been the subject of study for many years.

Stimulation or suppression of the immune response in a patient can be an effective treatment for a wide variety of medical disorders. T lymphocytes (T cells) are one of a variety of distinct cell types involved in an immune response. The activity of T cells is regulated by antigen, presented to a T cell in the context of a major

histocompatibility complex (MHC) molecule. The T cell receptor (TCR) then binds to the MHC:antigen complex. Once antigen is complexed to MHC, the MHC:antigen complex is bound by a specific TCR on a T cell, thereby altering the activity of that T cell.

The use of certain staphylococcal enterotoxin proteins that are capable of complexing with MHC molecules to influence T cell function has been suggested by various investigators, including, for example, White et al., Cell 56:27-35, 1989; Rellahan et al. J. Expt. Med. 172:1091-10 1100, 1990; Micusan et al., Immunology 5:3-11, 1993; Hermann et al., Immunology 5:33-39, 1993; Bhardwaj et al., J. Expt. Med. 178:633-642, 1993; and Kalland et al., Med. Oncol. & Tumor Pharmacother., 10:37-47, particular, various investigators have suggested that 15 Staphylococcal enterotoxin proteins are useful for treating tumors, including Newell et al., Proc. Natl. Acad. Sci. USA 88:1074-1078, 1991; Kalland et al., PCT Application No. WO 91/04053, published April 4, 1991; Dohlstein et al., Proc. Natl. Acad. Sci. USA 88:9287-9291, 1991; Hedlund et al., 20 Cancer Immunol. Immunother. 36:89-93, 1993; Lando et al., Cancer Immunol. Immunother. 36:223-228, 1993; Lukacs et al., J. Exp. Med. 178:343-348, 1993; Ochi et al., J. Immunol. 151:3180-3186, 1993; and Terman et al., PCT Application No. WO 93/24136, published December 9, 1993. 25 These investigators, however, have only disclosed the use

of bacterial enterotoxin proteins themselves.

-4-

bacterial enterotoxin protein has the major disadvantage of being toxic to the recipient of the protein.

Thus, there is a need for a product and process that allows for the treatment of disease using bacterial enterotoxins in a non-toxic manner.

#### SUMMARY

Traditional pharmaceutical reagents used to treat cancer, infectious diseases and diseases caused by immunological disorders often have harmful side effects. In addition, such reagents can be unpredictable (e.g., treatment of cancer, vaccination against infectious agents). For example, chemotherapy and radiotherapy often cause extensive normal tissue damage during the process of treating cancerous tissue. In addition, vaccine treatments for the prevention or cure of infectious diseases are often ineffective because adjuvants useful in vaccine therapy are toxic to an animal.

The present invention is particularly advantageous in that it provides an effective therapeutic composition that enables the safe treatment of an animal with a reagent that is a potentially toxic an immunogenic protein. Upon delivery, expression of acid molecules contained in the therapeutic composition result in localized production of an effective but non-toxic amount of encoded proteins that may be toxic at concentrations that would be required if the encoded proteins were administered directly. The therapeutic compositions of the present invention can

5

10

15

20

15

provide long term expression of the encoded proteins at a site in an animal. Such long term expression allows for the maintenance of an effective, but non-toxic, dose of the encoded protein to treat a disease and limits the frequency of administration of the therapeutic composition needed to treat an animal. In addition, because of the lack of toxicity, therapeutic compositions of the present invention can be used in repeated treatments.

# 10 BRIEF DESCRIPTION OF THE FIGURES

- Fig. 1 illustrates the expression of superantigenencoding DNA plasmids in mammalian cells.
- Fig. 2 illustrates the proliferative response of canine PBMC's to canine melanoma cells transfected with a superantigen-encoding DNA plasmids.
- Figs. 3A and 3B illustrate the release of superantigen protein by CHO cells transfected with superantigen-encoding DNA plasmids.
- Fig. 4 illustrates the proliferative response of the  $V\beta$ 3+ T cell clone AD10 to melanoma cells transfected with superantigen-encoding DNA plasmid.
  - Fig. 5 illustrates the release of canine GM-CSF by CHO cells transfected with GM-CSF-encoding DNA plasmid.
- Figs. 6A and 6B illustrate the vaccination of mice with autologous tumor cells transfected with superantigenencoding DNA plasmid.
  - Fig. 7 illustrates the effect of tumor target transfection on cytotoxic T cell lysis.

Fig. 8 illustrates the response of  $V\beta 3+$  T cells to intramuscular injection of a superantigen-encoding DNA plasmid.

Fig. 9 illustrates the antibody response resulting from the co-administration of DNA encoding an adjuvant and DNA encoding ovalbumin.

Fig. 10 illustrates that the co-administration of DNA encoding an adjuvant and DNA encoding ovalbumin increase interferon-gamma release from T cells restimulated in vitro by the ovalbumin protein.

Fig. 11 illustrates that the co-administration of DNA encoding an adjuvant and DNA encoding ovalbumin increase T cell proliferative responses to ovalbumin.

Fig. 12 illustrates that the co-administration of DNA encoding an adjuvant and DNA encoding ovalbumin increases CTL responses to ovalbumin.

### DETAILED DESCRIPTION OF THE INVENTION

The present invention relates to a novel product and process for controlling effector cell activity. The present invention also relates to a novel adjuvant useful for enhancing an immune response. It is now known for the first time that a composition containing nucleic acid molecules encoding a superantigen, rather than superantigen proteins, is an effective therapeutic reagent for treating disease and is an effective adjuvant for enhancing an immune response. As used herein, a disease refers to any biological abnormality that is not beneficial to a subject.

5

10

20

10

15

20

25

The present inventors also discovered have that administration of a combination of nucleic acid molecules encoding: (1) a superantigen; (2) a superantigen and a cytokine; or (3) a superantigen and a chemokine, can act synergistically to effectively treat cancer and infectious disease. The present invention includes therapeutic compositions comprising: (a) an isolated nucleic acid molecule encoding a superantigen; or (b) an isolated nucleic acid molecule encoding a superantigen combination with an isolated nucleic acid molecule encoding a cytokine and/or an isolated nucleic acid molecule encoding a chemokine. Administration of a therapeutic composition of the present invention to an animal results in the production of superantigen, cytokine or chemokine proteins, referred to herein as "encoded proteins." Each of the components of a therapeutic composition of the present invention is described in detail below, followed by a description of the methods by which the therapeutic composition is used and delivered.

One embodiment of the present invention includes a method for increasing effector cell immunity in an animal, the method comprising administering to an animal an effective amount of a therapeutic composition comprising:

(a) an isolated nucleic acid molecule encoding a superantigen; or (b) an isolated nucleic acid molecule encoding a superantigen in combination with an isolated nucleic acid molecule encoding a cytokine and/or an isolated nucleic acid molecule encoding chemokine.

According to the present embodiment, the nucleic acid are molecules operatively linked to one transcription control sequences and the therapeutic composition is targeted to a site in the animal that contains an abnormal cell. According to the present invention, an effector cell, includes a helper T cell, a cytotoxic T cell, a macrophage, a monocyte and/or a natural For example, the method of the present killer cell. invention can be performed to increase the number of effector cells in an animal that are capable of killing or releasing cytokines or chemokines when presented with antigens derived from an abnormal cell or a pathogen. effective amount of a therapeutic composition of the present invention comprises an amount capable of treating a disease as described herein. Alternatively, a method of the present invention can be performed to decrease the number of T cells found in a T cell subset that is preferentially stimulated and expanded by an autoantigen.

As used herein, effector cell immunity refers to increasing the number and/or the activity of effector cells in the area of the abnormal cell. In particular, T cell activity refers to increasing the number and/or the activity of T cells in the area of the abnormal cell.

Also, as used herein, an abnormal cell refers to a cell displaying abnormal biological function, such as abnormal growth, development or death. Abnormal cells of the present invention, preferably includes cancer cells, cells infected with an infectious agent (i.e., a pathogen) and non-

5

10

15

20

10

15

20

25

cancerous cells having abnormal proliferative growth (e.g., sarcoidosis, granulomatous disease or papillomas) and with cancer cells and infected cells. Another embodiment of the present invention is a method to treat an animal with cancer, the method comprising administering to an animal an effective amount of a therapeutic composition comprising:

(a) a nucleic acid molecule encoding a superantigen; or (b) a nucleic acid molecule encoding a superantigen in combination with an isolated nucleic acid molecule encoding a cytokine and/or a nucleic acid molecule encoding a chemokine. According to the present embodiment, the nucleic acid molecules are operatively linked to one or more transcription control sequences and the therapeutic composition is targeted to the site of a cancer.

one embodiment of a therapeutic composition of the present invention comprises an isolated nucleic acid molecule encoding a superantigen (also referred to herein as a "superantigen-encoding" nucleic acid molecule). Another embodiment of a therapeutic composition of the present invention comprises an isolated nucleic acid molecule encoding a superantigen, combined with an isolated nucleic acid molecule encoding a cytokine (also referred to herein as a "cytokine-encoding" nucleic acid molecule) and/or a nucleic acid molecule encoding a chemokine (also referred to as a "chemokine-encoding" nucleic acid molecule). According to these embodiments, the nucleic acid molecules are operatively linked to one or more transcription control sequences. It is to be noted that

the term "a" or "an" entity refers to one or more of that entity; for example, a compound refers to one or more compounds. As such, the terms "a" (or "an"), "one or more" and "at least one" can be used interchangeably herein. According to the present invention, an isolated, or 5 biologically pure, nucleic acid molecule, is a nucleic acid molecule that has been removed from its natural milieu. As such, "isolated" and "biologically pure" do not necessarily reflect the extent to which the nucleic acid molecule has An isolated nucleic acid molecule can been purified. 10 include DNA, RNA, or derivatives of either DNA or RNA. An isolated superantigen or cytokine nucleic acid molecule can be obtained from its natural source, either as an entire (i.e., complete) gene or a portion thereof capable of encoding a superantigen protein capable of binding to an 15 MHC molecule or a cytokine protein capable of binding to a complementary cytokine receptor. A nucleic acid molecule can also be produced using recombinant DNA technology (e.g., polymerase chain reaction (PCR) amplification, cloning) or chemical synthesis. Nucleic acid molecules 20 include natural nucleic acid molecules and homologues thereof, including, but not limited to, natural allelic variants and modified nucleic acid molecules in which nucleotides have been inserted, deleted, substituted, and/or inverted in such a manner that such modifications do 25 not substantially interfere with the nucleic acid molecule's ability to encode a functional superantigen or a functional cytokine of the present invention.

10

15

20

25

A nucleic acid molecule homologue can be produced using a number of methods known to those skilled in the art (see, for example, Sambrook et al., Molecular Cloning: A Laboratory Manual, Cold Spring Harbor Labs Press, 1989). For example, nucleic acid molecules can be modified using a variety of techniques including, but not limited to, classic mutagenesis techniques and recombinant techniques, such as site-directed mutagenesis, chemical treatment of a nucleic acid molecule to induce mutations, restriction enzyme cleavage of a nucleic acid fragment, ligation of nucleic acid fragments, polymerase chain reaction (PCR) amplification and/or mutagenesis of selected regions of a nucleic acid sequence, synthesis of oligonucleotide mixtures and ligation of mixture groups to acid molecules nucleic "build" mixture of combinations thereof. Nucleic acid molecule homologues can be selected from a mixture of modified nucleic acids by screening for the function of the protein encoded by the nucleic acid (e.g., superantigen, cytokine or chemokine Techniques to screen for activity, as appropriate). superantigen, cytokine or chemokine activity are known to those of skill in the art.

Although the phrase "nucleic acid molecule" primarily refers to the physical nucleic acid molecule and the phrase "nucleic acid sequence" primarily refers to the sequence of nucleotides on the nucleic acid molecule, the two phrases can be used interchangeably, especially with respect to a nucleic acid molecule, or a nucleic acid sequence, being

<sup>1</sup> ' WO 96/36366 PCT/US96/07432

-12-

capable of encoding a superantigen, a cytokine or a chemokine protein. In addition, the phrase "recombinant molecule" primarily refers to a nucleic acid molecule operatively linked to a transcription control sequence, but can be used interchangeably with the phrase "nucleic acid molecule" which is administered to an animal. As heretofore disclosed, superantigen or cytokine proteins of the present invention include, but are not limited to, proteins having full-length superantigen, cytokine or chemokine coding regions, proteins having partial superantigen regions capable of binding to an MHC molecule, cytokine coding regions capable of binding to a complementary cytokine receptor, chemokine coding regions capable of binding to a complementary chemokine receptor, fusion proteins and chimeric proteins comprising combinations of different superantigens, cytokines and/or chemokines.

One embodiment of the present invention is an isolated superantigen-encoding nucleic acid molecule that encodes at least a portion of a full-length superantigen, or a homologue of a superantigen. As used herein, "at least a portion of a superantigen" refers to a portion of a superantigen refers to a portion of a superantigen protein capable of binding to an MHC molecule in such a manner that a TCR can bind to the resulting superantigen: MHC complex. Preferably, a superantigen nucleic acid molecule of the present invention encodes an entire coding region of a superantigen, and more preferably the coding region absent a leader sequence. Production of a truncated superantigen protein lacking a bacterial leader

BNSDOCID: <WO\_\_\_\_\_9636366A1\_I\_>

5

10

15

20

10

15

20

25

sequence is preferred to enhance secretion of the superantigen from a cell. As used herein, a homologue of a superantigen is a protein having an amino acid sequence that is sufficiently similar to a natural superantigen amino acid sequence that a nucleic acid sequence encoding the homologue encodes a protein capable of binding to an MHC molecule.

accordance with the present invention, superantigen comprises a family of T cell regulatory proteins that are capable of binding both to an MHC A superantigen binds to the extracellular molecule. portion of an MHC molecule to form and MHC:superantigen complex. The activity of a T cell can be modified when a TCR binds to an MHC: superantigen complex. Under certain circumstances, an MHC: superantigen complex can have a mitogenic role (i.e., the ability to stimulate the proliferation of T cells) or a suppressive role (i.e., deletion of T cell subsets). The ability of MHC: superantigen complex to have a stimulatory or suppressive role can depend upon factors, such as the concentration and environment (i.e., tissue location and/or the presence of cytokines).

The mitogenic role of a superantigen is distinct from other known mitogens (e.g., lectins derived from plants) in that superantigens are capable of stimulating the proliferation of particular subsets of T cells having TCR's that specifically bind to the superantigen. For example, a superantigen, when added to a mixed lymphocyte

population, is able to stimulate the proliferation of a select population of T cells from the mixed population of cells. Examples of T cell subsets stimulated by superantigens complexed with MHC molecules include T cells expressing a TCR comprising mouse  $V_{\beta}1$ ,  $V_{\beta}3$ ,  $V_{\beta}7$ ,  $V_{\beta}8.1$ ,  $V_{\beta}8.2$ ,  $V_{\beta}8.3$ ,  $V_{\beta}10$ ,  $V_{\beta}11$ ,  $V_{\beta}17$ ,  $V_{\beta}15$  or  $V_{\beta}16$  chains, and T cells expressing a TCR comprising human  $V_{\beta}1.1$ ,  $V_{\beta}2$ ,  $V_{\beta}3$ ,  $V_{\beta}5$ ,  $V_{\beta}6$ ,  $V_{\beta}7.3$ ,  $V_{\beta}7.4$ ,  $V_{\beta}9.1$ ,  $V_{\beta}12$ ,  $V_{\beta}14$ ,  $V_{\beta}15$ ,  $V_{\beta}17$  or  $V_{\beta}20$  chains.

A superantigen-encoding nucleic acid molecule of the 10 present invention preferably encodes superantigens that but is not limited to, staphylococcal includes, enterotoxins, retroviral antigens, streptococcal antigens, mycoplasma antigens, mycobacterium antigens, viral antigens 15 (e.g., a superantigen from mouse mammary tumor virus, rabies virus or herpes virus) and endoparasitic antigens (e.g., protozoan or helminth antigens), more preferably staphylococcal enterotoxins, and even more preferably enterotoxin (SEA), Staphylococcal Staphylococcal A 20 enterotoxin B (SEB), Staphylococcal enterotoxin C, (SEC,), Staphylococcal enterotoxin C, (SEC,), Staphylococcal enterotoxin C3 (SEC3), Staphylococcal enterotoxin D (SED), Staphylococcal enterotoxin E (SEE) and Toxic Shock Syndrome Toxin (TSST).

25 A preferred nucleic acid molecule encoding a Staphylococcal enterotoxin of the present invention comprises a nucleic acid sequence represented by SEQ ID NO:1 (representing a full-length SEB gene), SEQ ID NO:3 WO 96/36366 PCT/US96/07432

-15-

(representing a full-length SEA gene) or SEQ ID NO:6 (representing a full-length TSST gene). A preferred Staphylococcal enterotoxin protein of the present invention comprises an amino acid sequence represented by SEQ ID NO:2 (representing a full-length SEB protein), SEQ ID NO:4 (representing a full-length SEA protein) or SEQ ID NO:7 (representing a full-length TSST protein).

In a preferred embodiment, a nucleic acid molecule of the present invention encoding a superantigen comprises a nucleic acid sequence spanning base pair 46 to at least base pair 768 of SEQ ID NO:1, a nucleic acid sequence spanning base pair 46 to about base pair 751 of SEQ ID NO:3 or SEQ ID NO:6.

Another embodiment of the present invention includes a cytokine-encoding nucleic acid molecule that encodes a full-length cytokine or a homologue of the cytokine protein. As used herein, a homologue of a cytokine is a protein having an amino acid sequence that is sufficiently similar to a natural cytokine amino acid sequence so as to have cytokine activity. In accordance with the present invention, a cytokine includes a protein that is capable of affecting the biological function of another cell. biological function affected by a cytokine can include, but is not limited to, cell growth, cell differentiation or Preferably, a cytokine of the present cell death. invention is capable of binding to a specific receptor on the surface of a cell, thereby affecting the biological function of a cell.

BNSDOCID: <WO\_\_\_\_\_9636366A1\_I\_>

5

10

15

20

10

15

20

25

A cytokine-encoding nucleic acid molecule of the present invention encodes a cytokine that is capable of affecting the biological function of a cell, including, but not limited to, a lymphocyte, a muscle cell, a hematopoietic precursor cell, a mast cell, a natural killer cell, a macrophage, a monocyte, an epithelial cell, an endothelial cell, a dendritic cell, a mesenchymal cell, a Langerhans cell, cells found in granulomas and tumor cells of any cellular origin, and more preferably a mesenchymal cell, an epithelial cell, an endothelial cell, a muscle cell, a macrophage, a monocyte, a T cell and a dendritic cell.

A preferred cytokine nucleic acid molecule of the present invention encodes a hematopoietic growth factor, an interleukin, an interferon, an immunoglobulin superfamily molecule, a tumor necrosis factor family molecule and/or a chemokine (i.e., a protein that regulates the migration and activation of cells, particularly phagocytic cells). more preferred cytokine nucleic acid molecule of the present invention encodes a granulocyte macrophage colony stimulating factor (GM-CSF), tumor necrosis factor  $\alpha$  (TNFmacrophage colony stimulating factor (M-CSF),  $\alpha$ ), interleukin-1 (IL-1), interleukin-2 (IL-2), interleukin-4 (IL-4), interleukin-6 (IL-6), interleukin-12 (IL-12), interleukin-15 (IL-15) and/or IGIF. An even more preferred cytokine nucleic acid molecule of the present invention encodes GM-CSF, IL-2, IL-12, IGIF and/or TNF-α, with GM-CSF being even more preferred.

WO 96/36366 PCT/US96/07432

5

10

15

20

25

BNSDOCID: <WO\_\_\_\_\_9636366A1\_I\_>

As will be apparent to one of skill in the art, the present invention is intended to apply to cytokines derived from all types of animals. A preferred animal from which to derive cytokines includes a mouse, a human, a cat and a dog. A more preferred animal from which to derive cytokines includes a cat, a dog and a human. An even more preferred animal from which to derive cytokines is a human.

According to the present invention, a cytokineencoding nucleic acid molecule of the present invention is derived from the same species of animal as the animal to be For example, a cytokine-encoding nucleic acid molecule derived from a canine (i.e., dog) nucleic acid molecule is used to treat a disease in a canine. Thus, a preferred cytokine-encoding nucleic acid molecule of the present invention comprises a nucleic acid molecule encoding human GM-CSF, as described in the art. A human GM-CSF-encoding nucleic acid molecule of the present invention can be produced using methods standard PCR amplification methods with primers designed from the human GM-CSF nucleic acid sequence disclosed in Nash (Blood 78:930, 1991). Such PCR products can be cloned into a PCR. expression vector using the methods generally described in Example 1.

Another embodiment of the present invention includes a chemokine-encoding nucleic acid molecule that encodes a full-length chemokine or a homologue of the chemokine protein. As used herein, a homologue of a chemokine is a protein having an amino acid sequence that is sufficiently

10

15

20

25

similar to a natural chemokine amino acid sequence so as to have chemokine activity. In accordance with the present invention, a chemokine includes a protein that is capable of attracting cells involved in an immune response (immunologic cells), including phagocytic cells. For example, immunologic cells are recruited from the blood to a site at which the chemokine is located (e.g., a site of infection). Preferably, a chemokine of the present invention is capable of binding to a specific receptor on the surface of a cell, thereby attracting the cell to a specific location.

A chemokine-encoding nucleic acid molecule of the present invention encodes a chemokine that is capable of attracting a cell to a site, including, but not limited to, a dendritic cell, a neutrophil, a macrophage, a T lymphocyte and Langerhans cells, and more preferably a dendritic cell, a macrophage and a T lymphocyte.

A preferred chemokine-encoding nucleic acid molecule of the present invention encodes an  $\alpha$ -chemokine or a  $\beta$ -chemokine. A more preferred chemokine-encoding nucleic acid molecule of the present invention encodes a C5a, interleukin-8 (IL-8), monocyte chemotactic protein  $1\alpha$  (MIP1 $\alpha$ ), monocyte chemotactic protein  $1\beta$  (MIP1 $\beta$ ), monocyte chemoattractant protein 1 (MCP-1), monocyte chemoattractant protein 3 (MCP-3), platelet activating factor (PAFR), N-Formyl-methionyl-leucyl-[ $^{3H}$ ]phenylalanine (FMLPR), leukotriene  $B_4$  (LTB $_4$ R), gastrin releasing peptide (GRP), RANTES, eotaxin, lymphotactin, IP10, I-309, ENA78, GCP-2,

-19-

NAP-2 and/or MGSA/gro. An even more preferred chemokine-encoding nucleic acid molecule of the present invention encodes IL-8, MIP1 $\alpha$ , MIP1 $\beta$ , MCP-1, MCP-3, RANTES and/or NAP-2, with IL-8, Rantes, MIP1 $\alpha$  and/or MIP1 $\beta$  being even more preferred.

As will be apparent to one of skill in the art, the present invention is intended to apply to chemokines derived from all types of animals. Preferred animals from which to derive chemokines includes mice, humans, dogs, cats, cattle and horses. More preferred animals from which to derive chemokines includes dogs, cats, humans and cattle. Even more preferred animals from which to derive chemokines are humans.

According to the present invention, a chemokineencoding nucleic acid molecule of the present invention is derived from the same species of animal as the animal to be For example, a chemokine-encoding nucleic acid treated. molecule derived from a canine (i.e., dog) nucleic acid molecule is used to treat a disease in a canine. Thus, a preferred chemokine-encoding nucleic acid molecule of the present invention comprises a nucleic acid molecule encoding a dog, cat, human, bovine and/or equine chemokine. Preferred nucleic acid molecules of the present invention encode IL-8, Rantes, MIP1 $\alpha$  and/or MIP1 $\beta$ , as described in the art. For example, a human MIPl $\alpha$ -encoding nucleic acid molecule of the present invention can be produced using standard PCR amplification methods with primers designed from the human MIP1a-encoding nucleic acid sequence

BNSDCCID: <WO\_\_\_\_\_9636366A1\_I\_>

5

10

15

20

disclosed in the art. Such PCR products can be cloned into a PCR3 expression vector using the methods generally described in Example 1.

The present invention includes a nucleic acid molecule of the present invention operatively linked to one or more 5 transcription control sequences to form a recombinant The phrase "operatively linked" refers to molecule. linking a nucleic acid molecule to a transcription control sequence in a manner such that the molecule is able to be expressed when transfected (i.e., transformed, transduced 10 or transfected) into a host cell. Transcription control sequences are sequences which control the initiation, elongation, and termination of transcription. Particularly important transcription control sequences are those which control transcription initiation, such as promoter, 15 enhancer, operator and repressor sequences. Suitable transcription control sequences include any transcription control sequence that can function in at least one of the recombinant cells of the present invention. A variety of such transcription control sequences are known to those 20 Preferred transcription control skilled in the art. sequences include those which function in animal, bacteria, helminth, insect cells, and preferably in animal cells. More preferred transcription control sequences include, but are not limited to, simian virus 40 (SV-40),  $\beta$ -actin, 25 retroviral long terminal repeat (LTR), Rous sarcoma virus (RSV), cytomegalovirus (CMV), tac, lac, trp, trc, oxy-pro, omp/lpp, rrnB, bacteriophage lambda ( $\lambda$ ) (such as  $\lambda p_L$  and  $\lambda p_R$ 

10

15

20

25

and fusions that include such promoters), bacteriophage T7, T71ac, bacteriophage T3, bacteriophage SP6, bacteriophage SP01, metallothionein, alpha mating factor, Pichia alcohol oxidase, alphavirus subgenomic promoters (such as Sindbis virus subgenomic promoters), baculovirus, Heliothis zea vaccinia virus and other poxviruses, insect virus, control transcription adenovirus herpesvirus, and capable well sequences sequences, as as other eukaryotic gene expression in controlling Additional suitable transcription control sequences include tissue-specific promoters and enhancers (e.g., tumor cellspecific enhancers and promoters), and inducible promoters (e.g., tetracycline). Transcription control sequences of the present invention can also include naturally occurring transcription control sequences naturally associated with a gene encoding a superantigen, a cytokine or a chemokine of the present invention.

Recombinant molecules of the present invention, which can be either DNA or RNA, can also contain additional regulatory sequences, such as translation regulatory sequences, origins of replication, and other regulatory sequences that are compatible with the recombinant cell. In one embodiment, a recombinant molecule of the present invention also contains secretory signals (i.e., signal segment nucleic acid sequences) to enable an expressed superantigen, cytokine or a chemokine protein to be secreted from the cell that produces the protein. Suitable signal segments include: (1) a bacterial signal segment, in

10

15

20

25

particular a superantigen signal segment; (2) a cytokine signal segment; (3) a chemokine signal segment; (4) or any heterologous signal segment capable of directing the secretion of a superantigen, cytokine and/or chemokine protein of the present invention. Preferred signal segments include, but are not limited to, signal segments associated with SEB, SEA, TSST, GM-CSF, M-CSF, TNFa, IL-1, IL-2, IL-4, IL-6, IL-12, IL-15, C5a, IGIF, IL-8, MIPla, MIPla, MCP-1, MCP-3, PAFR, FMLPR, LTB, GRP, RANTES, eotaxin, lymphotactin, IP10, I-309, ENA78, GCP-2, NAP-2 and/or MGSA/gro protein.

Preferred recombinant molecules of the present invention include a recombinant molecule containing a superantigen, nucleic acid molecule encoding a recombinant molecule containing a nucleic acid molecule encoding a cytokine, a recombinant molecule containing a nucleic acid molecule encoding a chemokine, a recombinant molecule containing a nucleic acid molecule encoding a superantigen and a nucleic acid molecule encoding a cytokine to form a chimeric recombinant molecule, or a recombinant molecule containing a nucleic acid molecule encoding a superantigen and a nucleic acid molecule encoding a chemokine to form a chimeric recombinant The nucleic acid molecules contained in such recombinant chimeric molecules are operatively linked to one or more transcription control sequences, in which each nucleic acid molecule contained in a chimeric recombinant molecule can be expressed using the same or different

10

15

20

25

regulatory control sequences. Preferred recombinant molecules of the present invention comprise a nucleic acid sequence represented by SEQ ID NO:1, SEQ ID NO:3, SEQ ID NO:5, or combinations thereof. Particularly preferred recombinant molecules include PCR<sub>3</sub>-SEB, PCR<sub>3</sub>-SEA, PCR<sub>3</sub>-SEB.S, PCR<sub>3</sub>-SEA.S, PCR<sub>3</sub>-TSST and PCR<sub>3</sub>-GM<sub>3</sub>, the production of which is disclosed herein. Other preferred nucleic acid sequences include Rantes nucleic acid sequence (SEQ ID. NO:13), MIP1α nucleic acid sequence (see Davatelis et al., J. Exp. Med. 167:1939-1944, 1988) and MIP1β nucleic acid sequence (see Sherry et al., J. Exp. Med. 168:2251-2259, 1988).

According to the present invention, a recombinant molecule can be dicistronic. A cistron refers to a unit of DNA that is capable of encoding an amino acid sequence having a naturally-occurring biological function. A dicistronic plasmid refers to a plasmid containing 2 cistrons. Preferably, a dicistronic recombinant molecule of the present invention comprises an internal ribosome entry site (IRES) element to which eukaryotic ribosomes can bind (see, for example, Jang et al., J. Virol. 62:2636-2643, 1988; Pelletier et al. Nature 334:320-325, 1988; Jackson, Nature 353:14-15, 1991; Macejek et al., Nature 353:90-94, 1991; Oh et al., Genes & Develop. 6:1643-1653, 1992; Molla et al., Nature 356:255-257, 1992; and Kozak, Crit. Rev. Biochem. Molec. Biol. 27(4,5):385-402, 1992).

In one embodiment, a dicistronic recombinant molecule of the present invention comprises a eukaryotic promoter, operatively linked to a superantigen-encoding nucleic acid

WO 96/36366 PCT/US96/07432

5

10

15

20

25

9636366A1 1 >

BNSDOCID: <WO

-24-

molecule of the present invention and a cytokine-encoding nucleic acid molecule of the present invention separated by an IRES nucleic acid sequence, or a superantigen-encoding nucleic acid molecule of the present invention and chemokine-encoding nucleic acid molecule of the present invention separated by an IRES nucleic acid sequence.

In another embodiment, a dicistronic recombinant molecule of the present invention comprises a eukaryotic promoter, operatively linked to a first superantigenencoding nucleic acid molecule of the present invention and a second superantigen-encoding nucleic acid molecule of the present invention separated by an IRES nucleic acid sequence. Preferably, a first superantigen-encoding nucleic acid molecule encodes a different superantigen than a second superantigen-encoding nucleic acid molecule.

One or more recombinant molecules of the present invention can be used to produce an encoded product (i.e., a superantigen protein, a cytokine and a chemokine protein) of the present invention. In one embodiment, an encoded product of the present invention is produced by expressing a nucleic acid molecule of the present invention in a cell under conditions effective to produce the protein. A preferred method to produce an encoded protein is by transforming (i.e., introducing a recombinant molecule into a cell in such a manner that the recombinant molecule is expressed by the cell) a host cell with one or more recombinant molecules of the present invention to form a recombinant cell. Suitable host cells to transform include

10

15

20

25

any cell into which a recombinant molecule can be introduced. Host cells can be either untransformed cells or cells that are already transformed with at least one nucleic acid molecule. Host cells of the present invention can be any cell capable of producing a superantigen, a cytokine and/or a chemokine of the present invention, including bacterial, fungal, animal parasite, insect and animal cells. A preferred host cell includes a mammalian and a bird cell. A more preferred host cell includes lymphocytes, muscle cells, hematopoietic mammalian precursor cells, mast cells, natural killer cells, epithelial cells, endothelial macrophages, monocytes, cells, dendritic cells, mesenchymal cells, Langerhans cells, cells found in granulomas and tumor cells of any cellular origin. An even more preferred host cell of the present invention includes mammalian mesenchymal cells, endothelial cells, macrophages, cells, epithelial monocytes, muscle cells, T cells and dendritic cells.

According to the present invention, a recombinant molecule can be introduced into a host cell in vivo (i.e., in an animal) or in vitro (i.e., outside of an animal, such as in tissue culture). Introduction of a nucleic acid molecule into a host cell can be accomplished by any method by which a nucleic acid molecule can be inserted into the cell. Transformation techniques include, but are not limited to, transfection, electroporation, microinjection, lipofection, adsorption, and protoplast fusion. Preferred methods to introduce a recombinant molecule into host cells

in vivo include lipofection and adsorption (discussed in detail below).

A recombinant cell of the present invention comprises a cell into which a nucleic acid molecule that encodes a superantigen, a cytokine and/or a chemokine has been introduced. In one embodiment, a recombinant cell of the present invention is transformed with a nucleic acid molecule that includes at least a portion of PCR<sub>3</sub>-SEB, PCR<sub>3</sub>-SEA, PCR<sub>3</sub>-SEB.S, PCR<sub>3</sub>-SEA.S, PCR<sub>3</sub>-TSST, or combinations thereof. Particularly preferred recombinant cells include cells transformed with PCR<sub>3</sub>-SEB, PCR<sub>3</sub>-SEA, PCR<sub>3</sub>-SEB.S, PCR<sub>3</sub>-SEA.S or PCR<sub>3</sub>-TSST, with PCR<sub>3</sub>-SEB.S, PCR<sub>3</sub>-SEA.S or PCR<sub>3</sub>-TSST being even more preferred.

In another embodiment, a recombinant cell of the present invention is transformed with a nucleic acid molecule that includes at least a portion of PCR3-SEB, PCR3-SEA, PCR3-SEB.S, PCR3-SEA.S, PCR3-TSST or combinations thereof, and PCR3-GM3. Particularly preferred stimulatory recombinant cells include cells transformed with PCR3-SEA and PCR3-GM3, PCR3-SEA.S and PCR3-GM3, PCR3-SEB and PCR3-GM3, PCR3-SEB.S and PCR3-GM3, or PCR3-TSST and PCR3-GM3. Even more preferred stimulatory recombinant cells include cells transformed with PCR3-SEB.S and PCR3-SEB.S and PCR3-GM3, or PCR3-SEA.S and PCR3-GM3, and PCR3-SEB.S and PCR3-GM3, or PCR3-SEA.S and PCR3-GM3, and PCR3-TSST and PCR3-GM3.

Recombinant DNA technologies can be used to improve expression of transformed nucleic acid molecules by manipulating, for example, the number of copies of the nucleic acid molecules within a host cell, the efficiency

5

10

15

20

15

20

25

with which those nucleic acid molecules are transcribed, the efficiency with which the resultant transcripts are translated, and the efficiency of post-translational modifications. Recombinant techniques useful for increasing 5 the expression of nucleic acid molecules of the present invention include, but are not limited to, operatively linking nucleic acid molecules to high-copy number plasmids, integration of the nucleic acid molecules into one or more host cell chromosomes, addition of vector stability sequences to plasmids, substitutions modifications of transcription control signals (e.q., promoters, operators, enhancers), substitutions modifications of translational control signals (e.g., ribosome binding sites, Shine-Dalgarno sequences), modification of nucleic acid molecules of the present invention to correspond to the codon usage of the host cell, and deletion of sequences that destabilize transcripts. The activity of an expressed recombinant protein of the present invention may be improved by fragmenting, modifying, or derivatizing nucleic acid molecules encoding such a protein.

Further embodiments of compositions of the present invention can also include a compound capable of inhibiting the downregulation of T cell activity. In particular, such a compound can include an inhibitor of CTLA-4. inhibitor of CTLA-4 includes any compound capable of inhibiting the activity of CTLA-4 and/or inhibiting the binding of CTLA-4 to its natural ligand (e.g., B7).

10

15

20

25

Preferably, an inhibitor of CTLA-4 includes, but is not limited to a ligand of CTLA-4 or an analog (i.e., Preferred ligands of CTLA-4 antagonist) of CTLA-4. include: an antibody that specifically binds to CTLA-4 in such a manner that CTLA-4 activity is inhibited; at least a portion of a B7 molecule, in particular a B7 fusion protein; or a synthetic oligonucleotide that binds CTLA-4 protein. A preferred analog of CTLA-4 includes a molecule capable of binding to B7 in such a manner that B7 signal transduction is not activated and CTLA-4 binding to the B7 It is within the scope of the molecule is inhibited. invention that a CTLA-4 inhibitor can comprise a nucleic acid molecule, a protein or a synthetic chemical molecule when combined in a composition of the present invention.

In another embodiment of the present invention, a composition further comprises therapeutic pharmaceutically acceptable carrier. As used herein, a "carrier" refers to any substance suitable as a vehicle for delivering a nucleic acid molecule of the present invention to a suitable in vivo or in vitro site. As such, carriers can act as a pharmaceutically acceptable excipient of a therapeutic composition containing a nucleic acid molecule of the present invention. Preferred carriers are capable of maintaining a nucleic acid molecule of the present invention in a form that, upon arrival of the nucleic acid molecule to a cell, the nucleic acid molecule is capable of entering the cell and being expressed by the cell. Carriers of the present invention include: (1) excipients

or formularies that transport, but do not specifically target a nucleic acid molecule to a cell (referred to herein as non-targeting carriers); and (2) excipients or formularies that deliver a nucleic acid molecule to a specific site in an animal or a specific cell (i.e., targeting carriers). Examples of non-targeting carriers include, but are not limited to water, phosphate buffered saline, Ringer's solution, dextrose solution, serumcontaining solutions, Hank's solution, other aqueous physiologically balanced solutions, oils, esters and glycols. Aqueous carriers can contain suitable auxiliary substances required to approximate the physiological conditions of the recipient, for example, by enhancing chemical stability and isotonicity.

15 Suitable auxiliary substances include, for example, sodium acetate, sodium chloride, sodium lactate, potassium chloride, calcium chloride, and other substances used to produce phosphate buffer, Tris buffer, and bicarbonate buffer. Auxiliary substances can also include 20 preservatives, such as thimerosal, m- and o-cresol, formalin and benzol alcohol. Preferred auxiliary aerosol delivery include surfactant substances for substances non-toxic to an animal, for example, esters or partial esters of fatty acids containing from about six to about twenty-two carbon atoms. Examples of esters include, 25 caproic, octanoic, lauric, palmitic, stearic, linoleic, linolenic, olesteric, and oleic acids. Other carriers can include metal particles (e.g., gold particles) for use

5

with, for example, a biolistic gun through the skin. Therapeutic compositions of the present invention can be sterilized by conventional methods and/or lyophilized.

Targeting carriers are herein referred to as "delivery vehicles." Delivery vehicles of the present invention are 5 capable of delivering a therapeutic composition of the present invention to a target site in an animal. A "target site" refers to a site in an animal to which one desires to deliver a therapeutic composition. For example, a target site can be a malignant tumor cell, a non-malignant tumor 10 cell, a lymph node or a lesion caused by an infectious agent, or an area around such cell, tumor or lesion, which is targeted by direct injection or delivery using liposomes or other delivery vehicles. Examples of delivery vehicles include, but are not limited to, artificial and natural 15 Natural lipid-containing delivery vehicles. containing delivery vehicles include cells and cellular membranes. Artificial lipid-containing delivery vehicles include liposomes and micelles. A delivery vehicle of the present invention can be modified to target to a particular 20 site in an animal, thereby targeting and making use of a nucleic acid molecule of the present invention at that Suitable modifications include manipulating the site. chemical formula of the lipid portion of the delivery vehicle and/or introducing into the vehicle a compound 25 capable of specifically targeting a delivery vehicle to a preferred site, for example, a preferred cell type. Specifically targeting refers to causing a delivery vehicle

to bind to a particular cell by the interaction of the compound in the vehicle to a molecule on the surface of the cell. Suitable targeting compounds include ligands capable selectively (i.e., specifically) binding another molecule at a particular site. Examples of such ligands include antibodies, antigens, receptors and receptor ligands. For example, an antibody specific for an antigen found on the surface of a cancer cell can be introduced to the outer surface of a liposome delivery vehicle so as to target the delivery vehicle to the cancer cell. Tumor cell ligands include ligands capable of binding to a molecule on the surface of a tumor cell. Manipulating the chemical formula of the lipid portion of the delivery vehicle can modulate the extracellular or intracellular targeting of the delivery vehicle. For example, a chemical can be added to the lipid formula of a liposome that alters the charge of the lipid bilayer of the liposome so that the liposome fuses with particular cells having particular charge characteristics.

A preferred delivery vehicle of the present invention is a liposome. A liposome is capable of remaining stable in an animal for a sufficient amount of time to deliver a nucleic acid molecule of the present invention to a preferred site in the animal. A liposome of the present invention is preferably stable in the animal into which it has been administered for at least about 30 minutes, more preferably for at least about 1 hour and even more preferably for at least about 24 hours.

5

10

10

15

20

25

A liposome of the present invention comprises a lipid composition that is capable of targeting a nucleic acid molecule of the present invention to a particular, or selected, site in an animal. Preferably, the lipid composition of the liposome is capable of targeting to any organ of an animal, more preferably to the lung, liver, spleen, heart brain, lymph nodes and skin of an animal, and even more preferably to the lung of an animal.

A liposome of the present invention comprises a lipid composition that is capable of fusing with the plasma membrane of the targeted cell to deliver a nucleic acid molecule into a cell. Preferably, the transfection efficiency of a liposome of the present invention is at least about 0.5 microgram ( $\mu$ g) of DNA per 16 nanomole (nmol) of liposome delivered to about  $10^6$  cells, more preferably at least about 1.0  $\mu$ g of DNA per 16 nmol of liposome delivered to about  $10^6$  cells, and even more preferably at least about 2.0  $\mu$ g of DNA per 16 nmol of liposome delivered to about  $10^6$  cells, and even more preferably at least about 2.0  $\mu$ g of DNA per 16 nmol of liposome delivered to about  $10^6$  cells.

A preferred liposome of the present invention is between about 100 and about 500 nanometers (nm), more preferably between about 150 and about 450 nm and even more preferably between about 200 and about 400 nm in diameter.

Suitable liposomes for use with the present invention include any liposome. Preferred liposomes of the present invention include those liposomes standardly used in, for example, gene delivery methods known to those of skill in the art. More preferred liposomes comprise liposomes

having a polycationic lipid composition and/or liposomes having a cholesterol backbone conjugated to polyethylene glycol. Even more preferred liposomes include liposomes produced according to the method described in Example 2.

In one embodiment, a liposome of the present invention comprises a compound capable of targeting the liposome to a tumor cell. Such a liposome preferably includes a tumor cell ligand exposed on the outer surface of the liposome.

Complexing a liposome with a nucleic acid molecule of the present invention can be achieved using methods standard in the art (see, for example, methods described in Example 2). A suitable concentration of a nucleic acid molecule of the present invention to add to a liposome includes a concentration effective for delivering a sufficient amount of nucleic acid molecule to a cell such that the cell can produce sufficient superantigen and/or cytokine protein to regulate effector cell immunity in a Preferably, nucleic acid molecules are desired manner. combined with liposomes at a ratio of from about 0.1  $\mu$ g to about 10 µq of nucleic acid molecule of the present invention per about 8 nmol liposomes, more preferably from about 0.5 µg to about 5 µg of nucleic acid molecule per about 8 nmol liposomes, and even more preferably about 1.0 μq of nucleic acid molecule per about 8 nmol liposomes.

Another preferred delivery vehicle comprises a recombinant virus particle vaccine. A recombinant virus particle vaccine of the present invention includes a therapeutic composition of the present invention, in which

5

10

15

20

the recombinant molecules contained in the composition are packaged in a viral coat that allows entrance of DNA into a cell so that the DNA is expressed in the cell. A number of recombinant virus particles can be used, including, but not limited to, those based on alphaviruses, poxviruses, adenoviruses, herpesviruses, arena virus and retroviruses.

Another preferred delivery vehicle comprises a Preferred recombinant cell recombinant cell vaccine. vaccines of the present invention include tumor vaccines, in which allogeneic (i.e., cells derived from a source other than a patient, but that are histiotype compatible with the patient) or autologous (i.e., cells isolated from a patient) tumor cells are transfected with recombinant therapeutic composition, in a contained molecules irradiated and administered to a patient by, for example, injection. intravenous or subcutaneous intradermal, Therapeutic compositions to be administered by tumor cell vaccine, include recombinant molecules of the present invention without carrier. Tumor cell vaccine treatment is useful for the treatment of both tumor and metastatic 20 cancer. Use of a tumor vaccine of the present invention is particular useful for treating metastatic cancer, including preventing metastatic disease, as well as, curing existing developing and Methods for metastatic disease. administering include those standard in the art (see for 25 example, Dranoff et al., Proc. Natl. Acad. Sci. USA 90:3539-3543, 1993, which is incorporated herein by reference in its entirety).

5

10

15

20

25

A therapeutic composition of the present invention is useful for the treatment of a variety of diseases, including, but not limited to, cancer, autoimmune disease, infectious diseases, and other diseases that can be alleviated by either stimulating or suppressing T cell activity. As used herein, the term "treatment" refers to protecting an animal from a disease or alleviating a disease in an animal. A therapeutic composition of the present invention is advantageous for the treatment of cancer in that the composition overcomes the mechanisms by which cancer cells avoid immune elimination (i.e., by which cancer cells avoid the immune response effected by the animal in response to the disease). Cancer cells can avoid immune elimination by, for example, being only slightly immunogenic, modulating cell surface antigens and inducing immune suppression. Suitable therapeutic compositions for use in the treatment of cancer comprises a superantigenencoding recombinant molecule; or a combination of a superantigen-encoding recombinant molecule, cytokine-encoding recombinant molecule and/or a chemokine recombinant molecule of the present invention. Preferred therapeutic compositions for use in the treatment of cancer comprises a superantigen-encoding recombinant molecule; or a combination of a superantigen-encoding recombinant molecule with a cytokine-encoding recombinant molecule and/or a chemokine recombinant molecule of the present invention combined (separately or together) with a delivery vehicle, preferably a liposome, such as disclosed herein.

15

20

25

A therapeutic composition of the present invention, upon entering targeted cells, leads to the production of superantigen, cytokine and/or chemokine protein that activate cytotoxic T cells, natural killer cells, T helper cells and macrophages. Such cellular activation overcomes the otherwise relative lack of immune response to cancer cells, leading to the destruction of such cells.

A therapeutic composition of the present invention is useful for the treatment of cancers, both tumors and metastatic forms of cancer. Treatment with the therapeutic composition overcomes the disadvantages of traditional treatments for metastatic cancers. For example, compositions of the present invention can target dispersed metastatic cancer cells that cannot be treated using surgical methods. In addition, administration of such compositions do not result in the harmful side effects caused by chemotherapy and radiation therapy.

A therapeutic composition of the present invention is preferably used to treat cancers, including, but not limited to, melanomas, squamous cell carcinoma, breast cancers, head and neck carcinomas, thyroid carcinomas, soft tissue sarcomas, bone sarcomas, testicular cancers, prostatic cancers, ovarian cancers, bladder cancers, skin cancers, brain cancers, angiosarcomas, hemangiosarcomas, mast cell tumors, primary hepatic cancers, lung cancers, pancreatic cancers, gastrointestinal cancers, renal cell carcinomas, hematopoietic neoplasias, leukemias and lymphomas. Particularly preferred cancers to treat with a

therapeutic composition of the present invention, include melanomas, lung cancers, thyroid carcinomas, breast cancers, renal cell carcinomas, squamous cell carcinomas, brain tumors and skin cancers. A therapeutic composition of the present invention is useful for treating tumors that can form in such cancers, including malignant and benign tumors.

A therapeutic composition of the present invention is also advantageous for the treatment of infectious diseases as a long term, targeted therapy for primary lesions (e.g., granulomas) resulting from the propagation of a pathogen. As used herein, the term "lesion" refers to a lesion formed by infection of an animal with a pathogen. therapeutic compositions for use in the treatment of an infectious disease comprise a superantigen-encoding recombinant molecule; or a combination of a superantigenencoding recombinant molecule, with a cytokine-encoding recombinant molecule and/or a chemokine recombinant molecule of the present invention. More preferred therapeutic compositions for use in the treatment of comprise a superantigen-encoding infectious disease recombinant molecule; or a combination of superantigenencoding recombinant molecule, with a cytokine-encoding recombinant molecule and/or a chemokine recombinant molecule of the present invention combined with a delivery vehicle, preferably a liposome of the present invention. Similar to the mechanism described for the treatment of cancer, treatment of infectious diseases with superantigen,

5

10

15

20

10

15

20

25

cytokine and/or chemokine can result in increased T cell, natural killer cell, and macrophage cell activity that overcome the relative lack of immune response to a lesion formed by a pathogen.

A therapeutic composition of the present invention is particularly useful for the treatment of infectious diseases caused by pathogens, including, but not limited to, intracellular bacteria (i.e., a bacteria that resides in a host cell), internal parasites, pathogenic fungi and endoparasites. Particularly preferred infectious diseases to treat with a therapeutic composition of the present invention include tuberculosis, leprosy, aspergillosis, coccidioidomycosis, cryptococcoses, leishmaniasis and toxoplasmosis.

In order to treat an animal with disease, a therapeutic composition of the present invention is administered to the animal in an effective manner such that the composition is capable of treating that animal from disease. For example, a recombinant molecule, when administered to an animal in an effective manner, is able to stimulate effector cell immunity in a manner that is sufficient to alleviate the disease afflicting the animal. According to the present invention, treatment of a disease refers to alleviating a disease and/or preventing the development of a secondary disease resulting from the occurrence of a primary disease.

An effective administration protocol (i.e., administering a therapeutic composition in an effective

WO 96/36366 PCT/US96/07432

manner) comprises suitable dose parameters and modes or administration that result in treatment of a disease. Effective dose parameters and modes of administration can be determined using methods standard in the art for a particular disease. Such methods include, for example, determination of survival rates, side effects (i.e., toxicity) and progression or regression of disease. particular, the effectiveness of dose parameters and modes of administration of a therapeutic composition of the present invention when treating cancer can be determined by assessing response rates. Such response rates refer to the percentage of treated patients in a population of patients that respond with either partial or complete remission. Remission can be determined by, for example, measuring tumor size or microscopic examination for the presence of cancer cells in a tissue sample.

In accordance with the present invention, a suitable single dose size is a dose that is capable of treating an animal with disease when administered one or more times over a suitable time period. Doses can vary depending upon the disease being treated. In the treatment of cancer, a suitable single dose can be dependent upon whether the cancer being treated is a primary tumor or a metastatic form of cancer. Doses of a therapeutic composition of the present invention suitable for use with direct injection techniques can be used by one of skill in the art to determine appropriate single dose sizes for systemic administration based on the size of an animal. A suitable

5

10

15

.20

single dose of a therapeutic composition to treat a tumor superantigen-encoding sufficient amount of a is recombinant molecule; superantigen-encoding or a recombinant molecule, with a cytokine-encoding recombinant molecule and/or a chemokine recombinant molecule to reduce, 5 and preferably eliminate, the tumor following transfection of the recombinant molecules into cells at or near the tumor site. A preferred single dose of the superantigenencoding recombinant molecule is an amount that, when transfected into a target cell population, leads to the production of from about 250 femtograms (fg) to about 1  $\mu$ g, preferably from about 500 fg to about 500 picogram (pg), and more preferably from about 1 pg to about 100 pg of superantigen per transfected cell. A preferred single dose of a cytokine-encoding recombinant molecule is an amount 15 that, when transfected into a target cell population, leads to the production of from about 10 pg to about 1  $\mu$ g, preferably from about 100 pg to about 750 pg, and more preferably about 500 pg of cytokine per transfectant. 20 preferred single dose of a chemokine-encoding recombinant molecule is an amount that, when transfected into a target cell population, leads to the production of from about 1 fg to about 1  $\mu$ g, preferably from about 1 pg to about 10 ng, and more preferably from about 1 pg to about 1 ng chemokine per transfectant. 25

A suitable single dose of a superantigen-encoding recombinant molecule; or a combination of a superantigenencoding recombinant molecule, with a cytokine-encoding

10

15

20

25

chemokine-encoding and/or a recombinant molecule recombinant molecule in a non-targeting carrier administer to an animal to treat a tumor, is an amount capable of reducing, and preferably eliminating, the tumor following transfection of the recombinant molecules into cells at or near the tumor site. A preferred single dose of a therapeutic composition to treat a tumor is from about 100  $\mu$ g to about 2 milligrams (mg) of total recombinant molecules, more preferably from about 150  $\mu$ g to about 1 mg of total recombinant molecules, and even more preferably from about 200 µg to about 800 µg of total recombinant A preferred single dose of a superantigenmolecules. encoding recombinant molecule complexed with liposomes, is from about 100  $\mu$ g of total DNA per 800 nmol of liposome to about 2 mg of total recombinant molecules per 16 micromole ( $\mu$ mol) of liposome, more preferably from about 150  $\mu$ g per 1.2  $\mu$ mol of liposome to about 1 mg of total recombinant molecules per 8  $\mu$ mol of liposome, and even more preferably from about 200  $\mu$ g per 2  $\mu$ mol of liposome to about 400  $\mu$ g of total recombinant molecules per 3.2 \( \mu \text{mol} \) of liposome.

A preferred single dose of a cytokine-encoding recombinant molecule or a chemokine-encoding recombinant molecule in a non-targeting carrier to administer to an animal to treat a tumor, is from about 100  $\mu$ g to about 2 mg of total recombinant molecules, more preferably from about 150  $\mu$ g to about 1 mg of total recombinant molecules, and even more preferably from about 200  $\mu$ g to about 400  $\mu$ g of total recombinant molecules. A preferred single dose of a

10

15

20

25

cytokine-encoding recombinant molecule or a chemokine-encoding recombinant molecule complexed with liposomes to administer to an animal to treat a tumor, is from about 100  $\mu$ g of total recombinant molecules per 800 nmol of liposome to about 2 mg of total recombinant molecules per 16  $\mu$ mol of liposome, more preferably from about 150  $\mu$ g per 1.2  $\mu$ mol of liposome to about 1 mg of total recombinant molecules per 8  $\mu$ mol of liposome, and even more preferably from about 200  $\mu$ g per 2  $\mu$ mol of liposome to about 400  $\mu$ g of total recombinant molecules per 6.4  $\mu$ mol of liposome.

A preferred single dose of a superantigen-encoding recombinant molecule in a non-targeting carrier to administer to an animal treat a metastatic cancer, is from about 100  $\mu$ g to about 4 mg of total recombinant molecules, more preferably from about 150  $\mu$ g to about 3 mg of total recombinant molecules, and even more preferably from about 200  $\mu$ g to about 2 mg of total recombinant molecules. superantigen-encoding of preferred single dose recombinant molecule complexed with liposomes to administer to an animal to treat a metastatic cancer, is from about 100  $\mu$ g of total recombinant molecules per 800 nmol of liposome to about 4 mg of total recombinant molecules per 32  $\mu$ mol of liposome, more preferably from about 200  $\mu$ g per 1.6  $\mu m$  of liposome to about 3 mg of total recombinant molecules per 24  $\mu$ mol of liposome, and even more preferably from about 400  $\mu$ g per 3.2  $\mu$ mol of liposome to about 2 mg of total recombinant molecules per 16  $\mu$ mol of liposome.

WO 96/36366 PCT/US96/07432

-43-

A preferred single dose of a cytokine-encoding recombinant molecule or a chemokine-encoding recombinant molecule in a non-targeting carrier to administer to an animal to treat a metastatic cancer, is from about 100  $\mu$ g to about 4.0 mg of total recombinant molecules, more preferably from about 150  $\mu$ g to about 3 mg of total recombinant molecules, and even more preferably from about 200  $\mu$ g to about 2 mg of total recombinant molecules. preferred single dose of a cytokine-encoding recombinant molecule or a chemokine-encoding recombinant molecule complexed with liposomes to administer to an animal to treat a metastatic cancer, is from about 100 µg of total recombinant molecules per 800 nmol of liposome to about 4.0 mg of total recombinant molecules per 32  $\mu$ mol of liposome, more preferably from about 200  $\mu$ g per 1.6  $\mu$ mol of liposome to about 3 mg of total recombinant molecules per 24  $\mu$ mol of liposome, and even more preferably from about 400  $\mu$ g per 3.2  $\mu$ mol of liposome to about 2  $\mu$ g of total recombinant molecules per 16 µmol of liposome.

According to the present invention, a single dose of a therapeutic composition useful to treat a lesion, comprising a superantigen-encoding recombinant molecule in a non-targeting carrier or liposomes, respectively, and a cytokine-encoding recombinant molecule in a non-targeting carrier or liposomes, respectively, is substantially similar to those doses used to treat a tumor (as described in detail above).

5

10

15

20

The number of doses administered to an animal is dependent upon the extent of the disease and the response of an individual patient to the treatment. For example, a large tumor may require more doses than a smaller tumor. In some cases, however, a patient having a large tumor may 5 require fewer doses than a patient with a smaller tumor, if the patient with the large tumor responds more favorably to the therapeutic composition than the patient with the smaller tumor. Thus, it is within the scope of the present invention that a suitable number of doses includes any 10 number required to cause regression of a disease. preferred protocol is monthly administrations of single doses (as described above) for up to about 1 year. preferred number of doses of a therapeutic composition comprising a superantigen-encoding recombinant molecule; or 15 a combination of a superantigen-encoding recombinant molecule, with a cytokine-encoding recombinant molecule and/or a chemokine-encoding recombinant molecule in a nontargeting carrier or complexed with liposomes in order to treat a tumor is from about 1 to about 10 administrations 20 per patient, preferably from about 2 to about 8 administrations per patient, and even more preferably from administrations per about 5 to about 3 Preferably, such administrations are given once every 2 weeks until signs of remission appear, then once a month 25 until the disease is gone.

A preferred number of doses of a therapeutic composition comprising a superantigen-encoding recombinant

10

15

20

25

molecule; or a combination of a superantigen-encoding recombinant molecule, with a cytokine-encoding recombinant molecule and/or a chemokine-encoding recombinant molecule in a non-targeting carrier or complexed with liposomes in order to treat a metastatic cancer, is from about 2 to about 10 administrations patient, more preferably from about 3 to about 8 administrations per patient, and even more preferably from about 3 to about 7 administrations per patient. Preferably, such administrations are given once every 2 weeks until signs of remission appear, then once a month until the disease is gone.

According to the present invention, the number of doses of a therapeutic composition to treat a lesion comprising a superantigen-encoding recombinant molecule; or a combination of a superantigen-encoding recombinant molecule, with a cytokine-encoding recombinant molecule and/or a chemokine-encoding recombinant molecule, in a non-targeting carrier or liposomes, respectively, is substantially similar to those number of doses used to treat a tumor (as described in detail above).

A therapeutic composition is administered to an animal in a fashion to enable expression of an introduced recombinant molecule of the present invention into a curative protein in the animal to be treated for disease. A therapeutic composition can be administered to an animal in a variety of methods including, but not limited to, local administration of the composition into a site in an animal. Examples of such sites include lymph nodes, a site

10

15

20

25

that contains abnormal cells or pathogens to be destroyed (e.g., injection locally within the area of a tumor or a lesion); and systemic administration.

Therapeutic compositions to be delivered by local administration include: (a) recombinant molecules of the present invention in a non-targeting carrier (e.g., as "naked" DNA molecules, such as is taught, for example in Wolff et al., 1990, Science 247, 1465-1468); and (b) recombinant molecules of the present invention complexed to a delivery vehicle of the present invention. Suitable delivery vehicles for local administration comprise liposomes. Delivery vehicles for local administration can further comprise ligands for targeting the vehicle to a particular site (as described in detail herein).

A preferred method of local administration is by Direct injection techniques are direct injection. particularly useful for the treatment of disease by, for example, injecting the composition into a mass formed by abnormal cells, a lymph node or a granuloma mass induced by Preferably, a recombinant molecule of the pathogens. present invention complexed with a delivery vehicle is administered by direct injection into or locally within the area of a tumor mass, a lymph node, a granuloma mass or a Administration of a composition locally cancer cell. within an area of a mass or a cell refers to injecting the composition centimeters and preferably, millimeters within the mass or the cell. A preferred tumor mass to inject includes discrete inner body and cutaneous solid tumors.

10

15

20

25

A preferred inner body tumor to inject includes a discrete solid tumor that forms in the brain, breast, liver, kidney, colon, prostate, testicular, ovary, spleen and/or lymph node. A preferred cutaneous tumor to inject includes a discrete solid melanoma.

A preferred lymph node to inject includes a draining lymph node that "drains" a site containing abnormal cells or pathogens. As used herein, the term "draining lymph node" refers to a lymph node that is located downstream of a site containing abnormal cells or pathogens is based on the direction of the lymphatic flow of an animal (see general discussion in Hole, Human Anatomy and Physiology, Edward G. Jaffe, ed., Wm. C Brown Publishers, Dubuque, IA; and G.C. Christiansen et al., Anatomy of the Dog, W.B. Saunders Publishers, Philadelphia, PN, 1979; both of which are incorporated herein by this reference). A preferred draining lymph node to inject comprises the draining lymph node most proximal to a site containing abnormal cells or pathogens. Thus, a skilled artisan can choose the site of lymph node injection based upon the location of the site containing abnormal cells or pathogens. Examples of lymph nodes to injection include: the mandibular lymph node if a tumor is located in the oral cavity; and the superficial cervical lymph node of a tumor is located in the front leg Effector cells travel from a site containing region. abnormal cells or pathogens. Injection of a therapeutic composition of the present invention into a lymph node can result in expression of a superantigen, a cytokine and/or

a chemokine by an effector cell from the lymph node or that has drained into the lymph node. Such expression can result in the activation of T lymphocytes, which can travel back to the site containing abnormal cells or pathogens to enhance the immune response at the site.

Another method of local administration is to contact a therapeutic composition of the present invention in or around a surgical wound. For example, a patient can undergo surgery to remove a tumor. Upon removal of the tumor, the therapeutic composition can be coated on the surface of tissue inside the wound or the composition can be injected into areas of tissue inside the wound. Such local administration is useful for treating cancer cells not excised by the surgical procedure, as well as, preventing recurrence of the primary tumor or development of a secondary tumor in the area of the surgery.

In one embodiment, a therapeutic composition of the present invention can be introduced to a tumor cell in vivo. In another embodiment, a therapeutic composition of the present invention can be introduced to a non-tumor cell in vivo or in vitro. Methods to introduce a therapeutic composition in vivo are disclosed herein. Methods to introduce a therapeutic composition in vitro include methods standard in the art, such as culturing cells in the presence of a therapeutic composition for a sufficient amount of time to enable a nucleic acid molecule of the present invention to pass through the plasma membrane in a cell and subsequently to be expressed in the cell.

5

10

15

20

systemic Therapeutic compositions useful in administration, include recombinant molecules of the present invention complexed to a targeted delivery vehicle of the present invention. Suitable delivery vehicles for use with systemic administration comprise liposomes comprising ligands for targeting the vehicle to a particular site, preferably ligands for targeting the vehicle to a site of a cancer or a lesion (depending upon the disease being treated). For cancer treatment, ligands capable of selectively binding to a cancer cell or to a cell within the area of a cancer cell are preferred. Systemic administration is useful for the treatment of both tumor and metastatic cancer and systemic infectious Systemic administration is particularly useful for the treatment of metastatic forms of cancer, in which the cancer cells are dispersed (i.e., not localized within a single tumor mass). Systemic administration is particularly advantageous when organs, in particular difficult to reach organs (e.g., heart, spleen, lung or liver) are the targeted sites of treatment.

Preferred methods of systemic administration, include intravenous injection, aerosol, oral and percutaneous (topical) delivery. Intravenous injections can be performed using methods standard in the art. Aerosol delivery can also be performed using methods standard in the art (see, for example, Stribling et al., Proc. Natl. Acad. Sci. USA 189:11277-11281, 1992, which is incorporated herein by reference in its entirety). Oral delivery can be

5

10

15

20

- 25

WO 96/36366 PCT/US96/07432

-50-

performed by complexing a therapeutic composition of the present invention to a carrier capable of withstanding degradation by digestive enzymes in the gut of an animal. Examples of such carriers, include plastic capsules or tablets, such as those known in the art. Topical delivery can be performed by mixing a therapeutic composition of the present invention with a lipophilic reagent (e.g., DMSO) that is capable of passing into the skin.

Therapeutic compositions of the present invention can be administered to any animal, preferably to mammals and birds, and more preferably to humans, house pets, economic produce animals and zoo animals. Economic produce animals include animals to be consumed or that produce useful products (e.g., sheep for wool production). Zoo animals include those animals harbored in zoos. Preferred animals to protect include humans, dogs, cats, sheep, cattle, horses and pigs, with humans and dogs being particularly preferred. While a therapeutic composition of the present invention is effective to treat disease in inbred species of animals, the composition is particularly useful for treating outbred species of animals, in particular those having tumors.

Yet another embodiment of the present invention is a method to suppress T cell activity in an animal, the method comprising administering to an animal an effective amount of a therapeutic composition comprising: (a) a naked nucleic acid molecule encoding a superantigen; and (b) a pharmaceutically acceptable carrier, in which the nucleic

5

10

15

20

acid molecule is operatively linked to a transcription control sequence, and in which the therapeutic composition is targeted to a site in the animal that contains excessive T cell activity.

Suitable embodiments, single dose sizes, number of doses and modes of administration of a therapeutic composition of the present invention useful in a treatment method of the present invention are disclosed in detail herein.

A therapeutic composition of the present invention is 10 also advantageous for the treatment of autoimmune diseases in that the composition suppresses the harmful stimulation of T cells by autoantigens (i.e., a "self", rather than a Superantigen-encoding recombinant foreign antigen). molecules in a therapeutic composition, upon transfection 15 into a cell, produce superantigens that delete harmful populations of T cells involved in an autoimmune disease. A preferred therapeutic composition for use in the treatment of autoimmune disease comprises a superantigenencoding recombinant molecule of the present invention. A 20 . more preferred therapeutic composition for use in the treatment of autoimmune disease comprises a superantigenencoding recombinant molecule combined with a non-targeting carrier of the present invention, preferably saline or phosphate buffered saline. Such a therapeutic 25 composition of the present invention is particularly useful for the treatment of autoimmune diseases, including but not to, multiple sclerosis, systemic limited

10

15

20

25

erythematosus, myasthenia gravis, rheumatoid arthritis, insulin dependent diabetes mellitus, psoriasis, polyarteritis, immune mediated vasculitides, immune mediated glomerulonephritis, inflammatory neuropathies and sarcoidosis.

A single dose of a superantigen-encoding nucleic acid molecule in a non-targeting carrier to administer to an animal to treat an autoimmune disease is from about 0.1  $\mu$ g to about 200  $\mu$ g of total recombinant molecules per kilogram (kg) of body weight, more preferably from about 0.5  $\mu$ g to about 150  $\mu$ g of total recombinant molecules per kg of body weight, and even more preferably from about 1  $\mu$ g to about 10  $\mu$ g of total recombinant molecules per kg of body weight.

The number of doses of a superantigen-encoding recombinant molecule in a non-targeting carrier to be administered to an animal to treat an autoimmune disease is an injection about once every 6 months, more preferably about once every 3 months, and even more preferably about once a month.

A preferred method to administer a therapeutic composition of the present invention to treat an autoimmune disease is by local administration, preferably direct injection. Direct injection techniques are particularly important in the treatment of an autoimmune disease. Preferably, a therapeutic composition is injected directly into muscle cells in a patient, which results in prolonged expression (e.g., weeks to months) of a recombinant molecule of the present invention. Preferably, a

10

15

20

25

recombinant molecule of the present invention in the form of "naked DNA" is administered by direct injection into muscle cells in a patient.

Another aspect of the present invention is an adjuvant for use with a nucleic acid-based vaccine to protect an animal from a disease or a remedy to treat a diseased animal. Adjuvants of the present invention comprise: (a) a superantigen-encoding nucleic acid molecule of the present invention; or (b) a combination of a superantigen-encoding nucleic acid molecule of the present invention with a cytokine nucleic acid molecule of the present invention, a chemokine nucleic acid molecule of the present invention or mixtures thereof.

Suitable compounds to combine with an adjuvant of the present invention, to form an adjuvant composition (i.e., a vaccine composition useful as a preventative therapeutic reagent or a therapeutic remedy useful to alleviate a disease) of the present invention, include any compound that is administered to an animal as an immunogen. As used herein, an immunogen of the present invention comprises a compound capable of eliciting an immune response in an animal. Preferably, an immunogen of the present invention is derived from a foreign agent including a pathogen. Also preferably, an immunogen of the present invention includes an allergen (organic or inorganic), tumor antigens and self-antigens.

A preferred immunogen is derived from a pathogen including, but not limited to, a virus, a bacteria, a

10

15

20

25

eukaryotic parasite and unicellular protozoa (e.g., amoeba). Preferred eukaryotic parasites include protozoan parasites, helminth parasites (such as nematodes, cestodes, trematodes, ectoparasites and fungi.

A preferred immunogen also includes an allergen including, but not limited to, a plant allergen, an animal allergen, a bacterial allergen, a parasitic allergen, a metal-based allergen that causes contact sensitivity and inorganic allergens such as silica, beryllium, xenobiotics, synthetic drugs and dyes. A more preferred allergen includes weed, grass, tree, peanut, mite, flea, cat, house dust and bacterial products antigens.

A preferred immunogen derived from a bacteria includes an immunogen that protects an animal from or alleviates Mycobacterium infection, in particular M. tuberculosis, M. leprae, M. avium, and/or M. bovis infection. preferred bacterial immunogen of the present invention includes a peptide, mimetopes thereof and compositions containing the same, as disclosed in U.S. Patent Serial No. 08/484,169, filed June 7, 1995, which is incorporated embodiment, herein by this reference. In one an immunogen comprises a nucleic acid molecule encoding an immunogenic protein. Such immunogen-encoding nucleic acid molecules can be designed by those of skill in the art based upon the amino acid sequence of the immunogen. In addition, a recombinant molecule encoding an immunogen of the present invention can be produced using the recombinant DNA technology disclosed herein and known to those of skill

10

15

20

25

in the art. In other embodiments, an immunogen can comprise a peptide, a polypeptide or a chemical compound as disclosed herein. All such embodiments of an immunogen are useful with an adjuvant of the present invention.

In order to treat an animal (i.e., vaccinate or remedy), an adjuvant composition of the present invention is administered to the animal in an effective manner such that the composition is capable of protecting an animal from or alleviating a disease. For example, an adjuvant, when administered to an animal in an effective manner, is able to stimulate effector cell immunity in a manner that is sufficient to prevent an initial or continued disease response by the subject animal.

administration protocol (i.e., effective An administering an adjuvant composition in an effective manner) comprises suitable dose parameters, and modes and times of administration that result in the treatment of an modes dose parameters and animal. Effective administration can be determined using methods standard in the art for a particular adjuvant composition. Such methods include, for example: determination of side effects (i.e., toxicity) of an adjuvant composition; progression of a disease upon administration of an adjuvant composition; magnitude and/or duration of antibody response by an animal against an immunogen contained in an adjuvant composition; magnitude and/or duration of a cell mediated immune response in an animal against an adjuvant composition; similarity of an immune response to an adjuvant composition

in different species of animals; and/or effect of breed (in non-human animals) or race (in humans) on responsiveness to an adjuvant composition. In particular, the effectiveness of dose parameters and modes of administration of an adjuvant composition of the present invention can be determined by assessing antibody production in vivo, skin test sensitivities in vivo, cytokine production in vitro, antigen-specific proliferation in vitro, cytotoxic T cell activity in vitro, reduction of tumor burden in vivo and/or reduction of infectious agent burden in vivo. standard in the art can be used to determine antibody production (e.g., enzyme-linked immunoassays), skin test sensitivities (e.g., subcutaneous injection of an immunogen into a vaccinated animal to assess weal formation, induration and erythema), cytokine production immunoassays using cytokine-specific antibodies or bioassays using cytokine-dependent cell lines), antigenspecific proliferation (e.g., 3H-thymidine incorporation), cytotoxic T cell activity (e.g., measure release of 51Cr from target cells), reduction of tumor burden (e.g., measure size of a tumor) and/or reduction of infectious agent burden (e.g., obtaining, for example, viral titers, bacterial colony counts or parasite counts).

An effective dose refers to a dose capable of immunizing an animal against an immunogen. Effective doses can vary depending upon, for example, the adjuvant used, the immunogen being administered, and the size and type of the recipient animal. Effective doses to treat an animal

WO 96/36366

5

10

15

20

WO 96/36366 PCT/US96/07432

-57-

to an immunogen include doses administered over time that are capable of preventing or alleviating a disease in an animal to, for example, a pathogen or allergen. For example, a first treatment dose can comprise an amount of an adjuvant composition of the present invention that causes a minimal hypersensitive response when administered to a hypersensitive animal. A second treatment dose can comprise a greater amount of the same adjuvant composition than the first dose. Effective treatment doses can comprise increasing concentrations of the adjuvant composition necessary to treat an animal such that the animal does not exhibit signs of a disease.

In accordance with the present invention, a suitable single dose is a dose that is capable of vaccinating an animal against a foreign agent when administered one or more times over a suitable time period. For example, a preferred single dose of an adjuvant composition of the present invention is from about 100  $\mu$ g to about 1 mg of the adjuvant composition per kilogram body weight of the animal. Further treatments with the adjuvant composition can be administered from about 1 week to about 1 year after the original administration. Further treatments with the adjuvant composition preferably are administered when the animal is no longer protected from an immunogen to which the animal has been treated. Particular administration doses and schedules can be developed by one of skill in the art based upon the parameters discussed above.

5

10

15

20

The number of doses administered to an animal is dependent upon the immunogen and the response of an individual patient to the adjuvant composition. For example, treatment of one strain of virus may require more doses than treatment of a more immunogenic strain of virus. Thus, it is within the scope of the present invention that a suitable number of doses includes any number required to treat an animal. A preferred number of doses of an adjuvant composition comprising a superantigen-encoding recombinant molecule, and/or a cytokine-encoding recombinant molecule and/or a chemokine-encoding recombinant molecule is from about 2 to about 20 administrations, preferably from about 3 to about 10 administrations, and even more preferably from about 3 to about 5 administrations per patient per year. Preferably, such administrations are given once every 2 weeks until, for example, antibody production against an immunogen increases or decreases, cell mediated immunity increases, and/or a clinical response is observed when an adjuvant composition is administered as a therapeutic remedy.

A preferred single dose of the superantigen-encoding recombinant molecule is an amount that, when transfected into a muscle cells, skin tissue, lung cells or other suitable cellular sites, leads to the production of from about 10 femtograms (fg) to about .01  $\mu$ g, preferably from about 100 fg to about 1 picogram (pg), and more preferably from about 1 pg to about 5 pg of superantigen per transfected cell. A preferred single dose of a cytokine-

5

10

15

20

encoding recombinant molecule is an amount that when transfected into a target cell population leads to the production of from about 10 pg to about .01  $\mu$ g, preferably from about 100 fg to about 2 pg, and more preferably about 1 pg of cytokine per transfected. A preferred single dose of a chemokine-encoding recombinant molecule is an amount that when transfected into a target cell population leads to the production of from about 1 pg to about .01  $\mu$ g, preferably from about 0.1 pg to about 10 pg, and more preferably about 1 pg of chemokine per transfected.

In one embodiment, an adjuvant composition of the present invention comprises up to about 50% of immunogen-encoding recombinant molecule and up to about 50% superantigen-encoding recombinant molecule. of Preferably, an adjuvant composition of the present invention comprises no more than about 1.5 mg of immunogenencoding recombinant molecule and no more than about 1.5 mg of superantigen-encoding recombinant molecule, more preferably no more than about 1 mg of immunogen-encoding recombinant molecule and no more than about 1 mg of superantigen-encoding recombinant molecule, and even more preferably no more than about 0.5 mg of immunogen-encoding recombinant molecule and no more than about 0.5 mg of superantigen-encoding recombinant molecule per animal.

In another embodiment, an adjuvant composition of the present invention comprises an immunogen-encoding recombinant molecule in an amount up to about 66% by weight of the composition and a superantigen-encoding recombinant

5

10

15

20

molecule in an amount up to about 33% by weight of the Preferably, an adjuvant composition of the composition. present invention comprises no more than about 2000  $\mu g$  of immunogen-encoding recombinant molecule and no more than recombinant of superantigen-encoding about 1000 μq molecule, more preferably no more than about 1400  $\mu g$  of immunogen-encoding recombinant molecule and no more than about 660 µg of superantigen-encoding recombinant molecule, and even more preferably no more than about 670  $\mu g$  of immunogen-encoding recombinant molecule and no more than about 330  $\mu$ g of superantigen-encoding recombinant molecule per animal.

In another embodiment, an adjuvant composition of the comprises an immunogen-encoding invention present recombinant molecule in an amount up to about 50% of the composition; a superantigen-encoding recombinant molecule in an amount up to about 25% of the composition; and a cytokine-encoding recombinant molecule or chemokineencoding recombinant molecule or mixtures thereof, in an amount up to about 25% of the composition. According to the present embodiment, a cytokine-encoding recombinant molecule or a chemokine-encoding recombinant molecule can be used alone or in combination with each other. When used in combination, the ratio of cytokine-encoding recombinant molecule to chemokine-encoding recombinant molecule can be varied according to need. The ratio can be determined based upon the effectiveness of the adjuvant composition at

5

10

15

20

vaccinating an animal against a foreign agent using the methods and parameters disclosed herein.

In one embodiment, an adjuvant composition of the present invention comprises: no more than about 2000  $\mu g$  of immunogen-encoding recombinant molecule, no more than about 500 μg of superantigen-encoding recombinant molecule, and no more than about 500 μg of cytokine-encoding recombinant molecule or no more than about 500  $\mu$ g of chemokine-encoding recombinant molecule; more preferably no more than about 1400  $\mu$ g of immunogen-encoding recombinant molecule, no more than about 300  $\mu$ q of superantigen-encoding recombinant molecule, and no more than about 300 µg of cytokineencoding recombinant molecule or no more than about 300 µg of chemokine-encoding recombinant molecule; and even more preferably no more than about 660 µg of immunogen-encoding recombinant molecule, no more than about 160  $\mu$ g of superantigen-encoding recombinant molecule, and no more than about 160  $\mu$ g of cytokine-encoding recombinant molecule no more than about 160  $\mu$ g of chemokine-encoding recombinant molecule per animal.

In another embodiment, an adjuvant composition of the present invention comprises: no more than about 2000  $\mu g$  of immunogen-encoding recombinant molecule, no more than about 500  $\mu g$  of superantigen-encoding recombinant molecule, and no more than about 250  $\mu g$  of cytokine-encoding recombinant molecule and no more than about 250  $\mu g$  of chemokine-encoding recombinant molecule; more preferably no more than about 1000  $\mu g$  of immunogen-encoding recombinant molecule,

5

10

15

20

10

15

no more than about 250  $\mu$ g of superantigen-encoding recombinant molecule, and no more than about 125  $\mu$ g of cytokine-encoding recombinant molecule and no more than about 125  $\mu$ g of chemokine-encoding recombinant molecule; and even more preferably no more than about 660  $\mu$ g of immunogen-encoding recombinant molecule, no more than about 160  $\mu$ g of superantigen-encoding recombinant molecule, and no more than about 80  $\mu$ g of cytokine-encoding recombinant molecule and no more than about 80  $\mu$ g of chemokine-encoding recombinant molecule and no more than about 80  $\mu$ g of chemokine-encoding recombinant molecule per animal.

Adjuvant compositions are preferably delivered by intramuscular administration in the form of "naked" DNA molecules, such as disclosed herein. Preferably, an adjuvant composition of the present invention is delivered by intramuscular, intravenous, intraperitoneal and/or intraarterial injection, and/or injection directly into specific cellular locations (e.g., into a tumor). Preferred sites of intramuscular injections include caudal thigh muscle, back muscle and into the buttocks of a human.

20

25

Preferably, an adjuvant composition of the present invention comprises a suitable pharmaceutically acceptable carrier for delivering the composition intramuscularly. A preferred carrier for use with an adjuvant includes phosphate buffered saline, water, Ringer's solution, dextrose solution, Hank's balanced salt solution and normal saline. A more preferred carrier includes phosphate

15

20

25

buffered saline and normal saline, with phosphate buffered saline being even more preferred.

Preferably, an adjuvant composition of the present invention comprises a mixture including a superantigen encoding molecule including an SEA-encoding recombinant molecule, an SEB-encoding recombinant molecule or mixtures thereof, and an immunogen-encoding recombinant molecule of the present invention; a superantigen encoding molecule including an SEA-encoding recombinant molecule, an SEBencoding recombinant molecule or mixtures thereof, a cytokine encoding molecule including a GM-CSF-encoding recombinant molecule and an immunogen-encoding recombinant molecule of the present invention; or a superantigen encoding molecule including an SEA-encoding recombinant molecule, an SEB-encoding recombinant molecule or mixtures thereof, a chemokine encoding molecule including a MIPla, MIP1 $\beta$ , IL-8 or RANTES recombinant molecule and an immunogen-encoding recombinant molecule of the present invention.

In a preferred embodiment, an adjuvant of the present invention includes the following recombinant molecules contained in phosphate buffered saline: (1) PCR<sub>3</sub>-SEA, PCR<sub>3</sub>-SEA.S, PCR<sub>3</sub>-SEB.S, PCR<sub>3</sub>-TSST and mixtures thereof; (2) mixtures of up to about 50% PCR<sub>3</sub>-SEA, PCR<sub>3</sub>-SEA.S, PCR<sub>3</sub>-SEB, PCR<sub>3</sub>-SEB.S and/or PCR<sub>3</sub>-TSST, and up to about 50% PCR<sub>3</sub>-GM<sub>3</sub>; (3)

mixtures of up to about 50% PCR3-SEA, PCR3-SEA.S, PCR3-SEB, PCR3-SEB.S and/or PCR3-TSST, and up to about 50% PCR3-MIP1a;

15

20

(4) mixtures of up to about 50% PCR<sub>3</sub>-SEA, PCR<sub>3</sub>-SEA.S, PCR<sub>3</sub>-SEB, PCR<sub>3</sub>-SEB.S and/or PCR<sub>3</sub>-TSST, and up to about 50% PCR<sub>3</sub>-MIP1β; (5) mixtures of up to about 50% PCR<sub>3</sub>-SEA, PCR<sub>3</sub>-SEA.S, PCR<sub>3</sub>-SEB, PCR<sub>3</sub>-SEB.S and/or PCR<sub>3</sub>-TSST, and up to about 50% PCR<sub>3</sub>-RANTES; (6) mixtures of up to about 50% PCR<sub>3</sub>-SEA, PCR<sub>3</sub>-SEA.S, PCR<sub>3</sub>-SEB.S and/or PCR<sub>3</sub>-TSST, up to about 25% PCR<sub>3</sub>-GM<sub>3</sub>, and up to about 25% PCR<sub>3</sub>-MIP1α, PCR<sub>3</sub>-MIP1β and/or PCR<sub>3</sub>-RANTES.

According to the present invention, a preferred embodiment of an adjuvant composition of the present invention includes: (1) an immunogen-encoding recombinant molecule the present invention in an amount up to about 50% of the composition and a preferred embodiment of an adjuvant of the present invention in an amount up to about 50% of the composition; or (2) an immunogen-encoding recombinant molecule in an amount up to about 66% of the composition and a preferred embodiment of an adjuvant of the present invention in an amount up to about 33% of the composition, in phosphate buffered saline.

The following examples are provided for the purposes of illustration and are not intended to limit the scope of the present invention.

### **EXAMPLES**

# Example 1

This example describes the production of recombinant molecules 'encoding superantigens and cytokines.

Full-length cDNA encoding Staphylococcal enterotoxin B (SEB; SEQ ID NO:1) and Staphylococcal enterotoxin A (SEA;

SEQ ID NO:3) were produced by polymerase chain reaction (PCR) amplification using templates obtained from Dr. John (National Jewish Center for Kappler Immunology Respiratory Disease, Denver, CO). A truncated form of SEB 5 lacking the leader sequence, which spans base pairs 46 to 773 (referred to herein as SEB.S), was prepared by PCR amplification using the primers GGGAATTCCATGGAGAGTCAACCAG 3′ (SEQ ID NO:7) GCGGATCCTCACTTTTCTTTGT 5' (SEQ ID NO:8). A truncated form of SEA lacking the signal sequence, which spans base pairs 10 46 to 751 (referred to herein as SEA.S), was prepared by 5 / amplification primers using the PCR NO:9) GGGAATTCCATGGAGAGTCAACCAG 3′ (SEQ ID GCAAGCTTAACTTGTATATAAATAG 3'(SEQ ID NO:10). Full-length cDNA encoding Toxic Shock Syndrome Toxin (TSST; SEQ ID 15 NO:5) was produced by PCR amplification using a template obtained from Dr. Brian Kotzin (National Jewish Center for Immunology and Respiratory Disease, Denver, CO), using the primers:

- 20 5' CGGGGTACCCCGAAGGAGGAAAAAAAATGTCTACAAACGATAATATAAAG 3' (SEQ ID NO:11); and
  - 3' TGCTCTAGAGCATTAATTAATTTCTGCTTCTATAGTTTTTAT 5' (SEQ ID NO:12).

Each cDNA clone was ligated into the eukaryotic expression vector PCR3 (In Vitrogen, San Diego, CA) using standard cloning methods. The full-length SEB cDNA cloned into PCR3 is referred to herein as PCR3-SEB; the full-length SEA cDNA cloned into PCR3 is referred to herein as PCR3-SEA;

10

20

25

the full-length TSST cDNA cloned into PCR3 is referred to herein as PCR3-TSST; the truncated SEB cDNA cloned into PCR3 is referred to herein as PCR3-SEB.S; and the truncated SEA cDNA cloned into PCR3 is referred to herein as PCR3-SEA.S.

A cDNA for canine GM-CSF was produced by PCR amplification of total RNA extracted from Concavalin Astimulated normal canine peripheral blood mononuclear cells (PBMC) using canine GM-CSF primers designed based on the published canine GM-CSF cDNA (Nash, ibid.). The total RNA was reverse transcribed using the reverse transcriptase enzyme and oligoT primers. The canine GM-CSF cDNA was then amplified using PCR and specific 5' and 3' primers. The PCR product was cloned into the PCR3 vector, the resulting recombinant molecule is referred to herein as PCR3-GM3.

## 15 Example 2

This example describes the expression of DNA encoding superantigens in mammalian CHO cells following transfection.

Isolated PCR3-SEB.S, PCR3-SEA.S and PCR3-TSST were transformed into E. coli cells and ampicillin-resistant bacterial colonies were screened for the presence of the plasmid. Selected colonies were then cultured in large scale culture (liter volume). Plasmid DNA was isolated using standard methods. A typical plasmid yield was 20 mg plasmid DNA from one liter of bacteria-containing culture medium. Plasmid DNA was transfected into Chinese hamster ovary cells (CHO) by lipofection (Lipofectamine, Gibco-BRL, Gaithersburg, MD) using methods provided by the

WO 96/36366 PCT/US96/07432

-67-

manufacturer. About 2.0  $\mu g$  of each plasmid DNA was separately transfected into about 10 $^6$  CHO cells.

The transfected CHO cells were cultured for 48 hours. Supernatants and cell lysates were then isolated to determine the amount of intracellular and secreted SAg protein produced by the transfected cells. Cell lysates were prepared by detaching and sonicating the transfected cells to prepare cell lysates to measure activity. protein activity in each sample was by measured quantitating the ability of the SAg protein to stimulate lymphocyte contained in a PBMC population using the following method. Supernatants and lysates to be tested were added in serial dilutions to triplicate wells of a 96well plate containing 5 X 10<sup>5</sup> PBMC in a total volume of 200  $\mu$ l per well. After 3 days, the wells were pulsed with  $^3$ H thymidine and incubated for 18 hours. The radioactivity incorporated into the PBMC's were quantitated on a beta counter. Negative controls included CHO cells transfected with the DNA vector without an inserted gene (mock) and positive controls were purified recombinant SAg proteins.

The results were plotted as the mean incorporated thymidine in counts per minute and are shown in Fig. 1. The results indicate that both supernatants and lysates of CHO cells transfected with PCR<sub>3</sub>-SEB.S, PCR<sub>3</sub>-SEA.S and PCR<sub>3</sub>-TSST stimulated strong proliferation of the PBMC's, compared to mock transfected cultures. The activity in supernatants in some cases exceeded that in cell lysates.

10

15

20

10

Thus, DNA encoding bacterial SAg proteins are capable of being transcribed and translated in mammalian cells in biologically active form. The results also indicate that the amounts of biologically active SAg protein are active produced by the transfected cells was sufficient to stimulate T cell proliferation.

# Example 3

This example describes the expression of DNA encoding superantigens in canine melanoma cells following transfection.

A melanoma cell line was established from an oral malignant melanoma obtained by biopsy from a canine patient by isolating a portion of a melanoma tumor, digesting that portion with collagenase and plating the released cells in 24 well plates using Iscove Modified Dulbecco's Medium 15 (IMDM) with 10% fetal calf serum. Melanoma cells were transfected with PCR3-SEB.S, PCR3-SEA.S and PCR3-TSST by lipofection as described in Example 2. The cells were then irradiated (15,000 Rads). Four samples of each sample of transfected melanoma cells were prepared, in which 20 decreasing numbers of the transfected cells were added to normal canine PBMC (5  $\times$  10 $^5$ /well). Each sample was prepared in triplicate in a 96 well plate. After 3 days, proliferation was quantitated as described in Example 2. Non-transfected melanoma cells were used as negative 25 The results were plotted as the mean control samples. incorporated thymidine in counts per minute and are shown The results indicate that Canine PBMC in Fig. 2.

proliferated when cultured with canine melanoma cells transfected with PCR3-SEB.S, PCR3-SEA.S and PCR3-TSST, exhibiting a dose-dependent increase in proliferation as increasing numbers of irradiated tumor cells were used. Thus, melanoma tumor cells can be transfected and can express biologically active SAg protein. The results also show that the transfected melanoma cells continue to release biologically active SAg protein after irradiation, indicating that transfected tumor cells would also be useful as an autologous tumor vaccine as described in detail in the present application.

### Example 4

5

10

15

20

25

This example describes the long term expression of DNA encoding SEB.S and SEA.S in stably transfected CHO cells.

To determine whether the SAg protein activity detected in supernatants of transfected CHO cells (described in Example 2) represented actual secretion or simple release from dying cells, stably transfected CHO lines were prepared using either PCR3-SEB.S, PCR3-SEA.S or vector with no cDNA insert (control). About 2 x 10 $^6$  CHO cells were transfected with about 2  $\mu$ g of plasmid DNA by lipofection. The transfected cells were then cultured in G418 (1 mg/ml) for 3 weeks to obtain stable transfectants. The G418 selected CHO cells were seeded into 9 individual tissue culture wells, allowed to adhere for 4 hours, and then fresh tissue culture media was added. Supernatants were harvested sequentially, beginning at time zero and continuing for 36 hours. Supernatants were added to PBMC

10

15

20

25

to assay for SAg protein activity, as described in Example 2.

The results were plotted as the mean proliferation stimulating activity contained in supernatants at each time point and are shown in Figs. 3A and 3B. The results indicate that a steady time-dependent increase in PBMC stimulatory activity was observed in supernatants from CHO cells stably transfected with both PCR<sub>3</sub>-SEB.S and PCR<sub>3</sub>-SEA.S. Thus, transfection of mammalian cells with PCR<sub>3</sub>-SEB.S, PCR<sub>3</sub>-SEA.S results in long term expression of biologically active SAg protein. The data indicates that transfected mammalian cells can serve as a sustained source of SAg protein production.

### Example 5

This example describes that transfection of PCR3-SEA.S DNA in melanoma cells results in the expression of biologically active SEA.S protein.

Superantigens are capable of stimulating the proliferation of T cells bearing certain  $V\beta$  domains in their T cell receptor (TCR). SEA is known to stimulate T cells having a  $V\beta$ 3+ TCR in mice. SEB does not stimulate  $V\beta$ 3+ T cells. Therefore, an experiment was performed to assess the ability of SEA.S protein expressed by melanoma cells transfected with PCR<sub>3</sub>-SEA.S DNA to stimulate the proliferation of a T cell clone (AD10) expressing the  $V\beta$ 3+TCR.

B16 melanoma cells were transfected with PCR3-SEA.S DNA, PCR3-SEB.S or PCR3 vector DNA with no insert (mock).

10

15

20

25

The cells were then irradiated (18,000 Rads) and plated in triplicate in a 96 well plate at a concentration of about 1 x 10<sup>4</sup> per well. About 1 x 10<sup>5</sup> AD10 cells were added to each well. Next, irradiated syngeneic spleen cells were added to each well as a source of antigen presenting cells for the superantigen and T cell interaction. Negative controls included mock transfected cells; positive controls included recombinant SEA (10ng/ml). The cells were incubated for 48 hours. <sup>3</sup>H thymidine was then added to each well and the proliferative response quantitated.

The results were plotted as the mean incorporated thymidine in counts per minute and are shown in Fig. 4. The AD10 cells proliferated strongly in response to SEA.S protein produced by the PCR<sub>3</sub>-SEA.S DNA transfected into the B16 cells, with the proliferative response nearly equal to that of the recombinant protein. Thus, the T cell response generated by transfection of melanoma cells with PCR<sub>3</sub>-SEA.S DNA is specific for the correct TCR. Cells transfected with PCR<sub>3</sub>-SEB.S DNA did not stimulate proliferation of AD10 cells, consistent with the predicted TCR specificity of SEA and SEB.

## Example 6

This example describes the expression of PCR3-GM DNA in CHO cells.

PCR3-GM DNA was produced, isolated and transfected into CHO cells using the methods described in Examples 1 and 2. Expression of GM-CSF protein in the CHO cells was measured by the following method. Supernatants were isolated from

10

15

25

the cultures of the transfected cells and non-transfected CHO cells. The supernatants were added to cultures of monocyte cells (obtained from normal canine PBMC) and the ability of the supernatants to support the growth and survival of monocytes was determined. After 4 days in culture with test or control CHO supernatants, monocyte survival was quantitated by addition of methyltetrazolium dye (MTT) that is reduced in viable cells. Absorbance of light at 570 nm (measured using an ELISA reader) is representative of cell survival.

The results are shown in Fig. 5 and indicate that the supernatants from CHO transfected with  $PCR_3$ -GM DNA stimulated the survival of canine monocytes in culture compared with results obtained using the control supernatants. The level of activity was comparable to that of 1 x  $10^5$  units of canine recombinant GM-CSF. Thus, the GM-CSF protein produced by CHO cells transfected with  $PCR_3$ -GM DNA is biologically active.

### Example 7

This example demonstrates that the vaccination of mice with autologous tumor cells transfected with PCR3-SEA.S DNA or PCR3-SEB.S DNA induce strong cytotoxic T cell (CTL) activity.

The following experiment studies the ability of non-immunogenic murine melanoma cells (B16 melanoma cells, F10 clone) expressing either PCR<sub>3</sub>-SEA.S DNA or PCR<sub>3</sub>-SEB.S to induce CTL responses in mice. B16 cells are known to be non-immunogenic when injected into C57B16/J mice. The

level of CTL responses that can be induced has been shown to correlate with the ability of the immunized animal to reject tumors.

B16 cells were transfected with either PCR,-SEA.S DNA, PCR,-SEB.S or PCR, DNA lacking insert (mock) using the method described in Example 2. The cells were then irradiated at 12,000 Rads. About 106 irradiated cells were then injected subcutaneously into C57B16/J mice. weeks later, the mice were sacrificed and their spleen mononuclear cells harvested. Mononuclear cells isolated from the spleen cells were then restimulated in vitro with irradiated, non-transfected wild type B16 cells for 6 days in media with interleukin-2 (IL-2). The spleen cells were then added in decreasing numbers to about 5  $\times$  10<sup>3</sup> of <sup>51</sup>Crlabeled wild type (non-transfected) B16 cells in a standard chromium release assay for CTL activity. After 4 hours, the supernatants were harvested and the percentage of specific lysis of the target B16 melanoma cells was quantitated.

The results are shown in Figs. 6A and 6B and indicate that injection of animals with irradiated transfected melanoma cells induce greater CTL activity than injection with non-transfected cells. This result is consistent with the non-immunogenic nature of B16 cells. Thus, DNA encoding bacterial SAg proteins expressed in transfected tumor cells are capable of eliciting strong CTL-mediated immunity against the non-transfected parental cell. These results suggest that autologous tumor cells transfected

5

10

15

20

WO 96/36366 PCT/US96/07432

-74-

with DNA encoding a superantigen constitute an effective tumor vaccine for treatment or prevention of metastatic disease.

### Example 8

5

10

15

20

25

This example demonstrates that tumor cells transfected with PCR3-SEB.S DNA are capable of inducing cytotoxic activity in adjacent T cells.

T cells were prepared from a mouse immunized with non-transfected B16 cells using the methods described in Example 7. These isolated cells exhibited minimal CTL activity towards non-transfected B16 target cells. B16 cells were transfected with PCR3-SEB.S using the methods generally described in Example 2. Induction of CTL activity by the transfected B16 target cells was assessed in a standard 4 hour chromium release assay as used in Example 7.

The results are shown in Fig. 7 and indicates that B16 cells transfected with PCR3-SEB.S produced protein that rapidly induced a four-fold increase in CTL activity in T cells that were relatively unresponsive to non-transfected target B16 cells. Thus, the SEB produced in the vicinity of the isolated T cells by the B16 cells is capable of stimulating such T cells. The data indicates that tumor cells transfected in vivo with PCR3-SEB.S can produce biologically active SEB.S that is capable of rapidly activating T lymphocytes in their vicinity and thereby inducing cytotoxic activity against themselves or neighboring tumor cells.

### Example 9

This example describes the treatment of canine melanoma with DNA encoding superantigen or GM-CSF.

### A. Criteria for entry and trial design

Animals selected for entry into the present study were 5 client owned animals with spontaneous oral malignant melanoma, a highly malignant neoplasm of dogs for which there is no alternative effective treatment. entry, the owners were required to sign informed consent. The study consisted of an initial 12 week trial response 10 phase with 6 injections given once every 2 weeks, followed by long term once monthly maintenance therapy for those animals that responded during the initial 12 week induction Potential toxicity was assessed by (1) body phase. temperature measured daily for 7 days after injection; (2) 15 physical examination of the injection site; (3) owner's assessment of their pet's attitude and appetite; (4) complete blood counts and biochemistry measurements once Treatment responses were assessed by: (1) monthly. physical measurement of tumor dimensions; (2) tumor 20 (3) thoracic radiographs for metastasis photography; evaluation.

# B. Superantigen + GM-CSF Treatment protocol

DNA samples complexed with liposomes were prepared as follows. PCR3-SEB.S and PCR3-GM plasmid DNA prepared from bacterial cultures by the alkaline lysis method, then purified by CsCl banding, were resuspended at a 1.0 mg/ml concentration in sterile PBS. Liposomes were prepared by

15

20

25

mixing equimolar amounts of N-[1-(33-dioleyloxy)propyl]-N,N,N-triethylammonium (DOTMA; obtained from Syntex, Corp., Palo Alto, CA) and dioleoyl phosphatidylethanolamine (DOPE; obtained from Avanti Polar Lipids, Birmingham, AL). The lipids were dried in a desiccator and reconstituted at a concentration of 1.0 mg/ml in sterile phosphate buffered saline (PBS), pH 7.0. The reconstituted lipids were sonicated for 5 minutes to produce liposomes having an average size of about 200 nm to about 400 nm.

Thirty minutes prior to injection into the patients, the PCR<sub>3</sub>-SEB.S and PCR<sub>3</sub>-GM DNA was mixed with the liposomes at a ratio of 1.0 µg DNA to 4 nmol liposome, in 1.0 ml sterile PBS. The solution was allowed to complex at room temperature. Two doses of DNA were administered, depending on tumor volume. For tumors less than 3 centimeters (cm) in diameter, 400 µg total DNA (200 µg each of PCR<sub>3</sub>-SEB.S and PCR<sub>3</sub>-GM DNA) were injected into each tumor. For tumors larger than 3 cm diameter, a total of 800 µg DNA (400 µg each of PCR<sub>3</sub>-SEB.S and PCR<sub>3</sub>-SEB.S and PCR<sub>3</sub>-GM DNA) were injected into each tumor.

For each treatment, the DNA:liposome mixture was injected into the tumor site with a 3 ml syringe and 25 gauge needle. For larger tumors, most of the injection was delivered into tissues at the periphery of the tumor base. For some smaller tumors, injections were also injected directly into tumor tissue. Lymph node tissue having evidence of tumor metastasis was also injected. Injections were performed once every 2 weeks for the first 12 weeks,

then continued twice monthly for those animals in which an initial treatment response occurred, until complete tumor regression occurred. At that time, the frequency of injections decreased to once monthly. The toxicity of the treatment was evaluated based on the parameters outlined above in section A. The results are shown below in Table 1.

BNSDOCID: <WO\_\_\_\_\_9636366A1\_I\_>

Patient Log for SEB.S and PCR3-GM DNA Treatment of Canine Melanoma Table 1.

Patient	Stage	TN	Tumor Size	Start Date	Response	Comments
Хошах	I	TIPNOMO	1.5 cm diam	5/16/94	CR 51 WKS	SEB.S + GM-CSF
Shadow	III	T2bN1bMO	3 cm diam	5/23/94	CR 50 wks	SEB.S + GM-CSF
NG	H	TINOMO	1.2 cm diam	9/12/94	CR 34 wks	SEB.S + GM-CSF
Maggie	II	TZaNOMO	2 cm diam	8/24/94	PR 33 WKS	SEB.S + GM-CSF
K.C.	III	T3aNOMO	> 4 cm diam	10/13/94	SD 12 WK	SEB.S + GM-CSF
Belvedere	III	TZN1bMO	4 cm diam	10/13/94	CR 30 wks	SEB.S + GM-CSF
Nicholas	III	T3bNOMO	> 4 cm diam	2/12/95	SD 12 wks	SEB.S + GM-CSF
Heidi	III	TON115MO	LN:2cm diam	2/21/95	PR 10 wks	SEB.S + GM-CSF
Bear	III	TON1BMO	LN:2.5cm	4/11/95	SD 4 wks	SEB.S + GM-CSF

# Key to terminology in patient data sheets:

I represents the smallest and III the largest size, with metastases stable disease (no tumor growth)
partial remission (> 50% decrease in tumor size) World Health Organization staging system Stage: TNM SD

tumor completely regressed PR CR

progressive disease, no response to treatment MCT PD

mast cell tumor

= mammary gland adenocarcinoma (malignant breast cancer) გ Mammary

squamous cell carcinoma = thyroid adenocarcinoma & Thyroid

S

10

15

20

BNSDOCID: <WO

The results shown in Table 1 indicate that a treatment response was observed in 6 of 9 dogs treated for the 12 week trial period. This included 4 complete remissions (no residual tumor) and 2 partial remissions (greater than 50% reduction in tumor size). Tumors in the remaining two dogs did not regress, but also did not progress in size during the 12 week trial. On average, a tumor response required 6 to 10 weeks to become apparent. The injections did not cause any inflammation or necrosis at injections sites. Toxicity, either local or systemic, was not observed in any of the 10 patents treated in this study. These results provide evidence of the efficacy of direct DNA injection using DNA encoding superantigen (SEB) and cytokine (GM-CSF) for treatment of spontaneous malignant melanoma in an outbred species.

Canine melanoma is a highly malignant, rapidly growing tumor of dogs, and provides a useful model for the study of treatments for human melanoma. Without treatment, the 50% survival time for animals with stage III disease (5 of the patients in this study) is about 3 months and all animals will be dead by 5 months due to pulmonary metastases. The observation of several long term survivors shown in Table 1 (others have not been treated long enough to evaluate) suggests that the combined DNA immunotherapy approach also has a systemic effect on preventing metastatic diseases.

Another major advantage of this approach is the apparent complete absence of toxicity in the dogs. Since dogs respond to SAg protein similar to humans, it is also

5

10

15

20

likely that toxicity in humans would also be minimal. The delivery of DNA encoding superantigens into tumor cells by transfection and subsequent local expression is sufficient to induce a strong immune response without inducing toxicity. Thus this genetic approach immunotherapy offers advantages over conventional chemotherapy and radiation therapy in terms of reducing patient morbidity. In addition, delivering the SAg protein by DNA transfection also avoids the potential toxicity associated with systemic administration.

### C. Single Gene Treatment Protocol

evaluate the effectiveness of injecting encoding either a superantigen or a cytokine, relative to combined genetic therapy (SAg-encoding DNA and cytokineencoding DNA), 2 groups of dogs were treated with either PCR,-SEB.S DNA alone (3 dogs) or PCR,-GM DNA alone (3 dogs; 2 entered, one evaluatable). Similar criteria for entry and trial design described above in Section A of this example was applied. Although not formally randomized, after the first 10 dogs were treated with the 2 gene combination, the next 3 enrollees were assigned the PCR-SEB.S DNA alone group and the next 3 to the PCR3-GM DNA A similar treatment protocol as described alone group. above in section B was applied in this study. Briefly, the DNA was complexed with liposomes and injected once every 2 weeks for the first 12 weeks, then continued twice monthly for those animals in which an initial treatment response occurred, until complete tumor regression occurred.

5

10

15

20

WO 96/36366 PCT/US96/07432

-81-

toxicity of the treatment was evaluated based on the parameters outlined above in section A. The results are shown below in Table 2.

BNSDOCID: <WO\_\_\_\_\_9636366A1\_1\_3

Patient Log for SEB.S or PCR3-GM DNA alone Treatment of Canine Melanoma Table 2.

Patient Stage	Stage	TN	Tumor Size	Start Date	Response	Comments
Jessie	II ·	T2bNOMO	2 cm diam	1/11/95	PD 17 wks	SEB.S alone
Mr. T	III	TONIEMO	LN:2cm diam	2/1/95	PD 14 wks	SEB.S alone
Duffy	II	TZANOMO	2 cm diam	2/3/95	PD 12 wks	SEB.S alone
Scooter	I	TZANOMO	2 cm diam	3/24/95	PD 7 wks	GM-CSF alone

വ

The results indicated that a tumor response did not occur in any dog receiving PCR3-SEB.S DNA alone and tumors grew progressively. In addition, one dog (Scooter) treated with PCR3-GM DNA alone also exhibited progressive growth. These data indicate that treatment with PCR3-SEB.S DNA alone or PCR3-GM DNA alone does not induce tumor regression. The data indicate that the marked anti-tumor efficacy of direct DNA injection results from the combined expression of PCR3-SEB.S DNA and PCR3-GM DNA in a tumor and adjacent tissues.

### 10 Example 10

15

20

25

This example describes the treatment of various tumor types with superantigen or GM-CSF encoding DNA.

The efficacy and lack of toxicity of PCR3-SEB.S DNA and PCR3-GM DNA was determined for the treatment of dogs with malignancies having similar biological and histological characteristics as human cancers. Dogs with five different cancers (advanced mammary carcinoma, mast cell tumor, thyroid carcinoma, non-oral melanoma, and squamous cell carcinoma) were treated in this study. Animals selected for entry into the present study included dogs with spontaneous malignancies that had received alternative treatments (e.g., chemotherapy and/or surgery) and either, had not responded, or had relapsed.

Therapeutic samples were prepared and injected intratumorally with PCR3-SEB.S DNA and PCR3-GM DNA as described above in Example 2. The dogs were treated initially once every 2 weeks for 12 weeks, then continued twice monthly for those animals in which an initial

treatment response occurred. The toxicity of the treatment was evaluated based on the parameters outlined above in Example 9, section A. The results are shown below in Table 3.

Patient Log for SEB.S and PCR3-GM DNA Treatment of Various Carcinomas Table 3.

Patient	Tumor Type	Stage	TN	Tumor Size	Start Date	Кевропве	Comments
Emma	Mammary CA	III	T4N1bnMO	1.8 cm diam	8/11/94	PR 22 wks	SEB.8 + GM-CSF
Baby	Mammary CA	II	Tlanibmo	2.6 cm dlam	9/12/94	PR 8 wks	SEB.S + GM-CSF
Christa	MCT	IIIa	NA	>2 cm diam	7/27/94	SD 39 wkg	SEB.S + GM-CSF
Jack	MCT	IIIa	NA	>3 cm diam	3/28/95	PD 4 wks	SEB.S + GM-CSF
Britt	Thyroid CA	III	T3bNOMO	>7 cm diam	10/14/94	SD 16 wk	SEB.S + GM-CSF
Duncan	Melanoma Toe	NA*	TZN1MO	>4 cm diam	8/11/94	SD 20 wks	SEB.S + GM-CSF
ВІЛІУ	Melanoma Toe	NA*	TON1bMO	LN 3.5 cm	1/10/95	CR 17 wks	SEB.S + GM-CSF
Scotche	SCC Toneil	NA	T3NOMO	4 cm diam	3/27/95	SD	SEB.S + GM-CSF

\* Metastases
NA Not Applicable
CA Carcinoma
MCT Mast Cell Tumor
SCC Squamous Cell Carcinoma

15

BNSDOCID: <WO

15

20

25

In this study, toxicity was not observed in any of the animals. Tumor responses (partial remission of the primary tumors) were observed in the animals with mammary carcinoma and neither animal developed additional metastatic disease during the course of the study. Treatment of one dog (Billy) with a large, metastatic (lymph node metastases), non-oral melanoma resulted in complete remission of the cancer. Treatment of the other dog (Duncan) with a large, metastatic (lymph node metastases), non-oral melanoma resulted in prolonged stabilization of the disease. dog with thyroid cancer (Britt) also experienced prolonged stabilization of the disease with once monthly injections. The response rate for the dogs with mast cell tumors was The effectiveness of the treatment on the squamous cell carcinoma is in early stages of evaluation. together, the results indicate that PCR3-SEB.S DNA and PCR3-GM DNA can effectively treat multiple tumor types, in addition to the melanomas reported above in Example 9.

### Example 11

This example describes the injection of PCR3-SEA.S DNA into muscle cells which induces potent, long-lasting T cell deletion.

Four groups of mice B10.BR (2-3 mice per group) were prepared as follows. Group (1) consisted of untreated mice (control mice). Group (2) consisted of mice injected intraperitoneally with 100 ng of recombinant SEA (rSEA) protein. Group (3) consisted of mice injected

WO 96/36366 PCT/US96/07432

intramuscularly with 100  $\mu$ g of PCR<sub>3</sub>-SEA.S DNA (50  $\mu$ g per leg, total of 100  $\mu$ g/mouse). Group (4) consisted of mice injected intramuscularly with 100  $\mu$ g PCR<sub>3</sub> (no insert; mock) DNA (50  $\mu$ g per leg, total of 100  $\mu$ g/mouse). The DNA samples were prepared by diluting 100  $\mu$ l of a solution containing 100  $\mu$ g of DNA 50:50 (v:v) in sterile PBS prior to injection. The rSEA protein was purified from cultures of E. coli cells transformed with the recombinant molecule PKK223 (obtained from Dr. John Kappler) encoding the truncated SEA.S protein lacking a leader sequence.

Beginning 72 hours after injection, mice were tail bled and PBMC prepared for fluorescence activated cell sorter (FACS) analysis. Cells were double labeled with the monoclonal antibodies FITC conjugated-GK1.5 antibody, biotinylated-KJ25 antibody and biotinylated-F23.1, to analyze for expression of CD4, TCR  $V\beta3$  and TCR  $V\beta8$  expression, respectively. The labelled cells were analyzed on an EPICS-C flow cytometer.

The percentage of cells isolated from the experimental mice expressing CD4 that also expressed either  $V\beta8$  or  $V\beta3$  was calculated and compared to percentages expressed by cells isolated from control mice. The mean percentage of CD4+ and  $V\beta3+$  T cells in PBMC was plotted against time after injection. The results are shown in Fig. 8 and indicate that the percentage of CD4+,  $V\beta3+$  T cells declined rapidly in PBMC of mice that received intramuscular injections with PCR3-SEA.S DNA, but not in mice mock

5

10

15

20

10

20

25

injected with mock DNA. The percentages of  $V\beta 8+$  cells was This result is predicted since SEA protein not affected. does not bind mouse  $V\beta 8+$  T cells. The decline of the percentage of CD4+,  $V\beta$ 3+ T cells occurred as rapidly as in mice injected with the recombinant SEA protein (rSEA). The deletion, however, observed over the next 2 months in mice injected with PCR,-SEA.S DNA was longer lasting and was more pronounced than the deletion induced by injection of SEA.S protein. In addition, injection of as little as 2 μg PCR<sub>2</sub>-SEA.S DNA also induced deletion of  $V\beta3+$  T cells. Thus. intramuscular injection of DNA encoding superantigens represents a potent and non-toxic approach to the deletion or suppression of potentially harmful (e.g., autoreactive T cells) T cells.

### 15 Example 12

This example describes the production of immunogen and chemokine encoding recombinant molecules.

Recombinant molecules encoding ovalbumin (OVA) were produced by ligating cDNA encoding OVA into the eukaryotic expression vector  $PCR_3$  and is referred to herein as  $PCR_3$ -OVA. cDNA encoding murine RANTES, murine macrophage inflammatory protein-1 alpha (MIP-1 $\alpha$ ), and macrophage inflammatory protein-1 beta (MIP-1 $\beta$ ) was prepared from RNA isolated from LPS-stimulated normal murine bone marrow macrophages using methods standard in the art. The cDNA were ligated into the expression vector  $PCR_3$ , and are referred to herein as  $PCR_3$ -RANTES,  $PCR_3$ -MIP-1 $\alpha$  and  $PCR_3$ -MIP-1 $\alpha$ 

WO 96/36366 PCT/US96/07432 .

1β. All plasmid DNA were purified by cesium chloride gradient centrifugation and resuspended at 1.0 mg/ml in sterile PBS.

# Example 13

5

10

15

20

25

This example demonstrates that the co-administration of adjuvant DNA and immunogen DNA stimulates antibody production against the immunogen protein.

Separate groups of 4 CB6 F1 mice per group were injected twice with the following mixtures of DNA: (1) about 100 µg PCR<sub>3</sub>-OVA + about 100 µg PCR<sub>3</sub>-MIP-1β; (2) about 100 µg PCR<sub>3</sub>-OVA + about 50 µg PCR<sub>3</sub>-SEB (described in Example 1) + PCR<sub>3</sub>-GM-CSF (described in Example 1); (3) about 100 µg PCR<sub>3</sub>-OVA + about 100 µg PCR<sub>3</sub>-RANTES; (4) about 100 µg PCR<sub>3</sub>-OVA + about 100 µg PCR<sub>3</sub>-SEB; (5) about 100 µg PCR<sub>3</sub>-OVA + about 100 µg PCR<sub>3</sub>-GM-CSF; or (6) about 100 µg PCR<sub>3</sub>-OVA alone. Control samples were also prepared which included 6 non-injected, syngeneic mice. The DNA was diluted to a final concentration of 0.5 mg/ml in sterile phosphate buffered saline (PBS) prior to injection. The mice were injected intramuscularly, bilaterally in their quadriceps muscles (about 100 µg of DNA per quadricep).

About 20 days after the immunization of step B, serum was collected from each mouse and assayed for antibodies that specifically bind to OVA protein using an OVA-specific enzyme linked immunoassay (ELISA) assay using methods standard in the art. Briefly, OVA protein was bound to an ELISA plate. The plates were washed and then incubated in

10

15

20

25

the presence of serum. Again the plates were washed and then incubated in the presence of HRP-conjugated anti-mouse IgG antibody. The amount of antibody bound to the OVA was detected on an ELISA reader and are expressed in absorbance units.

The results of the ELISA are shown in Fig. 9 and indicate that co-injection of DNA encoding OVA, with either DNA encoding RANTES or MIP-1 $\beta$ , or SEB and GM-CSF, increases the antibody response to OVA over that observed with OVA alone, OVA plus GM-CSF, OVA plus SEB alone or control samples. Thus, the expression of RANTES, MIP-1 $\beta$ , or SEB and GM-CSF increase the antibody response to OVA when administered as a DNA vaccine.

### Example 14

This example demonstrates that the co-administration of DNA adjuvant and immunogen DNA results in the production of interferon gamma.

Separate groups of 4 CB6 F1 mice per group were injected twice, intramuscularly (on day 1 and day 21), with the following mixtures of DNA: (1) about 100  $\mu$ g PCR<sub>3</sub>-OVA + about 100  $\mu$ g PCR<sub>3</sub>-MIP-1 $\beta$ ; (2) about 100  $\mu$ g PCR<sub>3</sub>-OVA + about 50  $\mu$ g PCR<sub>3</sub>-SEB + PCR<sub>3</sub>-GM-CSF; (3) about 100  $\mu$ g PCR<sub>3</sub>-OVA + about 100  $\mu$ g PCR<sub>3</sub>-RANTES; (4) about 100  $\mu$ g PCR<sub>3</sub>-OVA + about 100  $\mu$ g PCR<sub>3</sub>-SEB; (5) about 100  $\mu$ g PCR<sub>3</sub>-OVA + about 100  $\mu$ g PCR<sub>3</sub>-GM-CSF; or (6) about 100  $\mu$ g PCR<sub>3</sub>-OVA alone. Control samples were also prepared as above.

20

25

The mice were sacrificed on day 27. Spleen cells were harvested from each mouse and re-stimulated in vitro with irradiated OVA-transfected cells (EG7-OVA) in quadruplicate wells. On day 4 of the re-stimulation with irradiated EG7-OVA cells, supernatants were harvested from the cultures and assayed for interferon gamma activity using an interferon gamma-specific ELISA assay. Results were expressed as units/ml of interferon activity, as determined by comparison with a standard curve generated with recombinant murine interferon-gamma.

The results are shown in Fig. 10 and indicate that RANTES or GM-CSF were effective compounds for inducing interferon-gamma production. Although less, SEB and MIP-1\$\beta\$ also induced interferon-gamma production. Additional experiments indicated that none of the adjuvants evaluated in this experiment induced significant quantities of IL-4 release. Together, these data indicate that the immune response induced by an adjuvant of the present invention is primarily a Th1 response, which induces primarily cell-mediated immunity, including macrophage activation, enhanced T cell CTL activity, and increased MHC expression. Example 15

This example demonstrates that the co-administration of adjuvant DNA and immunogen DNA induce T cell proliferative responses to the immunogen.

Separate group of 4 CB6 Fl mice per group were immunized using the protocol described in Example 14. The

20

25

animals were sacrificed on day 27 and harvested spleen cells re-stimulated using the method described in Example 14. After about 4 days of re-stimulation, 100  $\mu$ l aliquots of the cells were harvested from each well and pulsed for 18 hours with <sup>3</sup>H-thymidine. Thymidine incorporation was then quantitated (cpm) as a measure of the proliferative response to OVA expressed by the transfected EG7-OVA cell line.

The results are shown in Fig. 11 and indicate that

10 MIP-1\$\beta\$, RANTES, SEB + GM-CSF, and SEB alone, when coadministered together with OVA DNA, induce a substantial
increase in the proliferative response to OVA. Thus, these
data provide evidence that DNA encoding chemokines and SAgs
are useful for enhancing cell-mediated immune responses and
therefore are useful as DNA vaccine adjuvants.

# Example 16

This example demonstrates that the co-administration of adjuvant DNA increases CTL responses to the immunogen ovalbumin.

Mice were immunized using the protocol described in Example 14. Spleen cells were harvested from the immunized mice 7 days after the last vaccination. The cells were then re-stimulated in vitro for 6 days with irradiated EG7-OVA cells. T cells were then harvested from the restimulated population and added in decreasing numbers to 51Cr-labeled EG7-OVA or EL-4 target cells in a standard 4 hour chromium release assay for CTL activity. The percent

10

15

cell lysis was determined Chromium release was then quantitated (cpm) as a measure of the percent specific cell lysis of labeled target cells. The higher the % specific lysis, the more CTL activity exhibited by the T cells.

The results are shown in Fig. 12 and indicate that all of the adjuvant DNAs evaluated induced increased CTL activity compared to OVA alone. The use of RANTES, GM-CSF and SEB alone, each were effective in inducing CTL activity. These data indicate that co-administration of chemokine DNA can enhance CTL-mediated immunity to an intracellular immunogen, as typified by OVA expressed in a transfected cell line, indicating that this approach is useful for vaccines against intracellular pathogens.

Taken together, the results of Examples 12-16 indicate that all DNA adjuvants tested (GM-CSF, SEB, SEB+GM-CSF, RANTES and MIP-1 $\beta$ ) improved cell mediated immunity against the immunogen ovalbumin. In particular, the use of either SEB or GM-CSF alone, as well as the combination of SEB + GM-CSF were effective at inducing cell mediated immunity.

-94-

### SEOUENCE LISTING

The following Sequence Listing is submitted pursuant to 37 CFR §1.821. A copy in computer readable form is also submitted herewith.

5 Applicants assert pursuant to 37 CFR §1.821(f) that the content of the paper and computer readable copies of SEQ ID NO:1 through SEQ ID NO:13 submitted herewith are the same.

### (1) GENERAL INFORMATION:

- 10 (i) APPLICANT: Dow, Steve W. Elmslie, Robyn E. Potter, Terence A.
  - (ii) TITLE OF INVENTION: GENE THERAPY FOR EFFECTOR CELL REGULATION
- 15 (iii) NUMBER OF SEQUENCES: 13
  - (iv) CORRESPONDENCE ADDRESS:
    - (A) ADDRESSEE: Sheridan Ross & McIntosh
    - (B) STREET: 1700 Lincoln Street, Suite 3500
- 20 (C) CITY: Denver
  - (D) STATE: Colorado
  - (E) COUNTRY: U.S.A.
  - (F) ZIP: 80203
  - (v) COMPUTER READABLE FORM:
    - (A) MEDIUM TYPE: Floppy disk
    - (B) COMPUTER: IBM PC compatible
    - (C) OPERATING SYSTEM: PC-DOS/MS-DOS (D) SOFTWARE: PatentIn Release #1.0, Version #1.25
    - (vi) CURRENT APPLICATION DATA:
      - (A) APPLICATION NUMBER:
        - (B) FILING DATE:
        - (C) CLASSIFICATION:
  - (viii) ATTORNEY/AGENT INFORMATION:
    - (A) NAME: Connell, Gary J.
    - (B) REGISTRATION NUMBER: 32,020
      - (C) REFERENCE/DOCKET NUMBER: 2879-29-C1-PCT
    - (ix) TELECOMMUNICATION INFORMATION:
      - (A) TELEPHONE: (303) 863-9700 (B) TELEFAX: (303) 863-0223

25

30

	(2) INFORMATION FOR SEQ ID NO:1:	
5	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 773 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: single  (D) TOPOLOGY: linear	
	(ii) MOLECULE TYPE: protein	
10	(ix) FEATURE: (A) NAME/KEY: CDS (B) LOCATION: 1765	
	(xi) SEQUENCE DESCRIPTION: SEQ ID NO:1:	
	ATG ACC ATG ATT ACG AAT TTA ATA CGA CTC ACT ATA GGG AAT TCC ATG Met Thr Met Ile Thr Asn Leu Ile Arg Leu Thr Ile Gly Asn Ser Met  1 5 10 15	= 4
15	GAG AGT CAA CCA GAT CCT AAA CCA GAT GAG TTG CAC AAA TCG AGT AAA Glu Ser Gln Pro Asp Pro Lys Pro Asp Glu Leu His Lys Ser Ser Lys 20 25 30	9
20	TTC ACT GGT TTG ATG GAA AAT ATG AAA GTT TTG TAT GAT G	144
	GTA TCA GCA ATA AAC GTT AAA TCT ATA GAT CAA TTT CTA TAC TTT GAC Val Ser Ala Ile Asn Val Lys Ser Ile Asp Gln Phe Leu Tyr Phe Asp 50 55 60	192
25	TTA ATA TAT TCT ATT AAG GAC ACT AAG TTA GGG AAT TAT GAT AAT GTT Leu Ile Tyr Ser Ile Lys Asp Thr Lys Leu Gly Asn Tyr Asp Asn Val 65 70 75 80	
	CGA GTC GAA TTT AAA AAC AAA GAT TTA GCT GAT AAA TAC AAA GAT AAA Arg Val Glu Phe Lys Asn Lys Asp Leu Ala Asp Lys Tyr Lys Asp Lys 85 90 95	288
30	TAC GTA GAT GTG TTT GGA GCT AAT TAT TAT TAT CAA TGT TAT TTT TCT Tyr Val Asp Val Phe Gly Ala Asn Tyr Tyr Tyr Gln Cyr Tyr Phe Ser 100 105 110	336
35	AAA AAA ACG AAT GAT ATT AAT TCG CAT CAA ACT GAC AAA CGA AAA ACT Lys Lys Thr Asn Asp Ile Asn Ser His Gln Thr Asp Lys Arg Lys Thr 115 120 125	384
	TGT ATG TAT GGT GGT GTA ACT GAG CAT AAT GGA AAC CAA TTA GAT AAA Cys Met Tyr Gly Gly Val Thr Glu His Asn Gly Asn Gln Leu Asp Lys 130 140	432
40	TAT AGA AGT ATT ACT GTT CGG GTA TTT GAA GAT GGT AAA AAT TTA TTA Tyr Arg Ser Ile Thr Val Arg Val Phe Glu Asp Gly Lys Asn Leu Leu 145 150 155 160	480
	TCT TTT GAC GTA CAA ACT AAT AAG AAA AAG GTG ACT GCT CAA GAA TTA Ser Phe Asp Val Gin Thr Asn Lys Lys Val Thr Ala Gin Glu Leu 165 170 175	528
45	GAT TAC CTA ACT CGT CAC TAT TTG GTG AAA AAT AAA AAA CTC TAT GAA Asp Tyr Leu Thr Arg His Tyr Leu Val Lys Asn Lys Lys Leu Tyr Glu 180 185 190	576

-96-

624

672

720

765

•																AAT Asn
5													Gly	GAT : Asp		Phe
												Asn		ATG ( Met		GAT Asp 240
10														AAA : Lys		
	TGA	AGCT	r													
	(2)	INF	ORMA!	rion	FOR	SEQ	ID I	NO:2	:							
15			(i) :	(A)	LEI		: 25	5 am			<b>s</b>					
		(:	Li) 1	MOLE	CULE	TYP	E: p	rote	in	•						
20	<b>W</b> -+	•	•	-							NO:			_	_	
	Met 1	The	Met	TTE	Thr 5	Asn	Leu	He	Arg	Leu 10	Thr	Ile	GIĀ	Asn	ser 15	Met
	Glu	Ser	Gln	Pro 20	Asp	Pro	Lys	Pro	Asp 25	Glu	Leu	His	Lys	Ser 30	Ser	Lys
25	Phe	Thr	Gly 35	Leu	Met	Glu	Asn	Met 40	Lys	Val	Leu	Tyr	Asp 45	Asp	Asn	His
	Val	Ser 50	Ala	Ile	Asn	Val	Lys 55	Ser	Ile	Asp	Gln	Phe 60	Leu	Tyr	Phe	Asp
30	Leu 65	Ile	Tyr	Ser	Ile	Lys 70	Asp	Thr	Lys	Leu	Gly 75	Asn	Tyr	Asp	Asn	Val 80
	Arg	Val	Glu	Phe	Lys 85	Asn	Lys	Asp	Leu	Ala 90	Asp	Lys	Tyr	Lys	Asp 95	Lys
	Tyr	Val	Asp	Val 100	Phe	Gly	Ala	Asn	Tyr 105	Tyr	Tyr	Gln	Сув	Tyr 110	Phe	Ser
35	Lys	Lys	Thr 115	Asn	Asp	Ile	Asn	Ser 120	His	Gln	Thr	Asp	Lys 125	Arg	Lys	Thr
	Cys	Met 130	Tyr	Gly	Gly	Val	Thr 135	Glu	His	Asn	Gly	Asn 140	Gln	Leu	Asp	Lys
10	Tyr 145	Arg	Ser	Ile	Thr	Val 150		Val	Phe	Glu	Asp 155	Gly	Lys	Asn	Leu	Leu 160
	Ser	Phe	yab	Val	Gln 165	Thr	Asn	Lys	Lys	Lys 170	Val	Thr	Ala	Gln	Glu 175	Leu
	Asp	Tyr	Leu	Thr 180	Arg	His	Tyr	Leu	Val 185	Lys	Asn	Lys	Lys	Leu 190	Tyr	Glu

-97-

•	Phe	Asn	Asn 195		Pro	Tyr	Glu	Thr 200		Tyr	Ile	Lys	Phe 205		Glu	Asn	
	Glu	Asn 210		Phe	Trp	Tyr	Asp 215		Met	Pro	Ala	Pro 220	Gly	Asp	Lys	Phe	
5	Asp 225		Ser	Lys	Tyr	Leu 230		Met	Tyr	Asn	Asp 235		Lys	Met	Val	Asp 240	
	Ser	Lys	Asp	Val	Lys 245		Glu	Val	Tyr	Leu 250		Thr	Lys	Lys	Lys 255		
	(2)	Inf	ORMA	TION	FOR	SEQ	ID	NO: 3	:								
10		(i	· ()	A) L B) T C) S	CE C ENGT YPE: TRAN	H: 7 nuc DEDN	51 b leic ESS:	ase aci sin	pair d	8							
15		(ii	) MO	LECU	LE T	YPE:	pro	tein									
		(ix		A) N	E: AME/I OCAT						٠			•			
		(xi	) SE	QUEN	CE D	ESCR	IPTI	ON:	SEQ	ID NO	0:3:						
20	ATG.	acca'	TGA ?	itac	GAAT'	PT A	ATACO	CACTO	C ACT	PATAG	GGA	ATTC		G GAG t Gl			54
25	AGC Ser	GAA Glu 5	GAA Glu	ATA Ile	AAT Asn	GAG Glu	AAA Lys 10	GAT Asp	CTG Leu	CGC 1	AAG / Lys	AAG 1 Lys 15	CC G Ser	AA T Glu	TG CI Leu	AG Gln	102
	GGA Gly 20	ACA Thr	GCC Ala	CTA Leu	GGC Gly	AAT Asn 25	CTT Leu	AAA Lys	CAA Gln	ATC :	TAT 1 Tyr 30	TAT I	AC A Tyr	AT G. Asn	AA A Glu	AA Lys 35	150
30					AAT Asn 40												198
	ATA Ile	TTG	TTT Phe	AAA Lys 55	GGC Gly	TTT Phe	TTT . Phe	ACT (	GAT Asp 60	CAT : His	ICG I Ser	GG I Trp	AT A Tyr	AC G Asn 65	AT T	IA Leu	246
35	CTA Leu	GTA Val	GAT Asp 70	TTT Phe	GAT Asp	TCG Ser	AAG Lys	GAC Asp 75	ATC (	GTT ( Val	GAT A Asp	AA T Lys	AT A Tyr 80	AA G( Lys (	GG AI	AG Lys	294
40					TAT Tyr												342
	ACA Thr 100	CCA Pro	AAC Asn	AAA Lys	ACA Thr	GCA Ala 105	TGC : Cys	ATG : Met	TAT (	GT G	GG G Gly 110	TA A Val	CC T Thr	ra ci Leu i	His 1	AC Asp 115	390
45					ACC Thr 120									Leu !			438

534

582

630

678

726

751

									CTA Leu 140	Glu					Asn	AAG Lys
5														Arg		CTA Leu
	CAG Gln	GAA Glu 165	Lys	TAT Tyr	AAT Asn	TTG Leu	TAC Tyr 170	AAC Asn	TCT	GAC Asp	GTC Val	Phe	Asp	GGG 1 Gly	AAG ( Lys	GTT Val
10									ACT Thr							AAC Asn 195
15									CAG Gln							AGA Arg
									AAC Asn 220							ASP
20			TTA Leu 230				TAAC	CTT								
	(2)		ORMA!			_			: rics							
25			(+) •	(A)	LEI TY	ngth Pe: 8		3 am	ino a id		<b>.</b>					
			ii) 1 ki) 8						in : SEG	O ID	NO:	4:				
30	Met 1	Glu	Lys	Ser	Glu 5	Glu	Ile	Asn	Glu	Lys 10	Asp	Leu	Arg	Lys	Lys 15	Ser
	Glu	Leu	Gln	Gly 20	Thr	Ala	Leu	Gly	Asn 25	Leu	Lys	Gln	Ile	Tyr 30	Tyr	Tyr
	Asn	Glu	Lys 35	Ala	Lys	Thr	Glu	Asn 40	Lys	Glu	Ser	His	Asp 45	Gln	Phe	Leu
35	Gln	His 50	Thr	Ile	Leu	Phe	Lys 55	Gly	Phe	Phe	Thr	<b>Asp</b> 60	His	Ser	Trp	Tyr
	Asn 65	Asp	Leu	Leu	Val	Asp 70	Phe	Asp	Ser	Lys	Asp 75	Ile	Val	Asp	Lys	Tyr 80
40	Lys	Gly	Lys	Lys	Val 85	Asp	Leu	Tyr	Gly	Ala 90	Tyr	Tyr	Gly	Tyr	Gln 95	Cys
				100					Ala 105	_		-	_	110		
			115					120	Glu		•		125			
45	Leu	Trp 130	Leu	Asp	Gly	Lys	Gln 135	Asn	Thr	Val	Pro	Leu 140	Glu	Thr	Val	Lys

SUBSTITUTE SHEET (RULE 26)

-99-

	Thr 145	Asn	Lys	Lys	Asn	Val 150	Thr	Val	Gln	Glu	Leu 155	Asp	Leu	Gln	Ala	Arg 160	
	Arg	Tyr	Leu	Gln	Glu 165	Lys	Tyr	Asn	Leu	Tyr 170	Asn	Ser	Asp	Val	Phe 175	Asp	
5	Gly	Lys	Val	Gln 180	Arg	Gly	Leu	Ile	Val 185	Phe	His	Thr	Ser	Thr 190	Glu	Pro	
	Ser	Val	Asn 195	Tyr	Asp	Leu	Phe	Gly 200	Ala	Gln	Gly	Gln	Tyr 205	Ser	Asn	Thr	
10	Leu	Leu 210	Arg	Ile	Tyr	Arg	Asp 215	Asn	Lys	Thr	Ile	Asn 220	Ser	Glu	Asn	Met	
	His 225	Ile	Asp	Ile	Tyr	Leu 230	Tyr	Thr	Ser								
•	(2)	INFO	ORMA:	rion	FOR	SEQ	ID 1	NO:5	:								
15		(i)	(i (I ()	Ā) LI 3) T 2) S	CE CI BNGTI YPB: IRANI OPOLO	nuc DEDNI	32 baleic 388:	ase pacions acions	pair i	8							
		(ii)	MOI	LECUI	LE T	PE:	pro	tein									
20		(ix)	(1	•	B: AME/I DCATI												
			CF(	TEN	CE DI	SCR	ודיים	ON ·	SEO '	TD NO	١٠ 5 ٠					•	
		(XI)	, se,	ZOBIN			••••	J	Jeg .	10 110	<i>7</i> . J.						
25		ACA	AAC	GAT	AAT	ATA	AAG	GAT	TTG	CTA ( Leu 10	GAC 1						48
<b>25</b>	Met 1	ACA Thr	AAC Asn	GAT Asp TTT	AAT Asn 5	ATA Ile AAT	AAG Lys AGT	GAT Asp GAA	TTG Leu GTT	CTA (	GAC 1 Asp	Trp AT 1	Tyr CC 1	Ser	Ser 15 GA T	Gly CT	<b>48</b> 96
25	Met 1 TCT Ser	ACA Thr GAC Asp	AAC Asn ACT Thr	GAT Asp TTT Phe 20	AAT Asn 5 ACA Thr	ATA Ile AAT ASN	AAG Lys AGT Ser	GAT Asp GAA Glu	TTG Leu GTT Val 25	CTA ( Leu 10	GAC 1 Asp  GAT 1 Asp	Trp AT 1 Asn	Tyr CC I Ser	TA G Leu 30	Ser 15 GA T Gly	CI CI Ser	
	Met 1 TCT Ser ATG Met	ACA Thr GAC Asp CGT Arg	AAC ABN ACT Thr ATA 11e 35	GAT Asp TTT Phe 20 AAA Lys	AAT Asn 5 ACA Thr AAC Asn	ATA Ile AAT ASN ACA Thr	AAG Lys AGT Ser GAT Asp	GAT Asp GAA Glu GGC Gly 40	TTG Leu GTT Val 25 AGC Ser	CTA ( Leu 10 TTA ( Leu ATC 1	GAC 1 Asp GAT 1 Asp AGC 0 Ser	Trp Asn CTT A Leu	Tyr CC I Ser ATA A Ile 45	TA G Leu 30 TT T Ile	Ser 15 GA T Gly TT C Phe	CT Ser CG Pro	96
30	Met 1 TCT Ser ATG Met AGT Ser	ACA Thr GAC Asp CGT Arg CCT Pro 50	AACT Thr ATA 11e 35 TAT Tyr	GAT Asp TIT Phe 20 AAA Lys TAT Tyr	AAT Asn 5 ACA Thr AAC Asn AGC Ser	ATA Ile AAT ASN ACA Thr CCT Pro	AAG Lys AGT Ser GAT Asp GCT Ala 55	GAT Asp GAA Glu GGC Gly 40 TTT Phe	TTG Leu GTT Val 25 AGC Ser ACA Thr	CTA ( Leu 10  TTA ( Leu  ATC I Ile	GAC TABP  GAT ABP  AGC C Ser  GG G	AAT TASS	TYT SET ATA A Ile 45 AA G Lys	TA G Leu 30 TT T Ile TT G Val	GA TGly TT CPhe AC TASP	CT Ser CG Pro TA Leu	96 144
30	Met 1 TCT Ser ATG Met AGT Ser AAC Asn 65	ACA Thr GAC Asp CGT Arg CCT Pro 50 ACA Thr	AAC ABN ACT Thr ATA 11e 35 TAT Tyr AAA Lys	GAT Asp TTT Phe 20 AAA Lys TAT Tyr	AAT ASN 5 ACA Thr AAC ASN AGC Ser ACT Thr	ATA Ile  AAT ASN  ACA Thr  CCT Pro  AAA Lys 70  AGT	AAG Lys AGT Ser GAT ABP GCT Ala 55 AAA Lys	GAT ASP GAA Glu GGC Gly 40 TTT Phe AGC Ser	TTG Leu  GTT Val 25 AGC Ser ACA Thr	CTA ( Leu 10  TTA ( Leu ATC I Ile AAA ( Lys	GAC TABP  GAT A ASP  AGC C Ser  GGy  ACT A Thr 75	AAT TAASN CTT ACCOMMENTATION OF THE COMMENT ACCOMMENT AC	Tyr TCC I Ser ATA A Ile 45 AA G Lys AA G Glu AA T	TA G Leu 30 TT T Ile TT G Val GA A Gly TA C	GA T C Phe AC T Asp	CT Ser CG Pro TA Leu AT Tyr 80	96 144
30 35	Met 1 TCT Ser ATG Met AGT Ser AAC Asn 65 ATC Ile	ACA Thr GAC Asp CGT Arg CCT Pro 50 ACA Thr	AAC ABN ACT Thr ATA 11e 35 TAT Tyr AAA Lys TTC Phe	GAT Asp TTT Phe 20 AAA Lys TAT Tyr AGA Arg CAA Gln	AAT Asn 5 ACA Thr AAC Asn AGC Ser ACT Thr ATA 11e 85	ATA Ile  AAT ABN  ACA Thr  CCT Pro  AAA Lys 70  AGT Ser	AAG Lys AGT Ser GAT ASP GCT Ala 55 AAA Lys GGC Gly	GAT Asp GAA Glu GGC Gly 40 TTT Phe AGC Ser Val	TTG Leu  GTT Val 25 AGC Ser ACA Thr CAA Gln ACA Thr	CTA ( Leu 10  TTA ( Leu ATC I Ile AAA ( Lys CAT I His	GAC TABP  AGC COSET  AGC COSET  ACT ATTACT  CAT G	AAT TABE	Tyr CC I Ser ATA A Ile 45 AA G Lys AA G Glu AA T Lys	TA GLEU 30 TT T Ile TT GVal GA A Gly TA C Leu AT A	GA T GIY TT C Phe AC T ASP CT ASP CT AC Pro	CT Ser CG Pro TA Leu AT Tyr 80 CT Thr	96 144 192 240

# SUBSTITUTE SHEET (RULE 26)

WO 96/36366 PCT/US96/07432

-100-

٠		GAC Asp 130											His				432
5		TCA Ser										Ile					480
		TCC Ser									Lys					Thr	528
		AAA Lys													Ala		576
15		AAT Asn															582
	(2)	INF	ORMA!	TION	FOR	SEQ	ID I	NO:6	:								
20		•	(i) :	(A)	LEI	CHAI NGTH: PE: 4	: 19	4 am:	ino a id		8						
		(:	Li) 1	MOLE	CULE	TYPI	E: p	rote:	in								
		(2	(i) :	SEQUI	ence	DES	CRIP:	TION:	: SE	Q ID	NO:	6:					
•	Met 1	Thr	Asn	Asp	Asn 5	Ile	Lys	Asp	Leu	Leu 10	Asp	Trp	Tyr	Ser	Ser 15	Gly	
25	Ser	Asp	Thr	Phe 20	Thr	Asn	Ser	Glu	Val 25	Leu	Asp	Asn	Ser	Leu 30	Gly	Ser	
	Met	Arg	Ile 35	Lys	Asn	Thr	Asp	Gly 40	Ser	Ile	Ser	Leu	Ile 45	Ile	Phe	Pro	
30	Ser	Pro 50	Tyr	Tyr	Ser	Pro	Ala 55	Phe	Thr	Lys	Gly	Glu 60	Lys	Val	Asp	Leu	
	Asn 65	Thr	Lys	Arg	Thr	Lys 70	Lys	Ser	Gln	His	Thr 75	Ser	Glu	Gly	Thr	Tyr 80	
_		His			85		•			90			_		95		
35		Ile		100				,	105					110			
		Lys	115					120					125				
10		130					135					140					
	145	Ser				150					155					160	
		Ser		_	165		_			170	_			-	175		
15		Lys	Pro	Pro 180	Ile	Asn	Ile	Asp	Glu 185	Ile	Lys	Thr	Ile	Glu 190	Ala	Glu	
	Tle	Aen															

SUBSTITUTE SHEET (RULE 26)

BNSDOCID: <WO\_\_\_\_\_9636366A1\_I\_>

(2) INFORMATION FOR SEQ ID NO:7:

5	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 25 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: single  (D) TOPOLOGY: linear	
	(ii) MOLECULE TYPE: DNA (genomic)	
10	<pre>(ix) FEATURE:     (A) NAME/KEY: misc feature     (B) LOCATION: 125     (D) OTHER INFORMATION: /label= primer</pre>	
	(xi) SEQUENCE DESCRIPTION: SEQ ID NO:7:	
	GGGAATTCCA TGGAGAGTCA ACCAG	25
15	(2) INFORMATION FOR SEQ ID NO:8:	
20.	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 23 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: single  (D) TOPOLOGY: linear	
	(ii) MOLECULE TYPE: DNA (genomic)	
25	<pre>(ix) FEATURE:     (A) NAME/KEY: misc feature     (B) LOCATION: 123     (D) OTHER INFORMATION: /label= primer</pre>	
	(xi) SEQUENCE DESCRIPTION: SEQ ID NO:8:	
	GCGGATCCTC ACTTTTCTT TGT	23
	(2) INFORMATION FOR SEQ ID NO:9:	
30	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 22 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: single  (D) TOPOLOGY: linear	-
	(ii) MOLECULE TYPE: DNA (genomic)	
35	<pre>(ix) FEATURE:     (A) NAME/KEY: misc feature     (B) LOCATION: 122     (D) OTHER INFORMATION: /label= primer</pre>	
	(xi) SEQUENCE DESCRIPTION: SEQ ID NO:9:	
40	GGGAATTCCA TGGAGAAAG CG	22

	(2) INFORMATION FOR SEQ ID NO: 10:	
5	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 25 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: single  (D) TOPOLOGY: linear	
	(ii) MOLECULE TYPE: DNA (genomic)	
10	<pre>(ix) FEATURE:    (A) NAME/KEY: misc feature    (B) LOCATION: 125    (D) OTHER INFORMATION: /label= primer</pre>	
	(xi) SEQUENCE DESCRIPTION: SEQ ID NO:10:	
	GCAAGCTTAA CTTGTATATA AATAG	2!
	(2) INFORMATION FOR SEQ ID NO:11:	
15	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 51 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: single  (D) TOPOLOGY: linear	
20	(ii) MOLECULE TYPE: DNA (genomic)	
	(ix) FEATURE:  (A) NAME/KEY: misc_feature  (B) LOCATION: 151	
	(xi) SEQUENCE DESCRIPTION: SEQ ID NO:11:	
25	CGGGGTACCC CGAAGGAGGA AAAAAAATG TCTACAAACG ATAATATAAA G	5:
	(2) INFORMATION FOR SEQ ID NO:12:	
30	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 42 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: single  (D) TOPOLOGY: linear	
	(ii) MOLECULE TYPE: DNA (genomic)	
35	<pre>(ix) FEATURE:     (A) NAME/KEY: misc feature     (B) LOCATION: 142     (D) OTHER INFORMATION: /label= primer</pre>	
	(xi) SEQUENCE DESCRIPTION: SEQ ID NO:12:	
	TGCTCTAGAG CATTAATTAA TTTCTGCTTC TATAGTTTTT AT	4:

121	INFORMATION	ROB	SEO	TD	NO.1	2 .
121	THEORMALION	FUR	SEC	ıν	NULL	

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 279 base pairs
  - (B) TYPE: nucleic acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: DNA (genomic)
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:13:

ACCATGAAGA TCTCTGCAGC TGCCCTCACC ATCATCCTCA CTGCAGCCGC CCTCTGGGCG 60

10 CCCGCGCCTG CCTCACCATA TGGCTCGGAC ACCACTCCCT GCTGCTTTGC CTACCTCTCC 120

CTCGCGCTGC CTCGTGCCCA CGTCAAGGAG TATTTCTACA CCAGCAGCAA GTGCTCCAAT 180

CTTGCAGTCG TGTTTGTCAC TCGAAGGAAC CGCCAAGTGT GTGCCAACCC AGAGAAGAAG 240

TGGGTTCAAG AATACATCAA CTATTTGGAG ATGAGCTAG 279

While various embodiments of the present invention

15 have been described in detail, it is apparent that
modifications and adaptations of those embodiments will
occur to those skilled in the art. It is to be expressly
understood, however, that such modifications and
adaptations are within the scope of the present invention,

20 as set forth in the following claims:

SUBSTITUTE SHEET (RULE 26)

15

### What is claimed:

- 1. A therapeutic composition comprising: (a) an isolated nucleic acid molecule encoding a superantigen; and (b) an isolated nucleic acid molecule selected from the group consisting of a nucleic acid molecule encoding a cytokine, a nucleic acid molecule encoding a chemokine and mixtures thereof, wherein said isolated nucleic acid molecules are operatively linked to one or more transcription control sequences.
- 2. The therapeutic composition of Claim 1, wherein said superantigen is selected from the group consisting of staphylococcal enterotoxins, retroviral antigens, streptococcal antigens, mycoplasma antigens, mycobacteria antigens, viral antigens and protozoan antigens.
  - 3. The therapeutic composition of Claim 1, wherein said superantigen comprises staphylococcal enterotoxins.
    - 4. The therapeutic composition of Claim 1, wherein said superantigen is selected from the group consisting of SEA, SEB, SEC, SEC, SEC, SED, SEE and TSST.
- 5. The therapeutic composition of Claim 1, wherein said superantigen is derived from a virus selected from the group consisting of mouse mammary tumor virus, rabies virus and herpes virus.
- 6. The therapeutic composition of Claim 1, wherein said cytokine is selected from the group consisting of hematopoietic growth factors, interleukins, interferons,

immunoglobulin superfamily molecules, tumor necrosis factor family molecules and chemokines.

- 7. The therapeutic composition of Claim 1, wherein said cytokine is selected from the group consisting of granulocyte macrophage colony stimulating factor, macrophage colony stimulating factor, tumor necrosis factor  $\alpha$ , interleukin-1, interleukin-2, interleukin-4, interleukin-6, interleukin-12, and interleukin-15.
- 8. The therapeutic composition of Claim 1, wherein said cytokine is selected from the group consisting of granulocyte macrophage colony stimulating factor and tumor necrosis factor  $\alpha$ .
  - 9. The therapeutic composition of Claim 1, wherein said cytokine comprises granulocyte macrophage colony stimulating factor.
  - 10. The therapeutic composition of Claim 1, wherein said chemokine is selected from the group consisting of C5a, IL-8, MIP1 $\alpha$ , MIP1 $\beta$ , MCP-1, MCP-3, PAFR, FMLPR, LTB<sub>4</sub>R, GRP, RANTES, eotaxin, lymphotactin, IP10, I-309, ENA78, GCP-2, NAP-2 and MGSA/gro.
  - 11. The therapeutic composition of Claim 1, wherein said chemokine is selected from the group consisting of IL-8. MIP10, MIP10, MCP-1, MCP-3, RANTES and NAP-2.
- 12. The therapeutic composition of Claim 1, wherein said chemokine is selected from the group consisting of IL-8, Rantes, MIP1 $\alpha$  and MIP1 $\beta$ .

15

20

- 13. The therapeutic composition of Claim 1, wherein said isolated nucleic acid molecules are operatively linked to one or more transcription control sequences capable of being expressed in a mammalian cell.
- 14. The therapeutic composition of Claim 1, wherein said transcription control sequences are selected from the group consisting of RSV control sequences, CMV control sequences, retroviral LTR sequences, SV-40 control sequences and  $\beta$ -actin control sequences.
- 15. The therapeutic composition of Claim 1, wherein said isolated nucleic acid molecule encoding a superantigen comprises a recombinant molecule selected from the group consisting of PCR<sub>3</sub>-SEB, PCR<sub>3</sub>-SEA, PCR<sub>3</sub>-SEB.S, PCR<sub>3</sub>-SEA.S and PCR<sub>3</sub>-TSST.
- 16. The therapeutic composition of Claim 1, wherein said isolated nucleic acid molecule encoding a cytokine comprises PCR<sub>2</sub>-GM<sub>2</sub>.
  - 17. The therapeutic composition of Claim 1, wherein said isolated nucleic acid molecule encoding a chemokine is selected from the group consisting of PCR<sub>3</sub>-RANTES, PCR<sub>3</sub>-MIP1 $\alpha$  and PCR<sub>3</sub>-MIP1 $\beta$ .
  - 18. The therapeutic composition of Claim 1, wherein said therapeutic composition further comprises a pharmaceutically acceptable carrier selected from the group consisting of an aqueous physiologically balanced solution, an artificial lipid-containing substrate, a natural lipid-

10

containing substrate, an oil, an ester, a glycol, a virus and a metal particle.

- 19. The therapeutic composition of Claim 18, wherein said pharmaceutically acceptable carrier is selected from the group consisting of liposomes and an aqueous physiologically balanced solution.
- 20. The therapeutic composition of Claim 1, wherein said therapeutic composition further comprises an immunogen selected from the group consisting of a pathogen, an allergen, tumor antigens and self-antigens.
- 21. The therapeutic composition of Claim 1, wherein said therapeutic composition further comprises an immunogen comprising a peptide derived from Mycobacterium tuberculosis.
- 15 22. A recombinant molecule comprising: (a) a first isolated nucleic acid molecule encoding a superantigen; and (b) a second isolated nucleic acid molecule selected from the group consisting of a nucleic acid molecule encoding a cytokine, a nucleic acid molecule encoding a chemokine, wherein said isolated nucleic acid molecules are operatively linked to one or more transcription control sequences.
  - 23. The molecule of Claim 22, wherein said recombinant molecule contains a transcription control sequence selected from the group consisting of RSV control sequences, CMV control sequences, retroviral LTR control

BNSDOCID: <WO\_\_\_\_\_9636368A1\_1\_>

PCT/US96/07432

sequences, SV-40 control sequences and  $\beta$ -actin control sequences.

- 24. The molecule of Claim 22, wherein said recombinant molecule is dicistronic and comprises an IRES.
- 5 25. The molecule of Claim 22, wherein said first nucleic acid molecule and said second nucleic acid molecule are separated by an IRES.
- 26. The molecule of Claim 22, wherein said first nucleic acid molecule encodes a superantigen selected from the group consisting of SEA, SEB, SEC<sub>1</sub>, SEC<sub>2</sub>, SEC<sub>3</sub>, SED, SEE and TSST.
  - 27. The molecule of Claim 22, wherein said second nucleic acid molecule encodes a protein selected from the group consisting of macrophage colony stimulating factor, macrophage colony stimulating factor, tumor necrosis factor α, interleukin-1, interleukin-2, interleukin-4, interleukin-6, interleukin-12, interleukin-15, C5a, IL-8, MIP1α, MIP1β, MCP-1, MCP-3, PAFR, FMLPR, LTB<sub>4</sub>R, GRP, RANTES, eotaxin, lymphotactin, IP10, I-309, ENA78, GCP-2, NAP-2 and MGSA/gro.
  - 28. A recombinant molecule comprising: (a) a first isolated nucleic acid molecule encoding a first superantigen; and (b) a second isolated nucleic acid molecule encoding a second superantigen, wherein said isolated nucleic acid molecules are operatively linked to one or more transcription control sequences.

BNSDOCID: <WO\_\_\_\_\_9636366A1\_I\_>

15

20

15

20

- 29. The molecule of Claim 28, wherein said recombinant molecule contains a transcription control sequence selected from the group consisting of RSV control sequences, CMV control sequences, retroviral LTR control sequences, SV-40 control sequences and  $\beta$ -actin control sequences.
- 30. The molecule of Claim 28, wherein said recombinant molecule is dicistronic and comprises an IRES.
- 31. The molecule of Claim 28, wherein said first nucleic acid molecule and said second nucleic acid molecule are separated by an IRES.
  - 32. The molecule of Claim 28, wherein said first nucleic acid molecule encodes a superantigen selected from the group consisting of SEA, SEB, SEC<sub>1</sub>, SEC<sub>2</sub>, SEC<sub>3</sub>, SED, SEE and TSST.
  - vehicle carrying: (a) a first isolated nucleic acid molecule encoding a superantigen; and (b) a second isolated nucleic acid molecule selected from the group consisting of a nucleic acid molecule encoding a cytokine, a nucleic acid molecule encoding a cytokine, a nucleic acid molecule encoding a chemokine and mixtures thereof, wherein said isolated nucleic acid molecules are operatively linked to one or more transcription control sequences.
- 34. The therapeutic composition of Claim 33, wherein said delivery vehicle comprises a liposome.
  - 35. The therapeutic composition of Claim 33, wherein said first nucleic acid molecule encodes a superantigen

BNSDOCID: <WO \_\_\_\_\_ 9636366A1 i >

10

20

selected from the group consisting of SEA, SEB, SEC<sub>1</sub>, SEC<sub>2</sub>, SEC<sub>2</sub>, SED, SEE and TSST.

- 36. The therapeutic composition of Claim 33, wherein said second nucleic acid molecule encodes a protein selected from the group consisting of macrophage colony stimulating factor, macrophage colony stimulating factor, tumor necrosis factor α, interleukin-1, interleukin-2, interleukin-4, interleukin-6, interleukin-12, interleukin-15, C5a, IL-8, MIP1α, MIP1β, MCP-1, MCP-3, PAFR, FMLPR, LTB, GRP, RANTES, eotaxin, lymphotactin, IP10, I-309, ENA78, GCP-2, NAP-2 and MGSA/gro.
- 37. The therapeutic composition of Claim 33, wherein said delivery vehicle further carries a nucleic acid molecule encoding an immunogen.
- 38. The therapeutic composition of Claim 33, wherein said delivery vehicle further carries a peptide derived from Mycobacterium tuberculosis.
  - 39. A therapeutic composition comprising a delivery vehicle carrying an isolated nucleic acid molecule encoding a superantigen, wherein said isolated nucleic acid molecules are operatively linked to one or more transcription control sequences.
  - 40. The therapeutic composition of Claim 39, wherein said delivery vehicle comprises a liposome.
- 25 41. The therapeutic composition of Claim 39, wherein said nucleic acid molecule encodes a superantigen selected

from the group consisting of SEA, SEB, SEC<sub>1</sub>, SEC<sub>2</sub>, SEC<sub>3</sub>, SED, SEE and TSST.

- 42. The therapeutic composition of Claim 39, wherein said delivery vehicle further carries a nucleic acid molecule encoding a protein selected from the group consisting of a cytokine, a chemokine and an immunogen.
- 43. The therapeutic composition of Claim 39, wherein said delivery vehicle further carries a nucleic acid molecule encoding a protein selected from the group consisting of macrophage colony stimulating factor, macrophage colony stimulating factor, tumor necrosis factor α, interleukin-1, interleukin-2, interleukin-4, interleukin-6, interleukin-12, interleukin-15, C5a, IL-8, MIP1α, MIP1β, MCP-1, MCP-3, PAFR, FMLPR, LTB<sub>4</sub>R, GRP, RANTES, eotaxin, lymphotactin, IP10, I-309, ENA78, GCP-2, NAP-2 and MGSA/gro.
  - 44. The therapeutic composition of Claim 39, wherein said delivery vehicle further carries a peptide derived from Mycobacterium tuberculosis.
- 45. An adjuvant composition, comprising an immunogen and an isolated nucleic acid molecule encoding a superantigen, wherein said isolated nucleic acid molecule is operatively linked to one or more transcription control sequences.
- 25 46. The adjuvant composition of Claim 45, wherein said adjuvant composition further comprises a nucleic acid

BNSDOCID: <WO\_\_\_\_\_9636366A1\_I\_>

5

10

10

15

20

molecule encoding a protein selected from the group consisting of a cytokine, a chemokine and mixtures thereof.

- 47. The adjuvant composition of Claim 45, wherein said immunogen comprises a compound selected from the group consisting of a nucleic acid molecule and a peptide.
- 48. The adjuvant composition of Claim 45, wherein said adjuvant composition comprises up to about 50% of a nucleic acid molecule encoding an immunogen and up to about 50% of a nucleic acid molecule encoding a superantigen.
- 49. The adjuvant composition of Claim 45, wherein said adjuvant composition comprises up to about 66% of a nucleic acid molecule encoding an immunogen and up to about 33% of a nucleic acid molecule encoding a superantigen.
  - 50. The adjuvant composition of Claim 45, wherein said adjuvant composition comprises up to about 50% of a nucleic acid molecule encoding an immunogen, up to about 25% of a nucleic acid molecule encoding a superantigen and up to about 25% of a nucleic acid molecule selected from the group consisting of a nucleic acid molecule encoding a cytokine, a nucleic acid molecule encoding a chemokine and mixtures thereof.
    - 51. The adjuvant composition of Claim 45, wherein said nucleic acid molecules comprise naked DNA.
- 52. The adjuvant composition of Claim 45, wherein said composition further comprises a peptide derived from Mycobacterium tuberculosis.

- 53. A method for increasing effector cell immunity in an animal, said method comprising administering to an animal an effective amount of an adjuvant composition comprising an immunogen and an isolated nucleic acid molecule encoding a superantigen.
- 54. The method of Claim 53, wherein said adjuvant composition further comprises a nucleic acid molecule encoding a protein selected from the group consisting of a cytokine, a chemokine and mixtures thereof.
- 10 55. The method of Claim 53, wherein said adjuvant composition further comprises a pharmaceutically acceptable carrier.
  - 56. The method of Claim 53, wherein said step of administering comprises injecting said adjuvant composition by a route selected from the group consisting of intravenous, intraperitoneally, intramuscularly, intraarterially and directly into a specific tissue site.
  - 57. The method of Claim 53, wherein said animal is a mammal.
- 58. The method of Claim 53, wherein said animal is selected from the from the group consisting of humans, horses, dogs, cats and cattle.
  - 59. A method to treat an animal with cancer, said method comprising administering to an animal an effective amount of a therapeutic composition comprising: (a) an isolated nucleic acid molecule encoding a superantigen; and (b) an isolated nucleic acid molecule selected from the

15

10

15

20

group consisting of a nucleic acid molecule encoding a cytokine, a nucleic acid molecule encoding a chemokine, and mixtures thereof, wherein said nucleic acid molecules are operatively linked to one or more transcription control sequences.

- 60. The method of Claim 59, wherein said therapeutic composition further comprises a pharmaceutically acceptable carrier selected from the group consisting of an aqueous physiologically balanced solution, an artificial lipid-containing substrate, a natural lipid-containing substrate, an oil, an ester, a glycol, a virus and a metal particle.
- 61. The method of Claim 59, wherein said pharmaceutically acceptable carrier is selected from the group consisting of liposomes, micelles, cells and cellular membranes.
- 62. The method of Claim 59, wherein said pharmaceutically acceptable carrier comprises a liposome.
- 63. The method of Claim 59, wherein said pharmaceutically acceptable carrier comprises a liposome that includes a compound capable of specifically targeting said liposome to a tumor cell.
- 64. The method of Claim 63, wherein said compound is a tumor cell ligand.
- 65. The method of Claim 59, wherein said therapeutic composition is targeted to the site of a cancer in said animal by administering said therapeutic composition locally within the area of a cancer cell.

- 66. The method of Claim 59, wherein said cancer is selected from the group consisting of melanomas, squamous cell carcinoma, breast cancers, head and neck carcinomas, thyroid carcinomas, soft tissue sarcomas, bone sarcomas, testicular cancers, prostatic cancers, ovarian cancers, cancers, bladder cancers, skin brain cancers, angiosarcomas, hemangiosarcomas, mast cell tumors, primary lung cancers, pancreatic cancers, hepatic cancers, gastrointestinal cancers. renal cell carcinomas, hematopoietic neoplasias, leukemias and lymphomas.
- 67. A method to treat an animal with cancer, said method comprising introducing into a tumor cell *in vivo* an effective amount of a therapeutic composition comprising an isolated nucleic acid molecule encoding a superantigen, wherein said nucleic acid molecules are operatively linked to one or more transcription control sequences.
- 68. The method of Claim 67, wherein said isolated nucleic acid molecule encoding a superantigen comprises a recombinant molecule selected from the group consisting of PCR<sub>3</sub>-SEB, PCR<sub>3</sub>-SEA, PCR<sub>3</sub>-SEB.S, PCR<sub>3</sub>-SEA.S and PCR<sub>3</sub>-TSST.
- 69. The method of Claim 67, wherein said therapeutic composition further comprises a pharmaceutically acceptable carrier comprising a liposome.
- 70. The method of Claim 67, wherein said therapeutic composition further comprises a pharmaceutically acceptable carrier comprising a liposome that includes a compound

10

15

capable of specifically targeting said liposome to a tumor cell.

- 71. The method of Claim 70, wherein said compound is a tumor cell ligand.
- 72. The method of Claim 67, wherein said therapeutic composition is targeted to the site of a cancer in said animal by administering said therapeutic composition locally within the area of a cancer cell.
- 73. The method of Claim 67, wherein said therapeutic composition is administered to said animal at a site comprising a lymph node.
  - 74. A method to treat an animal with cancer, said method comprising introducing into a non-tumor cell an effective amount of a therapeutic composition comprising an isolated nucleic acid molecule encoding a superantigen, wherein said nucleic acid molecules are operatively linked to one or more transcription control sequences.
  - 75. The method of Claim 74, wherein said step of administration is performed in vivo.
- 76. The method of Claim 74, wherein said isolated nucleic acid molecule encoding a superantigen comprises a recombinant molecule selected from the group consisting of PCR<sub>3</sub>-SEB, PCR<sub>3</sub>-SEA, PCR<sub>3</sub>-SEB.S, PCR<sub>3</sub>-SEA.S and PCR<sub>3</sub>-TSST.
- 77. The method of Claim 74, wherein said therapeutic composition comprises a pharmaceutically acceptable carrier comprising a liposome.

BNSDOCID: <WO\_\_\_\_\_9636366A1\_I\_>

-117-

- 78. The method of Claim 74, wherein said therapeutic composition is targeted to the site of a cancer in said animal by administering said therapeutic composition locally within the area of a cancer cell.
- 79. The method of Claim 74, wherein said therapeutic composition is administered to said animal at a site comprising a lymph node.
  - method comprising administering to an animal an effective amount of a therapeutic composition comprising: (a) an isolated nucleic acid molecule encoding a superantigen; and (b) an isolated nucleic acid molecule encoding a cytokine, wherein said nucleic acid molecules are operatively linked to one or more transcription control sequences, and wherein said therapeutic composition is targeted to the site of a cancer in said animal to treat said cancer.
  - 81. The method of Claim 80, wherein said superantigen is selected from the group consisting of staphylococcal enterotoxins, retroviral antigens, streptococcal antigens, mycoplasma antigens, mycoplasma antigens, mycobacteria antigens, viral antigens and protozoan antigens.
  - 82. The method of Claim 80, wherein said superantigen comprises staphylococcal enterotoxins.
- 83. The method of Claim 80, wherein said superantigen is selected from the group consisting of SEA, SEB, SEC, SEC, SEC, SEC, SED, SEE and TSST.

5

10

15

- 84. The method of Claim 80, wherein said superantigen is derived from a virus selected from the group consisting of mouse mammary tumor virus, rabies virus and herpes virus.
- 85. The method of Claim 80, wherein said cytokine is selected from the group consisting of hematopoietic growth factors, interleukins, interferons, immunoglobulin superfamily molecules, tumor necrosis factor family molecules and chemokines.
- 86. The method of Claim 80, wherein said cytokine is selected from the group consisting of granulocyte macrophage colony stimulating factor, macrophage colony stimulating factor, tumor necrosis factor α, interleukin-1, interleukin-6 and interleukin-12.
- 87. The method of Claim 80, wherein said cytokine is selected from the group consisting of granulocyte macrophage colony stimulating factor and tumor necrosis factor α.
- 88. The method of Claim 80, wherein said cytokine comprises granulocyte macrophage colony stimulating factor.
  - 89. The method of Claim 80, wherein said isolated nucleic acid molecules are operatively linked to one or more transcription control sequences capable of being expressed in a mammalian cell.
- 25 90. The method of Claim 80, wherein said transcription control sequences are selected from the group consisting of RSV control sequences, CMV control sequences,

-119-

retroviral LTR sequences, SV-40 control sequences and  $\beta$ -actin control sequences.

- 91. The method of Claim 80, wherein said isolated nucleic acid molecule comprises a recombinant molecule selected from the group consisting of PCR<sub>3</sub>-SEB, PCR<sub>3</sub>-SEA, PCR<sub>3</sub>-SEB.S, PCR<sub>3</sub>-SEA.S, PCR<sub>3</sub>-TSST and PCR<sub>3</sub>-GM<sub>3</sub>.
- 92. The method of Claim 80, wherein said therapeutic composition further comprises a pharmaceutically acceptable carrier selected from the group consisting of an aqueous physiologically balanced solution, an artificial lipid-containing substrate, a natural lipid-containing substrate, an oil, an ester, a glycol, a virus and a metal particle.
- 93. The method of Claim 92, wherein said pharmaceutically acceptable carrier is selected from the group consisting of liposomes, micelles, cells and cellular membranes.
- 94. The method of Claim 92, wherein said pharmaceutically acceptable carrier comprises a liposome.
- 95. The method of Claim 92, wherein said
  20 pharmaceutically acceptable carrier comprises a liposome
  that includes a compound capable of specifically targeting
  said liposome to a tumor cell.
  - 96. The method of Claim 95, wherein said compound is a tumor cell ligand.
- 25 97. The method of Claim 80, wherein said therapeutic composition is targeted to the site of a cancer in said

10

10

15

25

animal by administering said therapeutic composition locally within the area of a cancer cell.

- 98. The method of Claim 80, wherein said cancer is selected from the group consisting of melanomas, squamous cell carcinoma, breast cancers, head and neck carcinomas, thyroid carcinomas, soft tissue sarcomas, bone sarcomas, testicular cancers, prostatic cancers, ovarian cancers, cancers, brain skin cancers. bladder cancers, angiosarcomas, hemangiosarcomas, mast cell tumors, primary lung cancers, pancreatic cancers, hepatic cancers, cell carcinomas, gastrointestinal cancers, renal hematopoietic neoplasias, leukemias and lymphomas.
  - 99. The method of Claim 80, wherein said cancer is selected from the group consisting of melanomas, lung cancers, thyroid carcinomas, breast cancers, renal cell carcinomas, squamous cell carcinomas, brain tumors and skin cancers.
  - 100. The method of Claim 80, wherein said animal is selected from the group consisting of mammals and birds.
- 20 101. The method of Claim 80, wherein said animal is selected from the from the group consisting of humans, house pets, economic produce animals and zoo animals.
  - 102. The method of Claim 80, wherein said animal is selected from the from the group consisting of humans, dogs, cats, sheep, cattle, horses and pigs.
  - 103. A therapeutic composition comprising an isolated nucleic acid molecule encoding a superantigen and an

-121-

isolated nucleic acid molecule encoding a cytokine, wherein said isolated nucleic acid molecules are operatively linked to one or more transcription control sequences.

104. The composition of Claim 103, wherein said therapeutic composition further comprises a pharmaceutically acceptable carrier.

105. The composition of Claim 104, wherein said pharmaceutically acceptable carrier is selected from the group consisting of an aqueous physiologically balanced solution, an artificial lipid-containing substrate, a natural lipid-containing substrate, an oil, an ester, a glycol, a virus and metal particles.

106. The composition of Claim 104, wherein said pharmaceutically acceptable carrier comprises a delivery vehicle capable of delivering said nucleic acid molecules to a targeted site in an animal.

107. The composition of Claim 106, wherein said delivery vehicle is selected from the group consisting of liposomes, micelles, cells and cellular membranes.

108. The composition of Claim 106, wherein said delivery vehicle comprises a liposome.

109. The composition of Claim 106, wherein said delivery vehicle comprises a tumor cell ligand.

110. The composition of Claim 103, wherein said
25 superantigen is selected from the group consisting of
staphylococcal enterotoxins, retroviral antigens,

5

10

15

10

15

20

streptococcal antigens, mycoplasma antigens, mycobacteria antigens, virus antigens and protozoan antigens.

- 111. The composition of Claim 103, wherein said superantigen comprises staphylococcal enterotoxins.
- 112. The composition of Claim 103, wherein said superantigen is selected from the group consisting of SEA, SEB, SEC, SEC, SEC, SED, SEE and TSST.
  - 113. The composition of Claim 103, wherein said superantigen is derived from a virus selected from the group consisting of mouse mammary tumor virus, rabies virus and herpes virus.
  - 114. The composition of Claim 103, wherein said cytokine is selected from the group consisting of hematopoietic growth factors, interleukins, interferons, immunoglobulin superfamily molecules, tumor necrosis factor family molecules and chemokines.
  - 115. The composition of Claim 103, wherein said cytokine is selected from the group consisting of granulocyte macrophage colony stimulating factor, macrophage colony stimulating factor, tumor necrosis factor  $\alpha$ , interleukin-1, interleukin-6 and interleukin-12.
  - 116. The composition of Claim 103, wherein said cytokine is granulocyte macrophage colony stimulating factor and tumor necrosis factor  $\alpha$ .
- 25 117. The composition of Claim 103, wherein said isolated nucleic acid molecules are operatively linked to

-123-

one or more transcription control sequences capable of being expressed in a mammalian cell.

118. The composition of Claim 103, wherein said transcription control sequences are selected from the group consisting of RSV control sequences, CMV control sequences, retroviral LTR control sequences, SV-40 control sequences and  $\beta$ -actin control sequences.

119. The composition of Claim 103, wherein said nucleic acid molecule comprises a recombinant molecule selected from the group consisting of PCR<sub>3</sub>-SEB, PCR<sub>3</sub>-SEA, PCR<sub>3</sub>-SEB.S, PCR<sub>3</sub>-SEA.S, PCR<sub>3</sub>-TSST and PCR<sub>3</sub>-GM<sub>3</sub>.

120. The composition of Claim 103, wherein said therapeutic composition is useful for treating a cancer selected from the group consisting of melanomas, squamous cell carcinoma, breast cancers, head and neck carcinomas, thyroid carcinomas, soft tissue sarcomas, bone sarcomas, testicular cancers, prostatic cancers, ovarian cancers, cancers, skin cancers, brain angiosarcomas, hemangiosarcomas, mast cell tumors, primary lung cancers, pancreatic hepatic cancers, gastrointestinal cancers. renal cell carcinomas, hematopoietic neoplasias, leukemias and lymphomas.

121. The composition of Claim 103, wherein said therapeutic composition is useful for treating a cancer selected from the group consisting of melanomas, lung cancers, thyroid carcinomas, breast cancers, renal cell

BNSDOCID: <WO\_\_\_\_\_9636366A1\_[\_3

5

10

20

10

15

20

25

carcinomas, squamous cell carcinomas, brain tumors and skin cancers.

- 122. A recombinant molecule comprising an isolated nucleic acid molecule encoding a superantigen and an isolated nucleic acid molecule encoding a cytokine, wherein said isolated nucleic acid molecules are operatively linked to one or more transcription control sequences.
- 123. The molecule of Claim 122, wherein said recombinant molecule is capable being expressed in a mammalian cell.
  - 124. The molecule of Claim 122, wherein said recombinant molecule contains a transcription control sequence selected from the group consisting of RSV control sequences, CMV control sequences, retroviral LTR control sequences, SV-40 control sequences and  $\beta$ -actin control sequences.
  - 125. The molecule of Claim 122, wherein said superantigen-encoding nucleic acid molecule encodes a toxin selected from the group consisting of staphylococcal enterotoxins, retroviral antigens, streptococcal antigens, mycoplasma antigens, mycoplasma antigens, mycobacteria antigens, virus antigens and protozoan antigens.

-125-

127. The molecule of Claim 122, wherein said superantigen-encoding nucleic acid molecule lacks a bacterial leader sequence.

- 128. The molecule of Claim 122, wherein said recombinant molecule is selected from the group consisting of PCR<sub>3</sub>-SEB, PCR<sub>3</sub>-SEA, PCR<sub>3</sub>-SEB.S, PCR<sub>3</sub>-SEA.S, PCR<sub>3</sub>-TSST and PCR<sub>3</sub>-GM<sub>3</sub>.
- vehicle carrying an isolated nucleic acid molecule encoding

  a superantigen and an isolated nucleic acid molecule
  encoding a cytokine, wherein said isolated nucleic acid
  molecules are operatively linked to one or more
  transcription control sequences.
- 130. The composition of Claim 129, wherein said
  15 delivery vehicle is selected from the group consisting of
  a liposome, a micelle, a cell and a cellular membrane.
  - 131. The composition of Claim 129, wherein said delivery vehicle comprises a liposome.
- 132. The composition of Claim 129, wherein said
  20 delivery vehicle comprises DOTMA and DOPE.
  - 133. The composition of Claim 132, wherein said compound is a tumor cell ligand.
- 134. A method for increasing effector cell immunity in an animal, said method comprising administering to an animal an effective amount of a therapeutic composition comprising:

-126-

- a) an isolated nucleic acid molecule encoding
   a superantigen; and
- b) an isolated nucleic acid molecule encoding a cytokine,
- operatively linked to one or more transcription control sequences, and wherein said therapeutic composition is targeted to a site in said animal that contains an abnormal cell.
- 135. The method of Claim 134, wherein said abnormal cell is selected from the group consisting of a cancer cell, a cell infected with an infectious agent and a non-cancerous cell having abnormal proliferative growth.
- 136. The method of Claim 134, wherein said abnormal 15 cell is a cancer cell.
  - 137. The method of Claim 134, wherein said site is a tumor.
- 138. A method to suppress T cell activity in an animal, said method comprising administering to an animal 20 an effective amount of a therapeutic composition comprising:
  - a) a naked isolated nucleic acid molecule encoding a superantigen; and
  - b) a pharmaceutically acceptable carrier,
     wherein said isolated nucleic acid molecule is

and wherein said therapeutic composition is targeted to a

operatively linked to a traynscription control sequence,

BNSDOCID: <WO\_\_\_\_\_9636366A1\_L>

-127-

site in said animal that contains excessive T cell activity.

- 139. The method of Claim 138, wherein said composition is capable of suppressing said T cell activity for at least about 6 weeks in said animal.
- 140. The method of Claim 138, wherein said composition is capable of suppressing said T cell activity for at least about 8 weeks in said animal.
- 141. The method of Claim 138, wherein said composition

  10 is capable of suppressing said T cell activity for about 10 weeks in said animal.
  - 142. The method of Claim 138, wherein said carrier comprises an aqueous physiologically balanced solution.

BNSDOCID: <WO\_\_\_\_\_9636366A1\_i\_>

# INTERNATIONAL SEARCH REPORT

International application No. PCT/US96/07432

IPC(6): US CL: According to B. FIEL Minimum do U.S.: Documentat	o International Patent Classification (IPC) or to both no DS SEARCHED commentation searched (classification system followed Is 14/44  ion searched other than minimum documentation to the classification system followed Is 14/44  ion searched other than minimum documentation to the classification system followed Is 14/44  ion searched other than minimum documentation to the classification system followed Is 14/44	by classification symbols)  extent that such documents are included		
C. DOC	UMENTS CONSIDERED TO BE RELEVANT		Relevant to claim No.	
Category*	Citation of document, with indication, where app	ropriate, of the relevant passages	Relevant to claim No.	
Υ	WO 95/00178 A1 (BOARD OF REG OF TEXAS SYSTEM) 05 January 19	ENTS, THE UNIVERSITY 95, see entire document.	1-142	
Y	DONNELLY et al. Protective Efficacy of Intramuscular Immunization with Naked DNA. Ann. N.Y. Acad. Sci. 1995, Vol.772, pages 40-46, see entire document.			
Y	BLACKMAN et al. In Vivo Effects of Superantigens. Life Sciences. 1995, Vol.57, No.19, pages 1717-1735, see entire document.			
Υ .	MIETHKE et al. Superantigen Med Release Syndrome. Immunobiol. 270-284, see entire document.	liated Shock: A Cytokine 1993, Vol.189, pages	1-142	
V End	her documents are listed in the continuation of Box C.	See patent family annex.		
• s	pecial categories of cited documents:  ocument defining the general state of the art which is not considered be of particular relevance	T later document published after the int date and not in conflict with the applia principle or theory underlying the in	ention but cited to understand the vention	
.E. •	arlier document published on or after the international filing date	"X" document of particular relevance; if considered novel or cannot be considered when the document is taken alone	ne claimed investion cannot be ered to involve an inventive step	
l c	ocume, which may throw doubts on priority claim(s) or which is ited to establish the publication date of another citation or other pecial reason (as specified)	"Y" document of particular relevance; to considered to involve an inventive	he claimed invention cannot be	
•0• d	ocument referring to an oral disclosure, use, exhibition or other nears	combined with one or more other su being obvious to a person skilled in	ch documents, such combination	
	ocument published prior to the international filing date but later than he prioriny date claimed	*& document member of the same pater		
Date of th	e actual completion of the international search Y 1996	Date of mailing of the international services 23 AUG 1996		
Commiss Box PCT		Authorized officer D. CURTIS HOGUE, JR.	F. 11/6	
1	ion, D.C. 20231 No. (703) 305-3230	Telephone No. (703) 308-0196		

Form PCT/ISA/210 (second sheet)(July 1992)\*

# INTERNATIONAL SEARCH REPORT

International application No. PCT/US96/07432

C (Continua	tion). DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages.	Relevant to claim No.	
Y	MIETHKE et al. Superantigens: The Paradox of T-Cell Activation versus Inactivation. Int. Arch. Allergy Immunol. 1995, Vol. 106, pages 3-7, see entire document.		
Y	LIU et al. Overview of DNA Vaccines. Ann. N.Y. Acad. Sci. 1995, Vol.772, pages 15-20, see entire document.	1-142	
	LT II	·	
	·		
į			
•			
	·		
	•		

Form PCT/ISA/210 (continuation of second sheet)(July 1992)+

•			
			<u>.</u>
		·y	
Ö			
			*
	•		



# **PCT**

# WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6:

A61K 48/00

(11) International Publication Number: WO 96/36366

A1 (43) International Publication Date: 21 November 1996 (21.11.96)

(21) International Application Number: PCT/US96/07432

(22) International Filing Date: 20 May 1996 (20.05.96)

(30) Priority Data:

446,918 18 May 1995 (18.05.95) US 580,806 29 December 1995 (29.12.95) US

(60) Parent Applications or Grants

(63) Related by Continuation

US 08/446,918 (CIP)
Filed on 18 May 1995 (18.05.95)
US 08/580,806 (CIP)
Filed on 29 December 1995 (29.12.95)

(71) Applicant (for all designated States except US): NATIONAL JEWISH CENTER FOR IMMUNOLOGY AND RESPIRA-TORY MEDICINE [US/US]; 1400 Jackson Street, Denver, CO 80206 (US).

(72) Inventors; and

(75) Inventors/Applicants (for US only): DOW, Steve, W. [US/US]; 2835 South Vine Street, Denver, CO 80210 (US). ELM-SLIE, Robyn, E. [CA/US]; 2835 South Vine Street, Denver, CO 80210 (US). POTTER, Terence, A. [US/US]; 122 Krameria Street, Denver, CO 80220 (US).

(74) Agents: CONNELL, Gary, J. et al.; Sheridan, Ross & McIntosh, Suite 3500, 1700 Lincoln Street, Denver, CO 80203 (US).

(81) Designated States: AL, AM, AT, AU, AZ, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IS, JP, KE, KG, KP, KR, KZ, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, US, UZ, VN, ARIPO patent (KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).

**Published** 

With international search report.

(54) Title: GENE THERAPY FOR EFFECTOR CELL REGULATION

#### (57) Abstract

The present invention provides a nucleic acid-based therapeutic composition to treat an animal with disease by controlling the activity of effector cells, including T cells, macrophages, monocytes and/or natural killer cells, in the animal. Therapeutic compositions of the present invention include superantigen-encoding nucleic acid molecules, either in the presence or absence of a cytokine-encoding nucleic acid molecules and/or chemokine-encoding nucleic acid molecules, depending upon the disease being treated. The present invention also relates to an adjuvant for use with nucleic acid-based vaccines. Adjuvant compositions of the present invention include an immunogen combined with superantigen-encoding nucleic acid molecules, either in the presence of a cytokine-encoding nucleic acid molecule and/or chemokine-encoding nucleic acid molecules.

# FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AM	Armenia	GB	United Kingdom	MW	Malawi
AT	Austria	GE	Georgia	MX	Mexico
AU	Australia	GN	Guinea	NE	Niger
BB	Barbados	GR	Greece	NL	Netherlands
BE	Belgium	HU	Hungary	NO	Norway
BF	Burkina Faso	IE	Ireland	NZ	New Zealand
BG	Bulgaria	IT	haly	PL.	Poland
BJ	Benin	JP	Japan	PT	Portugal
BR	Brazil	KE	Кенуа	RO	Romania
BY	Belarus	KG	Kyrgystan	RU	Russian Federation
CA	Canada	KP	Democratic People's Republic	SD	Suden
CF	Central African Republic		of Korea	SE	Sweden
CG	Cooro	KR	Republic of Korea	SG	Singapore
CH	Switzerland	KZ	Kazakhetan	SI	Slovenia
CI	Côte d'Ivoire	u	Liechtenstein	SK	Slovakia
CM	Cameroon	LK	Sri Lanka	SN	Senega)
CN	China	LR	Liberia	SZ	Swaziland
CS	Czechoslovakia	LT	Lithuagia	TD	Chad
CZ	Czech Republic	LU	Laxembourg	TG	Togo
DE	Germany	LV	Latvia	TJ	Tajikistan
DK	Denmark	MC	Monaco	TT	Trinidad and Tobago
EE	Estonia	MD	Republic of Moldova	UA	Ukraine
ES	Spein	MG	Madagascar	UG	Uzanda
FI	Finland	ML	Mali	us	United States of America
FR	France	MN	Mongolia	UZ.	Uzhekistan
GA	Gabon	MR	Marritania	VN	Viet Nam

BNSDOCID: <WO\_\_\_\_\_9636366A1\_IA>

# GENE THERAPY FOR EFFECTOR CELL REGULATION FIELD OF THE INVENTION

The present invention relates to a product and process for regulating T cell activity by providing a superantigen gene, in the presence or absence of a cytokine and/or chemokine gene. The present invention also relates to a product and process for regulating T cell activity by providing a peptide and a superantigen gene, in the presence or absence of a cytokine and/or chemokine gene. In particular, the present invention relates to a product and process for controlling tumor development, immune responses to infectious diseases and diseases caused by immunological disorders.

## 15 BACKGROUND OF THE INVENTION

Two major causes of disease include infectious agents and malfunctions of normal biological functions of an Examples of infectious agents include viruses, animal. bacteria, parasites, yeast and other fungi. Examples of abnormal biological function include uncontrolled cell growth, abnormal immune responses and abnormal inflammatory responses. Traditional reagents used attempt to protect an animal from disease include reagents that infectious agents or cells involved in deregulated biological functions. Such reagents, however, can result in unwanted side effects. For example, anti-viral drugs that disrupt the replication of viral DNA also often disrupt DNA replication in normal cells in the treated patient. Other treatments with chemotherapeutic reagents to

BNSDOCID: <WO\_\_\_\_\_\_9636366A1\_IA>

5

10

20

5

10

15

20

25

BNSDCCID: <WO\_\_\_\_\_\_9636366A1\_iAx

-2-

destroy cancer cells typically leads to side effects, such as bleeding, vomiting, diarrhea, ulcers, hair loss and increased susceptibility to secondary cancers and infections.

An alternative method of disease treatment includes modulating the immune system of a patient to assist the patient's natural defense mechanisms. Traditional reagents and methods used to attempt to regulate an immune response in a patient also result in unwanted side effects and have limited effectiveness. For example, immunosuppressive A, azathioprine, (e.g., cyclosporin reagents prednisone) used to treat patients with autoimmune disease also suppress the patient's entire immune response, thereby increasing the risk of infection. In addition, immunopharmacological reagents used to treat cancer (e.g., interleukins) are short-lived in the circulation of a patient and are ineffective except in large doses. Due to the medical importance of immune regulation and the inadequacies of existing immunopharmacological reagents, reagents and methods to regulate specific parts of the immune system have been the subject of study for many vears.

Stimulation or suppression of the immune response in a patient can be an effective treatment for a wide variety of medical disorders. T lymphocytes (T cells) are one of a variety of distinct cell types involved in an immune response. The activity of T cells is regulated by antigen, presented to a T cell in the context of a major

histocompatibility complex (MHC) molecule. The T cell receptor (TCR) then binds to the MHC:antigen complex. Once antigen is complexed to MHC, the MHC:antigen complex is bound by a specific TCR on a T cell, thereby altering the activity of that T cell.

The use of certain staphylococcal enterotoxin proteins that are capable of complexing with MHC molecules to influence T cell function has been suggested by various investigators, including, for example, White et al., Cell 10 56:27-35, 1989; Rellahan et al. J. Expt. Med. 172:1091-1100, 1990; Micusan et al., Immunology 5:3-11, 1993; Hermann et al., Immunology 5:33-39, 1993; Bhardwaj et al., J. Expt. Med. 178:633-642, 1993; and Kalland et al., Med. Oncol. & Tumor Pharmacother., 10:37-47, 1993. 15 particular, various investigators have suggested that Staphylococcal enterotoxin proteins are useful for treating tumors, including Newell et al., Proc. Natl. Acad. Sci. USA 88:1074-1078, 1991; Kalland et al., PCT Application No. WO 91/04053, published April 4, 1991; Dohlstein et al., Proc. 20 Natl. Acad. Sci. USA 88:9287-9291, 1991; Hedlund et al., Cancer Immunol. Immunother. 36:89-93, 1993; Lando et al., Cancer Immunol. Immunother. 36:223-228, 1993; Lukacs et al., J. Exp. Med. 178:343-348, 1993; Ochi et al., J. Immunol. 151:3180-3186, 1993; and Terman et al., PCT 25 Application No. WO 93/24136, published December 9, 1993. These investigators, however, have only disclosed the use

of bacterial enterotoxin proteins themselves. The use of

-4-

bacterial enterotoxin protein has the major disadvantage of being toxic to the recipient of the protein.

Thus, there is a need for a product and process that allows for the treatment of disease using bacterial enterotoxins in a non-toxic manner.

#### SUMMARY

Traditional pharmaceutical reagents used to treat cancer, infectious diseases and diseases caused by immunological disorders often have harmful side effects. In addition, such reagents can be unpredictable (e.g., treatment of cancer, vaccination against infectious agents). For example, chemotherapy and radiotherapy often cause extensive normal tissue damage during the process of treating cancerous tissue. In addition, vaccine treatments for the prevention or cure of infectious diseases are often ineffective because adjuvants useful in vaccine therapy are toxic to an animal.

The present invention is particularly advantageous in that it provides an effective therapeutic composition that enables the safe treatment of an animal with a reagent that is a potentially toxic an immunogenic protein. Upon delivery, expression of acid molecules contained in the therapeutic composition result in localized production of an effective but non-toxic amount of encoded proteins that may be toxic at concentrations that would be required if the encoded proteins were administered directly. The therapeutic compositions of the present invention can

BNSDOCID: <WO\_\_\_\_\_9836366A1\_IA>

5

10

15

20

15

provide long term expression of the encoded proteins at a site in an animal. Such long term expression allows for the maintenance of an effective, but non-toxic, dose of the encoded protein to treat a disease and limits the frequency of administration of the therapeutic composition needed to treat an animal. In addition, because of the lack of toxicity, therapeutic compositions of the present invention can be used in repeated treatments.

## 10 BRIEF DESCRIPTION OF THE FIGURES

- Fig. 1 illustrates the expression of superantigenencoding DNA plasmids in mammalian cells.
- Fig. 2 illustrates the proliferative response of canine PBMC's to canine melanoma cells transfected with a superantigen-encoding DNA plasmids.
- Figs. 3A and 3B illustrate the release of superantigen protein by CHO cells transfected with superantigen-encoding DNA plasmids.
- Fig. 4 illustrates the proliferative response of the Vβ3+ T cell clone AD10 to melanoma cells transfected with superantigen-encoding DNA plasmid.
  - Fig. 5 illustrates the release of canine GM-CSF by CHO cells transfected with GM-CSF-encoding DNA plasmid.
- Figs. 6A and 6B illustrate the vaccination of mice
  with autologous tumor cells transfected with superantigenencoding DNA plasmid.
  - Fig. 7 illustrates the effect of tumor target transfection on cytotoxic T cell lysis.

10

15

Fig. 8 illustrates the response of  $V\beta 3+$  T cells to intramuscular injection of a superantigen-encoding DNA plasmid.

Fig. 9 illustrates the antibody response resulting from the co-administration of DNA encoding an adjuvant and DNA encoding ovalbumin.

Fig. 10 illustrates that the co-administration of DNA encoding an adjuvant and DNA encoding ovalbumin increase interferon-gamma release from T cells restimulated in vitro by the ovalbumin protein.

Fig. 11 illustrates that the co-administration of DNA encoding an adjuvant and DNA encoding ovalbumin increase T cell proliferative responses to ovalbumin.

Fig. 12 illustrates that the co-administration of DNA encoding an adjuvant and DNA encoding ovalbumin increases CTL responses to ovalbumin.

#### DETAILED DESCRIPTION OF THE INVENTION

process for controlling effector cell activity. The present invention also relates to a novel adjuvant useful for enhancing an immune response. It is now known for the first time that a composition containing nucleic acid molecules encoding a superantigen, rather than superantigen proteins, is an effective therapeutic reagent for treating disease and is an effective adjuvant for enhancing an immune response. As used herein, a disease refers to any biological abnormality that is not beneficial to a subject.

-7-

also discovered that The present inventors have administration of a combination of nucleic acid molecules encoding: (1) a superantigen; (2) a superantigen and a cytokine; or (3) a superantigen and a chemokine, can act synergistically to effectively treat cancer and infectious The present invention includes therapeutic disease. compositions comprising: (a) an isolated nucleic acid molecule encoding a superantigen; or (b) an isolated molecule encoding nucleic acid a superantigen combination with an isolated nucleic acid molecule encoding a cytokine and/or an isolated nucleic acid molecule encoding a chemokine. Administration of a therapeutic composition of the present invention to an animal results in the production of superantigen, cytokine or chemokine proteins, referred to herein as "encoded proteins." Each of the components of a therapeutic composition of the present invention is described in detail below, followed by a description of the methods by which the therapeutic composition is used and delivered.

20 One embodiment of the present invention includes a method for increasing effector cell immunity in an animal, the method comprising administering to an animal an effective amount of a therapeutic composition comprising:

(a) an isolated nucleic acid molecule encoding a superantigen; or (b) an isolated nucleic acid molecule encoding a superantigen in combination with an isolated nucleic acid molecule encoding a cytokine and/or an isolated nucleic acid molecule encoding chemokine.

5

10

15

According to the present embodiment, the nucleic acid molecules are operatively linked to one or transcription control sequences and the therapeutic composition is targeted to a site in the animal that contains an abnormal cell. According to the present invention, an effector cell, includes a helper T cell, a cytotoxic T cell, a macrophage, a monocyte and/or a natural killer cell. For example, the method of the present invention can be performed to increase the number of effector cells in an animal that are capable of killing or releasing cytokines or chemokines when presented with antigens derived from an abnormal cell or a pathogen. An effective amount of a therapeutic composition of the present invention comprises an amount capable of treating a disease as described herein. Alternatively, a method of the present invention can be performed to decrease the number of T cells found in a T cell subset that is preferentially stimulated and expanded by an autoantigen.

increasing the number and/or the activity of effector cells in the area of the abnormal cell. In particular, T cell activity refers to increasing the number and/or the activity of T cells in the area of the abnormal cell. Also, as used herein, an abnormal cell refers to a cell displaying abnormal biological function, such as abnormal growth, development or death. Abnormal cells of the present invention, preferably includes cancer cells, cells infected with an infectious agent (i.e., a pathogen) and non-

cancerous cells having abnormal proliferative growth (e.g., sarcoidosis, granulomatous disease or papillomas) and with cancer cells and infected cells. Another embodiment of the present invention is a method to treat an animal with cancer, the method comprising administering to an animal an effective amount of a therapeutic composition comprising:

(a) a nucleic acid molecule encoding a superantigen; or (b) a nucleic acid molecule encoding a superantigen in combination with an isolated nucleic acid molecule encoding a cytokine and/or a nucleic acid molecule encoding a chemokine. According to the present embodiment, the nucleic acid molecules are operatively linked to one or more transcription control sequences and the therapeutic composition is targeted to the site of a cancer.

one embodiment of a therapeutic composition of the present invention comprises an isolated nucleic acid molecule encoding a superantigen (also referred to herein as a "superantigen-encoding" nucleic acid molecule). Another embodiment of a therapeutic composition of the present invention comprises an isolated nucleic acid molecule encoding a superantigen, combined with an isolated nucleic acid molecule encoding a cytokine (also referred to herein as a "cytokine-encoding" nucleic acid molecule) and/or a nucleic acid molecule encoding a chemokine (also referred to as a "chemokine-encoding" nucleic acid molecule). According to these embodiments, the nucleic acid molecules are operatively linked to one or more transcription control sequences. It is to be noted that

5

10

15

20

-10-

the term "a" or "an" entity refers to one or more of that entity; for example, a compound refers to one or more compounds. As such, the terms "a" (or "an"), "one or more" and "at least one" can be used interchangeably herein. According to the present invention, an isolated, or biologically pure, nucleic acid molecule, is a nucleic acid molecule that has been removed from its natural milieu. As such, "isolated" and "biologically pure" do not necessarily reflect the extent to which the nucleic acid molecule has been purified. An isolated nucleic acid molecule can include DNA, RNA, or derivatives of either DNA or RNA. An isolated superantigen or cytokine nucleic acid molecule can be obtained from its natural source, either as an entire (i.e., complete) gene or a portion thereof capable of encoding a superantigen protein capable of binding to an MHC molecule or a cytokine protein capable of binding to a complementary cytokine receptor. A nucleic acid molecule can also be produced using recombinant DNA technology (e.g., polymerase chain reaction (PCR) amplification, cloning) or chemical synthesis. Nucleic acid molecules include natural nucleic acid molecules and homoloques thereof, including, but not limited to, natural allelic variants and modified nucleic acid molecules in which nucleotides have been inserted, deleted, substituted, and/or inverted in such a manner that such modifications do not substantially interfere with the nucleic molecule's ability to encode a functional superantigen or a functional cytokine of the present invention.

10

15

20

15

20

25

A nucleic acid molecule homologue can be produced using a number of methods known to those skilled in the art (see, for example, Sambrook et al., Molecular Cloning: A Laboratory Manual, Cold Spring Harbor Labs Press, 1989). For example, nucleic acid molecules can be modified using a variety of techniques including, but not limited to, recombinant DNA classic mutagenesis techniques and techniques, such as site-directed mutagenesis, chemical treatment of a nucleic acid molecule to induce mutations, restriction enzyme cleavage of a nucleic acid fragment, ligation of nucleic acid fragments, polymerase chain reaction (PCR) amplification and/or mutagenesis of selected regions of a nucleic acid sequence, synthesis oligonucleotide mixtures and ligation of mixture groups to mixture of nucleic acid molecules "build" combinations thereof. Nucleic acid molecule homologues can be selected from a mixture of modified nucleic acids by screening for the function of the protein encoded by the nucleic acid (e.g., superantigen, cytokine or chemokine Techniques to screen for activity, as appropriate). superantigen, cytokine or chemokine activity are known to those of skill in the art.

Although the phrase "nucleic acid molecule" primarily refers to the physical nucleic acid molecule and the phrase "nucleic acid sequence" primarily refers to the sequence of nucleotides on the nucleic acid molecule, the two phrases can be used interchangeably, especially with respect to a nucleic acid molecule, or a nucleic acid sequence, being

capable of encoding a superantigen, a cytokine or a chemokine protein. In addition, the phrase "recombinant molecule" primarily refers to a nucleic acid molecule operatively linked to a transcription control sequence, but can be used interchangeably with the phrase "nucleic acid molecule" which is administered to an animal. As heretofore disclosed, superantigen or cytokine proteins of the present invention include, but are not limited to, proteins having full-length superantigen, cytokine or chemokine coding regions, proteins having partial superantigen regions capable of binding to an MHC molecule, cytokine coding regions capable of binding to a complementary cytokine receptor, chemokine coding regions capable of binding to a complementary chemokine receptor, fusion proteins and chimeric proteins comprising combinations of different superantigens, cytokines and/or chemokines.

One embodiment of the present invention is an isolated superantigen-encoding nucleic acid molecule that encodes at least a portion of a full-length superantigen, or a homologue of a superantigen. As used herein, "at least a portion of a superantigen" refers to a portion of a superantigen protein capable of binding to an MHC molecule in such a manner that a TCR can bind to the resulting superantigen: MHC complex. Preferably, a superantigen nucleic acid molecule of the present invention encodes an entire coding region of a superantigen, and more preferably the coding region absent a leader sequence. Production of a truncated superantigen protein lacking a bacterial leader

5

10

15

20

10

15

20

25

sequence is preferred to enhance secretion of the superantigen from a cell. As used herein, a homologue of a superantigen is a protein having an amino acid sequence that is sufficiently similar to a natural superantigen amino acid sequence that a nucleic acid sequence encoding the homologue encodes a protein capable of binding to an MHC molecule.

the present invention, accordance with superantigen comprises a family of T cell regulatory proteins that are capable of binding both to an MHC A superantigen binds to the extracellular molecule. portion of an MHC molecule to form and MHC: superantigen The activity of a T cell can be modified when a TCR binds to an MHC: superantigen complex. Under certain circumstances, an MHC:superantigen complex can have a mitogenic role (i.e., the ability to stimulate the proliferation of T cells) or a suppressive role (i.e., T cell subsets). The ability of deletion of MHC: superantigen complex to have a stimulatory suppressive role can depend upon factors, such as the concentration and environment (i.e., tissue location and/or the presence of cytokines).

The mitogenic role of a superantigen is distinct from other known mitogens (e.g., lectins derived from plants) in that superantigens are capable of stimulating the proliferation of particular subsets of T cells having TCR's that specifically bind to the superantigen. For example, a superantigen, when added to a mixed lymphocyte

WO 96/36366 PCT/US96/07432

-14-

population, is able to stimulate the proliferation of a select population of T cells from the mixed population of Examples of T cell subsets stimulated by cells. superantigens complexed with MHC molecules include T cells expressing a TCR comprising mouse V<sub>6</sub>1, V<sub>6</sub>3, V<sub>6</sub>7, V<sub>6</sub>8.1,  $V_g8.2$ ,  $V_g8.3$ ,  $V_g10$ ,  $V_g11$ ,  $V_g17$ ,  $V_g15$  or  $V_g16$  chains, and T cells expressing a TCR comprising human  $V_g1.1$ ,  $V_g2$ ,  $V_g3$ ,  $V_g5$ ,  $V_{\beta}6$ ,  $V_{\beta}7.3$ ,  $V_{\beta}7.4$ ,  $V_{\beta}9.1$ ,  $V_{\beta}12$ ,  $V_{\beta}14$ ,  $V_{\alpha}15$ ,  $V_{\alpha}17$  or  $V_{\alpha}20$ chains.

A superantigen-encoding nucleic acid molecule of the present invention preferably encodes superantigens that includes. but is not limited to, staphylococcal enterotoxins, retroviral antigens, streptococcal antigens, mycoplasma antigens, mycobacterium antigens, viral antigens (e.g., a superantigen from mouse mammary tumor virus, 15 rabies virus or herpes virus) and endoparasitic antigens (e.g., protozoan or helminth antigens), more preferably staphylococcal enterotoxins, and even more preferably Staphylococcal A (SEA), Staphylococcal enterotoxin enterotoxin B (SEB), Staphylococcal enterotoxin C, (SEC,), 20 enterotoxin C, (SEC,), Staphylococcal Staphylococcal enterotoxin C, (SEC,), Staphylococcal enterotoxin D (SED), Staphylococcal enterotoxin E (SEE) and Toxic Shock Syndrome Toxin (TSST).

25 A preferred nucleic acid molecule encoding Staphylococcal enterotoxin of the present invention comprises a nucleic acid sequence represented by SEQ ID NO:1 (representing a full-length SEB gene), SEQ ID NO:3

5

10

15

20

25

(representing a full-length SEA gene) or SEQ ID NO:6 (representing a full-length TSST gene). A preferred Staphylococcal enterotoxin protein of the present invention comprises an amino acid sequence represented by SEQ ID NO:2 (representing a full-length SEB protein), SEQ ID NO:4 (representing a full-length SEA protein) or SEQ ID NO:7 (representing a full-length TSST protein).

In a preferred embodiment, a nucleic acid molecule of the present invention encoding a superantigen comprises a nucleic acid sequence spanning base pair 46 to at least base pair 768 of SEQ ID NO:1, a nucleic acid sequence spanning base pair 46 to about base pair 751 of SEQ ID NO:3 or SEO ID NO:6.

Another embodiment of the present invention includes a cytokine-encoding nucleic acid molecule that encodes a full-length cytokine or a homologue of the cytokine protein. As used herein, a homologue of a cytokine is a protein having an amino acid sequence that is sufficiently similar to a natural cytokine amino acid sequence so as to have cytokine activity. In accordance with the present invention, a cytokine includes a protein that is capable of affecting the biological function of another cell. biological function affected by a cytokine can include, but is not limited to, cell growth, cell differentiation or cell death. Preferably, a cytokine of the present invention is capable of binding to a specific receptor on the surface of a cell, thereby affecting the biological function of a cell.

WO 96/36366 PCT/US96/07432

-16-

A cytokine-encoding nucleic acid molecule of the present invention encodes a cytokine that is capable of affecting the biological function of a cell, including, but not limited to, a lymphocyte, a muscle cell, a hematopoietic precursor cell, a mast cell, a natural killer cell, a macrophage, a monocyte, an epithelial cell, an endothelial cell, a dendritic cell, a mesenchymal cell, a Langerhans cell, cells found in granulomas and tumor cells of any cellular origin, and more preferably a mesenchymal cell, an epithelial cell, an endothelial cell, a muscle cell, a macrophage, a monocyte, a T cell and a dendritic cell.

A preferred cytokine nucleic acid molecule of the present invention encodes a hematopoietic growth factor, an interleukin, an interferon, an immunoglobulin superfamily molecule, a tumor necrosis factor family molecule and/or a chemokine (i.e., a protein that regulates the migration and activation of cells, particularly phagocytic cells). more preferred cytokine nucleic acid molecule of the present invention encodes a granulocyte macrophage colony. stimulating factor (GM-CSF), tumor necrosis factor  $\alpha$  (TNFmacrophage colony stimulating factor α), (M-CSF), interleukin-1 (IL-1), interleukin-2 (IL-2), interleukin-4 interleukin-6 (IL-6), interleukin-12 interleukin-15 (IL-15) and/or IGIF. An even more preferred cytokine nucleic acid molecule of the present invention encodes GM-CSF, IL-2, IL-12, IGIF and/or TNF- $\alpha$ , with GM-CSF being even more preferred.

5

10

15

20

As will be apparent to one of skill in the art, the present invention is intended to apply to cytokines derived from all types of animals. A preferred animal from which to derive cytokines includes a mouse, a human, a cat and a dog. A more preferred animal from which to derive cytokines includes a cat, a dog and a human. An even more preferred animal from which to derive cytokines is a human.

According to the present invention, a cytokineencoding nucleic acid molecule of the present invention is derived from the same species of animal as the animal to be treated. For example, a cytokine-encoding nucleic acid molecule derived from a canine (i.e., dog) nucleic acid molecule is used to treat a disease in a canine. Thus, a preferred cytokine-encoding nucleic acid molecule of the present invention comprises a nucleic acid molecule encoding human GM-CSF, as described in the art. A human GM-CSF-encoding nucleic acid molecule of the present invention can be produced using methods standard PCR amplification methods with primers designed from the human GM-CSF nucleic acid sequence disclosed in Nash (Blood 78:930, 1991). Such PCR products can be cloned into a PCR. expression vector using the methods generally described in Example 1.

Another embodiment of the present invention includes

25 a chemokine-encoding nucleic acid molecule that encodes a
full-length chemokine or a homologue of the chemokine
protein. As used herein, a homologue of a chemokine is a
protein having an amino acid sequence that is sufficiently

5

10

15

10

15

20

25

similar to a natural chemokine amino acid sequence so as to have chemokine activity. In accordance with the present invention, a chemokine includes a protein that is capable of attracting cells involved in an immune response (immunologic cells), including phagocytic cells. For example, immunologic cells are recruited from the blood to a site at which the chemokine is located (e.g., a site of infection). Preferably, a chemokine of the present invention is capable of binding to a specific receptor on the surface of a cell, thereby attracting the cell to a specific location.

A chemokine-encoding nucleic acid molecule of the present invention encodes a chemokine that is capable of attracting a cell to a site, including, but not limited to, a dendritic cell, a neutrophil, a macrophage, a T lymphocyte and Langerhans cells, and more preferably a dendritic cell, a macrophage and a T lymphocyte.

A preferred chemokine-encoding nucleic acid molecule of the present invention encodes an  $\alpha$ -chemokine or a  $\beta$ -chemokine. A more preferred chemokine-encoding nucleic acid molecule of the present invention encodes a C5a, interleukin-8 (IL-8), monocyte chemotactic protein  $1\alpha$  (MIP1 $\alpha$ ), monocyte chemotactic protein  $1\beta$  (MIP1 $\beta$ ), monocyte chemoattractant protein 1 (MCP-1), monocyte chemoattractant protein 3 (MCP-3), platelet activating factor (PAFR), N-Formyl-methionyl-leucyl- $\{^{3M}\}$ phenylalanine (FMLPR), leukotriene B<sub>4</sub> (LTB<sub>4</sub>R), gastrin releasing peptide (GRP), RANTES, eotaxin, lymphotactin, IP10, I-309, ENA78, GCP-2.

NAP-2 and/or MGSA/gro. An even more preferred chemokine-encoding nucleic acid molecule of the present invention encodes IL-8, MIP1 $\alpha$ , MIP1 $\beta$ , MCP-1, MCP-3, RANTES and/or NAP-2, with IL-8, Rantes, MIP1 $\alpha$  and/or MIP1 $\beta$  being even more preferred.

As will be apparent to one of skill in the art, the present invention is intended to apply to chemokines derived from all types of animals. Preferred animals from which to derive chemokines includes mice, humans, dogs, cats, cattle and horses. More preferred animals from which to derive chemokines includes dogs, cats, humans and cattle. Even more preferred animals from which to derive chemokines are humans.

According to the present invention, a chemokine-encoding nucleic acid molecule of the present invention is derived from the same species of animal as the animal to be treated. For example, a chemokine-encoding nucleic acid molecule derived from a canine (i.e., dog) nucleic acid molecule is used to treat a disease in a canine. Thus, a preferred chemokine-encoding nucleic acid molecule of the present invention comprises a nucleic acid molecule encoding a dog, cat, human, bovine and/or equine chemokine. Preferred nucleic acid molecules of the present invention encode IL-8, Rantes, MIPl $\alpha$  and/or MIPl $\beta$ , as described in the art. For example, a human MIPl $\alpha$ -encoding nucleic acid molecule of the present invention can be produced using standard PCR amplification methods with primers designed from the human MIPl $\alpha$ -encoding nucleic acid sequence

5

10

15

20

disclosed in the art. Such PCR products can be cloned into a PCR<sub>3</sub> expression vector using the methods generally described in Example 1.

The present invention includes a nucleic acid molecule of the present invention operatively linked to one or more 5 transcription control sequences to form a recombinant The phrase "operatively linked" refers to molecule. linking a nucleic acid molecule to a transcription control sequence in a manner such that the molecule is able to be expressed when transfected (i.e., transformed, transduced 10 or transfected) into a host cell. Transcription control sequences are sequences which control the initiation, elongation, and termination of transcription. Particularly important transcription control sequences are those which control transcription initiation, such as promoter, 15 enhancer, operator and repressor sequences. Suitable transcription control sequences include any transcription control sequence that can function in at least one of the recombinant cells of the present invention. A variety of such transcription control sequences are known to those 20 skilled in the art. Preferred transcription control sequences include those which function in animal, bacteria, helminth, insect cells, and preferably in animal cells. More preferred transcription control sequences include, but are not limited to, simian virus 40 (SV-40),  $\beta$ -actin, 25 retroviral long terminal repeat (LTR), Rous sarcoma virus (RSV), cytomegalovirus (CMV), tac, lac, trp, trc, oxy-pro, omp/lpp, rrnB, bacteriophage lambda ( $\lambda$ ) (such as  $\lambda p_i$  and  $\lambda p_s$ 

and fusions that include such promoters), bacteriophage T7, T71ac, bacteriophage T3, bacteriophage SP6, bacteriophage SP01, metallothionein, alpha mating factor, Pichia alcohol oxidase, alphavirus subgenomic promoters (such as Sindbis virus subgenomic promoters), baculovirus, Heliothis zea other poxviruses, insect virus, vaccinia virus and adenovirus transcription control herpesvirus, and as other sequences capable of sequences, as well expression in eukaryotic controlling gene Additional suitable transcription control sequences include tissue-specific promoters and enhancers (e.g., tumor cellspecific enhancers and promoters), and inducible promoters Transcription control sequences of (e.g., tetracycline). the present invention can also include naturally occurring transcription control sequences naturally associated with a gene encoding a superantigen, a cytokine or a chemokine of the present invention.

Recombinant molecules of the present invention, which can be either DNA or RNA, can also contain additional regulatory sequences, such as translation regulatory sequences, origins of replication, and other regulatory sequences that are compatible with the recombinant cell. In one embodiment, a recombinant molecule of the present invention also contains secretory signals (i.e., signal segment nucleic acid sequences) to enable an expressed superantigen, cytokine or a chemokine protein to be secreted from the cell that produces the protein. Suitable signal segments include: (1) a bacterial signal segment, in

5

10

15

20

10

15

20

25

particular a superantigen signal segment; (2) a cytokine signal segment; (3) a chemokine signal segment; (4) or any heterologous signal segment capable of directing the secretion of a superantigen, cytokine and/or chemokine protein of the present invention. Preferred signal segments include, but are not limited to, signal segments associated with SEB, SEA, TSST, GM-CSF, M-CSF, TNFa, IL-1, IL-2, IL-4, IL-6, IL-12, IL-15, C5a, IGIF, IL-8, MIP1a, MIP1β, MCP-1, MCP-3, PAFR, FMLPR, LTB<sub>4</sub>R, GRP, RANTES, eotaxin, lymphotactin, IP10, I-309, ENA78, GCP-2, NAP-2 and/or MGSA/gro protein.

Preferred recombinant molecules of the invention include a recombinant molecule containing a nucleic acid molecule encoding a superantigen, recombinant molecule containing a nucleic acid molecule encoding a cytokine, a recombinant molecule containing a nucleic acid molecule encoding a chemokine, a recombinant molecule containing a nucleic acid molecule encoding a superantigen and a nucleic acid molecule encoding a cytokine to form a chimeric recombinant molecule, or a recombinant molecule containing a nucleic acid molecule encoding a superantigen and a nucleic acid molecule encoding a chemokine to form a chimeric recombinant molecule. The nucleic acid molecules contained in such recombinant chimeric molecules are operatively linked to one or more transcription control sequences, in which each nucleic acid molecule contained in a chimeric recombinant molecule can be expressed using the same or different

15

20

25

regulatory control sequences. Preferred recombinant molecules of the present invention comprise a nucleic acid sequence represented by SEQ ID NO:1, SEQ ID NO:3, SEQ ID NO:5, or combinations thereof. Particularly preferred recombinant molecules include PCR<sub>3</sub>-SEB, PCR<sub>3</sub>-SEA, PCR<sub>3</sub>-SEB.S, PCR<sub>3</sub>-SEA.S, PCR<sub>3</sub>-TSST and PCR<sub>3</sub>-GM<sub>3</sub>, the production of which is disclosed herein. Other preferred nucleic acid sequences include Rantes nucleic acid sequence (SEQ ID. NO:13), MIPlα nucleic acid sequence (see Davatelis et al., J. Exp. Med. 167:1939-1944, 1988) and MIPlβ nucleic acid sequence (see Sherry et al., J. Exp. Med. 168:2251-2259, 1988).

According to the present invention, a recombinant molecule can be dicistronic. A cistron refers to a unit of DNA that is capable of encoding an amino acid sequence having a naturally-occurring biological function. A dicistronic plasmid refers to a plasmid containing 2 cistrons. Preferably, a dicistronic recombinant molecule of the present invention comprises an internal ribosome entry site (IRES) element to which eukaryotic ribosomes can bind (see, for example, Jang et al., J. Virol. 62:2636-2643, 1988; Pelletier et al. Nature 334:320-325, 1988; Jackson, Nature 353:14-15, 1991; Macejek et al., Nature 353:90-94, 1991; Oh et al., Genes & Develop. 6:1643-1653, 1992; Molla et al., Nature 356:255-257, 1992; and Kozak, Crit. Rev. Biochem. Molec. Biol. 27(4,5):385-402, 1992).

In one embodiment, a dicistronic recombinant molecule of the present invention comprises a eukaryotic promoter, operatively linked to a superantigen-encoding nucleic acid

molecule of the present invention and a cytokine-encoding nucleic acid molecule of the present invention separated by an IRES nucleic acid sequence, or a superantigen-encoding nucleic acid molecule of the present invention and chemokine-encoding nucleic acid molecule of the present invention separated by an IRES nucleic acid sequence.

In another embodiment, a dicistronic recombinant molecule of the present invention comprises a eukaryotic promoter, operatively linked to a first superantigenencoding nucleic acid molecule of the present invention and a second superantigen-encoding nucleic acid molecule of the present invention separated by an IRES nucleic acid sequence. Preferably, a first superantigen-encoding nucleic acid molecule encodes a different superantigen than a second superantigen-encoding nucleic acid molecule.

One or more recombinant molecules of the present invention can be used to produce an encoded product (i.e., a superantigen protein, a cytokine and a chemokine protein) of the present invention. In one embodiment, an encoded product of the present invention is produced by expressing a nucleic acid molecule of the present invention in a cell under conditions effective to produce the protein. A preferred method to produce an encoded protein is by transforming (i.e., introducing a recombinant molecule into a cell in such a manner that the recombinant molecule is expressed by the cell) a host cell with one or more recombinant molecules of the present invention to form a recombinant cell. Suitable host cells to transform include

10

15

20

WO 96/36366 PCT/US96/07432

-25-

any cell into which a recombinant molecule can introduced. Host cells can be either untransformed cells or cells that are already transformed with at least one nucleic acid molecule. Host cells of the present invention can be any cell capable of producing a superantigen, a cytokine and/or a chemokine of the present invention, including bacterial, fungal, animal parasite, insect and animal cells. A preferred host cell includes a mammalian and a bird cell. A more preferred host cell includes lymphocytes, muscle cells, hematopoietic mammalian precursor cells, mast cells, natural killer cells, macrophages, monocytes, epithelial cells, endothelial cells, dendritic cells, mesenchymal cells, Langerhans cells, cells found in granulomas and tumor cells of any cellular origin. An even more preferred host cell of the present invention includes mammalian mesenchymal cells, epithelial cells, endothelial cells, macrophages, monocytes, muscle cells, T cells and dendritic cells.

According to the present invention, a recombinant molecule can be introduced into a host cell in vivo (i.e., in an animal) or in vitro (i.e., outside of an animal, such as in tissue culture). Introduction of a nucleic acid molecule into a host cell can be accomplished by any method by which a nucleic acid molecule can be inserted into the cell. Transformation techniques include, but are not limited to, transfection, electroporation, microinjection, lipofection, adsorption, and protoplast fusion. Preferred methods to introduce a recombinant molecule into host cells

BNSDOCID: <WO\_\_\_\_\_9636368A1\_IA>

5

10

10

15

20

25

\_\_\_\_9636366A1\_IA>

BNSDOCID: <WO\_

in vivo include lipofection and adsorption (discussed in detail below).

A recombinant cell of the present invention comprises a cell into which a nucleic acid molecule that encodes a superantigen, a cytokine and/or a chemokine has been introduced. In one embodiment, a recombinant cell of the present invention is transformed with a nucleic acid molecule that includes at least a portion of PCR<sub>3</sub>-SEB, PCR<sub>3</sub>-SEA, PCR<sub>3</sub>-SEB.S, PCR<sub>3</sub>-SEA.S, PCR<sub>3</sub>-TSST, or combinations thereof. Particularly preferred recombinant cells include cells transformed with PCR<sub>3</sub>-SEB, PCR<sub>3</sub>-SEA, PCR<sub>3</sub>-SEB.S, PCR<sub>3</sub>-SEA.S or PCR<sub>3</sub>-TSST, with PCR<sub>3</sub>-SEB.S, PCR<sub>3</sub>-SEA.S or PCR<sub>3</sub>-TSST being even more preferred.

In another embodiment, a recombinant cell of the present invention is transformed with a nucleic acid molecule that includes at least a portion of PCR3-SEB, PCR3-SEA, PCR3-SEB.S, PCR3-SEA.S, PCR3-TSST or combinations thereof, and PCR3-GM3. Particularly preferred stimulatory recombinant cells include cells transformed with PCR3-SEA and PCR3-GM3, PCR3-SEA.S and PCR3-GM3, PCR3-SEB and PCR3-GM3, PCR3-SEB.S and PCR3-GM3, or PCR3-TSST and PCR3-GM3. Even more preferred stimulatory recombinant cells include cells transformed with PCR3-SEB.S and PCR3-GM3, or PCR3-SEA.S and PCR3-GM3, or PCR3-SEA.S and PCR3-GM3, or PCR3-SEA.S and PCR3-GM3, and PCR3-SEB.S and PCR3-GM3, or PCR3-SEA.S and PCR3-GM4, and PCR3-TSST and PCR3-GM4.

Recombinant DNA technologies can be used to improve expression of transformed nucleic acid molecules by manipulating, for example, the number of copies of the nucleic acid molecules within a host cell, the efficiency

10

15

20

25

with which those nucleic acid molecules are transcribed, the efficiency with which the resultant transcripts are translated, and the efficiency of post-translational modifications. Recombinant techniques useful for increasing the expression of nucleic acid molecules of the present invention include, but are not limited to, operatively linking nucleic acid molecules to high-copy number plasmids, integration of the nucleic acid molecules into one or more host cell chromosomes, addition of vector stability sequences to plasmids, substitutions modifications of transcription control signals (e.g., promoters, operators, enhancers), substitutions modifications of translational control signals (e.g., ribosome binding sites, Shine-Dalgarno sequences), modification of nucleic acid molecules of the present invention to correspond to the codon usage of the host cell, and deletion of sequences that destabilize transcripts. The activity of an expressed recombinant protein of the present invention may be improved by fragmenting, modifying, or derivatizing nucleic acid molecules encoding such a protein.

Further embodiments of compositions of the present invention can also include a compound capable of inhibiting the downregulation of T cell activity. In particular, such a compound can include an inhibitor of CTLA-4. An inhibitor of CTLA-4 includes any compound capable of inhibiting the activity of CTLA-4 and/or inhibiting the binding of CTLA-4 to its natural ligand (e.g., B7).

10

15

20

25

Preferably, an inhibitor of CTLA-4 includes, but is not limited to a ligand of CTLA-4 or an analog (i.e., Preferred ligands of CTLA-4 antagonist) of CTLA-4. include: an antibody that specifically binds to CTLA-4 in such a manner that CTLA-4 activity is inhibited; at least a portion of a B7 molecule, in particular a B7 fusion protein; or a synthetic oligonucleotide that binds CTLA-4 protein. A preferred analog of CTLA-4 includes a molecule capable of binding to B7 in such a manner that B7 signal transduction is not activated and CTLA-4 binding to the B7 molecule is inhibited. It is within the scope of the invention that a CTLA-4 inhibitor can comprise a nucleic acid molecule, a protein or a synthetic chemical molecule when combined in a composition of the present invention.

In another embodiment of the present invention, a composition further comprises therapeutic pharmaceutically acceptable carrier. As used herein, a "carrier" refers to any substance suitable as a vehicle for delivering a nucleic acid molecule of the present invention to a suitable in vivo or in vitro site. As such, carriers can act as a pharmaceutically acceptable excipient of a therapeutic composition containing a nucleic acid molecule of the present invention. Preferred carriers are capable of maintaining a nucleic acid molecule of the present invention in a form that, upon arrival of the nucleic acid molecule to a cell, the nucleic acid molecule is capable of entering the cell and being expressed by the cell. Carriers of the present invention include: (1) excipients

10

or formularies that transport, but do not specifically target a nucleic acid molecule to a cell (referred to herein as non-targeting carriers); and (2) excipients or formularies that deliver a nucleic acid molecule to a specific site in an animal or a specific cell (i.e., targeting carriers). Examples of non-targeting carriers include, but are not limited to water, phosphate buffered saline, Ringer's solution, dextrose solution, serum-containing solutions, Hank's solution, other aqueous physiologically balanced solutions, oils, esters and glycols. Aqueous carriers can contain suitable auxiliary substances required to approximate the physiological conditions of the recipient, for example, by enhancing chemical stability and isotonicity.

Suitable auxiliary substances include, for example, 15 sodium acetate, sodium chloride, sodium lactate, potassium chloride, calcium chloride, and other substances used to produce phosphate buffer, Tris buffer, and bicarbonate buffer. Auxiliary substances can also include preservatives, such as thimerosal, m- and 20 o-cresol, benzol alcohol. Preferred formalin and auxiliary aerosol delivery include surfactant substances for substances non-toxic to an animal, for example, esters or partial esters of fatty acids containing from about six to about twenty-two carbon atoms. Examples of esters include, 25 caproic, octanoic, lauric, palmitic, stearic, linoleic, linolenic, olesteric, and oleic acids. Other carriers can include metal particles (e.g., gold particles) for use

10

15

20

with, for example, a biolistic gun through the skin. Therapeutic compositions of the present invention can be sterilized by conventional methods and/or lyophilized.

Targeting carriers are herein referred to as "delivery vehicles." Delivery vehicles of the present invention are capable of delivering a therapeutic composition of the present invention to a target site in an animal. A "target site" refers to a site in an animal to which one desires to deliver a therapeutic composition. For example, a target site can be a malignant tumor cell, a non-malignant tumor cell, a lymph node or a lesion caused by an infectious agent, or an area around such cell, tumor or lesion, which is targeted by direct injection or delivery using liposomes or other delivery vehicles. Examples of delivery vehicles include, but are not limited to, artificial and natural Natural lipid-containing delivery vehicles. lipidcontaining delivery vehicles include cells and cellular membranes. Artificial lipid-containing delivery vehicles include liposomes and micelles. A delivery vehicle of the present invention can be modified to target to a particular site in an animal, thereby targeting and making use of a nucleic acid molecule of the present invention at that Suitable modifications include manipulating the site. chemical formula of the lipid portion of the delivery vehicle and/or introducing into the vehicle a compound 25 capable of specifically targeting a delivery vehicle to a preferred site, for example, a preferred cell type. Specifically targeting refers to causing a delivery vehicle

to bind to a particular cell by the interaction of the compound in the vehicle to a molecule on the surface of the cell. Suitable targeting compounds include ligands capable selectively (i.e., specifically) binding another molecule at a particular site. Examples of such ligands include antibodies, antigens, receptors and receptor ligands. For example, an antibody specific for an antigen found on the surface of a cancer cell can be introduced to the outer surface of a liposome delivery vehicle so as to target the delivery vehicle to the cancer cell. Tumor cell ligands include ligands capable of binding to a molecule on the surface of a tumor cell. Manipulating the chemical formula of the lipid portion of the delivery vehicle can modulate the extracellular or intracellular targeting of the delivery vehicle. For example, a chemical can be added to the lipid formula of a liposome that alters the charge of the lipid bilayer of the liposome so that the liposome fuses with particular cells having particular charge characteristics.

A preferred delivery vehicle of the present invention is a liposome. A liposome is capable of remaining stable in an animal for a sufficient amount of time to deliver a nucleic acid molecule of the present invention to a preferred site in the animal. A liposome of the present invention is preferably stable in the animal into which it has been administered for at least about 30 minutes, more preferably for at least about 1 hour and even more preferably for at least about 24 hours.

WO 96/36366

5

10

A liposome of the present invention comprises a lipid composition that is capable of targeting a nucleic acid molecule of the present invention to a particular, or selected, site in an animal. Preferably, the lipid composition of the liposome is capable of targeting to any organ of an animal, more preferably to the lung, liver, spleen, heart brain, lymph nodes and skin of an animal, and even more preferably to the lung of an animal.

A liposome of the present invention comprises a lipid composition that is capable of fusing with the plasma membrane of the targeted cell to deliver a nucleic acid molecule into a cell. Preferably, the transfection efficiency of a liposome of the present invention is at least about 0.5 microgram ( $\mu$ g) of DNA per 16 nanomole (nmol) of liposome delivered to about 10<sup>6</sup> cells, more preferably at least about 1.0  $\mu$ g of DNA per 16 nmol of liposome delivered to about 10<sup>6</sup> cells, and even more preferably at least about 2.0  $\mu$ g of DNA per 16 nmol of liposome delivered to about 10<sup>6</sup> cells.

A preferred liposome of the present invention is between about 100 and about 500 nanometers (nm), more preferably between about 150 and about 450 nm and even more preferably between about 200 and about 400 nm in diameter.

Suitable liposomes for use with the present invention include any liposome. Preferred liposomes of the present invention include those liposomes standardly used in, for example, gene delivery methods known to those of skill in the art. More preferred liposomes comprise liposomes

5

10

15

20

having a polycationic lipid composition and/or liposomes having a cholesterol backbone conjugated to polyethylene glycol. Even more preferred liposomes include liposomes produced according to the method described in Example 2.

In one embodiment, a liposome of the present invention comprises a compound capable of targeting the liposome to a tumor cell. Such a liposome preferably includes a tumor cell ligand exposed on the outer surface of the liposome.

Complexing a liposome with a nucleic acid molecule of the present invention can be achieved using methods standard in the art (see, for example, methods described in Example 2). A suitable concentration of a nucleic acid molecule of the present invention to add to a liposome includes a concentration effective for delivering a sufficient amount of nucleic acid molecule to a cell such that the cell can produce sufficient superantigen and/or cytokine protein to regulate effector cell immunity in a Preferably, nucleic acid molecules are desired manner. combined with liposomes at a ratio of from about 0.1  $\mu g$  to about 10  $\mu$ g of nucleic acid molecule of the present invention per about 8 nmol liposomes, more preferably from about 0.5  $\mu$ g to about 5  $\mu$ g of nucleic acid molecule per about 8 nmol liposomes, and even more preferably about 1.0 ug of nucleic acid molecule per about 8 nmol liposomes.

Another preferred delivery vehicle comprises a recombinant virus particle vaccine. A recombinant virus particle vaccine of the present invention includes a therapeutic composition of the present invention, in which

5

10

15

20

WO 96/36366 PCT/US96/07432

the recombinant molecules contained in the composition are packaged in a viral coat that allows entrance of DNA into a cell so that the DNA is expressed in the cell. A number of recombinant virus particles can be used, including, but not limited to, those based on alphaviruses, poxviruses, adenoviruses, herpesviruses, arena virus and retroviruses.

Another preferred delivery vehicle comprises a recombinant cell vaccine. Preferred recombinant cell vaccines of the present invention include tumor vaccines, in which allogeneic (i.e., cells derived from a source other than a patient, but that are histiotype compatible with the patient) or autologous (i.e., cells isolated from a patient) tumor cells are transfected with recombinant molecules contained in a therapeutic composition, irradiated and administered to a patient by, for example, intradermal. intravenous or subcutaneous injection. Therapeutic compositions to be administered by tumor cell vaccine, include recombinant molecules of the present invention without carrier. Tumor cell vaccine treatment is useful for the treatment of both tumor and metastatic cancer. Use of a tumor vaccine of the present invention is particular useful for treating metastatic cancer, including preventing metastatic disease, as well as, curing existing metastatic Methods disease. for developing administering include those standard in the art (see for example, Dranoff et al., Proc. Natl. Acad. Sci. USA 90:3539-3543, 1993, which is incorporated herein by reference in its entirety).

5

10

15

20

10

15

20

25

A therapeutic composition of the present invention is useful for the treatment of a variety of diseases, including, but not limited to, cancer, autoimmune disease, infectious diseases, and other diseases that can be alleviated by either stimulating or suppressing T cell activity. As used herein, the term "treatment" refers to protecting an animal from a disease or alleviating a disease in an animal. A therapeutic composition of the present invention is advantageous for the treatment of cancer in that the composition overcomes the mechanisms by which cancer cells avoid immune elimination (i.e., by which cancer cells avoid the immune response effected by the animal in response to the disease). Cancer cells can avoid immune elimination by, for example, being only slightly immunogenic, modulating cell surface antigens and inducing immune suppression. Suitable therapeutic compositions for use in the treatment of cancer comprises a superantigenencoding recombinant molecule; or a combination of a superantigen-encoding recombinant molecule, cytokine-encoding recombinant molecule and/or a chemokine recombinant molecule of the present invention. Preferred therapeutic compositions for use in the treatment of cancer comprises a superantigen-encoding recombinant molecule; or a combination of a superantigen-encoding recombinant molecule with a cytokine-encoding recombinant molecule and/or a chemokine recombinant molecule of the present invention combined (separately or together) with a delivery vehicle, preferably a liposome, such as disclosed herein.

A therapeutic composition of the present invention, upon entering targeted cells, leads to the production of superantigen, cytokine and/or chemokine protein that activate cytotoxic T cells, natural killer cells, T helper cells and macrophages. Such cellular activation overcomes the otherwise relative lack of immune response to cancer cells, leading to the destruction of such cells.

A therapeutic composition of the present invention is useful for the treatment of cancers, both tumors and metastatic forms of cancer. Treatment with the therapeutic composition overcomes the disadvantages of traditional treatments for metastatic cancers. For example, compositions of the present invention can target dispersed metastatic cancer cells that cannot be treated using surgical methods. In addition, administration of such compositions do not result in the harmful side effects caused by chemotherapy and radiation therapy.

A therapeutic composition of the present invention is preferably used to treat cancers, including, but not limited to, melanomas, squamous cell carcinoma, breast cancers, head and neck carcinomas, thyroid carcinomas, soft tissue sarcomas, bone sarcomas, testicular cancers, prostatic cancers, ovarian cancers, bladder cancers, skin cancers, brain cancers, angiosarcomas, hemangiosarcomas, mast cell tumors, primary hepatic cancers, lung cancers, pancreatic cancers, gastrointestinal cancers, renal cell carcinomas, hematopoietic neoplasias, leukemias and lymphomas. Particularly preferred cancers to treat with a

5

10

15

20

10

15

20

25

therapeutic composition of the present invention, include melanomas, lung cancers, thyroid carcinomas, breast cancers, renal cell carcinomas, squamous cell carcinomas, brain tumors and skin cancers. A therapeutic composition of the present invention is useful for treating tumors that can form in such cancers, including malignant and benign tumors.

A therapeutic composition of the present invention is also advantageous for the treatment of infectious diseases as a long term, targeted therapy for primary lesions (e.g., granulomas) resulting from the propagation of a pathogen. As used herein, the term "lesion" refers to a lesion formed by infection of an animal with a pathogen. Preferred therapeutic compositions for use in the treatment of an infectious disease comprise a superantigen-encoding recombinant molecule; or a combination of a superantigenencoding recombinant molecule, with a cytokine-encoding molecule and/or a chemokine recombinant recombinant molecule of the present invention. More preferred therapeutic compositions for use in the treatment of infectious disease comprise a superantigen-encoding recombinant molecule; or a combination of surerantigenencoding recombinant molecule, with a cytokine-encoding recombinant molecule and/or a chemokine recombinant molecule of the present invention combined with a delivery vehicle, preferably a liposome of the present invention. Similar to the mechanism described for the treatment of cancer, treatment of infectious diseases with superantique,

WO 96/36366 PCT/US96/07432

-38-

cytokine and/or chemokine can result in increased T cell, natural killer cell, and macrophage cell activity that overcome the relative lack of immune response to a lesion formed by a pathogen.

A therapeutic composition of the present invention is particularly useful for the treatment of infectious diseases caused by pathogens, including, but not limited to, intracellular bacteria (i.e., a bacteria that resides in a host cell), internal parasites, pathogenic fungi and endoparasites. Particularly preferred infectious diseases to treat with a therapeutic composition of the present invention include tuberculosis, leprosy, aspergillosis, coccidioidomycosis, cryptococcoses, leishmaniasis and toxoplasmosis.

In order to treat an animal with disease, a therapeutic composition of the present invention is administered to the animal in an effective manner such that the composition is capable of treating that animal from disease. For example, a recombinant molecule, when administered to an animal in an effective manner, is able to stimulate effector cell immunity in a manner that is sufficient to alleviate the disease afflicting the animal. According to the present invention, treatment of a disease refers to alleviating a disease and/or preventing the development of a secondary disease resulting from the occurrence of a primary disease.

An effective administration protocol (i.e., administering a therapeutic composition in an effective

5

10

15

20

manner) comprises suitable dose parameters and modes or administration that result in treatment of a disease. Effective dose parameters and modes of administration can be determined using methods standard in the art for a Such methods include, for example, particular disease. determination of survival rates, side effects (i.e., toxicity) and progression or regression of disease. particular, the effectiveness of dose parameters and modes of administration of a therapeutic composition of the present invention when treating cancer can be determined by assessing response rates. Such response rates refer to the percentage of treated patients in a population of patients that respond with either partial or complete remission. Remission can be determined by, for example, measuring tumor size or microscopic examination for the presence of cancer cells in a tissue sample.

In accordance with the present invention, a suitable single dose size is a dose that is capable of treating an animal with disease when administered one or more times over a suitable time period. Doses can vary depending upon the disease being treated. In the treatment of cancer, a suitable single dose can be dependent upon whether the cancer being treated is a primary tumor or a metastatic form of cancer. Doses of a therapeutic composition of the present invention suitable for use with direct injection techniques can be used by one of skill in the art to determine appropriate single dose sizes for systemic administration based on the size of an animal. A suitable

5

10

15

20

single dose of a therapeutic composition to treat a tumor is sufficient amount of a superantigen-encoding recombinant molecule; superantigen-encoding or a recombinant molecule, with a cytokine-encoding recombinant molecule and/or a chemokine recombinant molecule to reduce, and preferably eliminate, the tumor following transfection of the recombinant molecules into cells at or near the tumor site. A preferred single dose of the superantigenencoding recombinant molecule is an amount that, when transfected into a target cell population, leads to the production of from about 250 femtograms (fq) to about 1  $\mu$ q, preferably from about 500 fg to about 500 picogram (pg), and more preferably from about 1 pg to about 100 pg of superantigen per transfected cell. A preferred single dose of a cytokine-encoding recombinant molecule is an amount that, when transfected into a target cell population, leads to the production of from about 10 pg to about 1  $\mu$ g, preferably from about 100 pg to about 750 pg, and more preferably about 500 pg of cytokine per transfectant. preferred single dose of a chemokine-encoding recombinant molecule is an amount that, when transfected into a target cell population, leads to the production of from about 1 fg to about 1  $\mu$ g, preferably from about 1 pg to about 10 ng, and more preferably from about 1 pg to about 1 ng chemokine per transfectant.

A suitable single dose of a superantigen-encoding recombinant molecule; or a combination of a superantigen-encoding recombinant molecule, with a cytokine-encoding

5

10

15

20

WO 96/36366 PCT/US96/07432

-41-

recombinant molecule and/or chemokine-encoding a recombinant molecule in a non-targeting carrier to administer to an animal to treat a tumor, is an amount capable of reducing, and preferably eliminating, the tumor following transfection of the recombinant molecules into cells at or near the tumor site. A preferred single dose of a therapeutic composition to treat a tumor is from about 100  $\mu$ g to about 2 milligrams (mg) of total recombinant molecules, more preferably from about 150  $\mu$ g to about 1 mg of total recombinant molecules, and even more preferably from about 200  $\mu$ g to about 800  $\mu$ g of total recombinant molecules. A preferred single dose of a superantigenencoding recombinant molecule complexed with liposomes, is from about 100  $\mu$ g of total DNA per 800 nmol of liposome to about 2 mg of total recombinant molecules per 16 micromole ( $\mu$ mol) of liposome, more preferably from about 150  $\mu$ g per 1.2  $\mu$ mol of liposome to about 1 mg of total recombinant molecules per 8  $\mu$ mol of liposome, and even more preferably from about 200  $\mu$ g per 2  $\mu$ mol of liposome to about 400  $\mu$ g of total recombinant molecules per 3.2  $\mu$ mol of liposome.

A preferred single dose of a cytokine-encoding recombinant molecule or a chemokine-encoding recombinant molecule in a non-targeting carrier to administer to an animal to treat a tumor, is from about 100  $\mu$ g to about 2 mg of total recombinant molecules, more preferably from about 150  $\mu$ g to about 1 mg of total recombinant molecules, and even more preferably from about 200  $\mu$ g to about 400  $\mu$ g of total recombinant molecules. A preferred single dose of a

5

10

15

10

15

20

25

cytokine-encoding recombinant molecule or a chemokine-encoding recombinant molecule complexed with liposomes to administer to an animal to treat a tumor, is from about 100  $\mu$ g of total recombinant molecules per 800 nmol of liposome to about 2 mg of total recombinant molecules per 16  $\mu$ mol of liposome, more preferably from about 150  $\mu$ g per 1.2  $\mu$ mol of liposome to about 1 mg of total recombinant molecules per 8  $\mu$ mol of liposome, and even more preferably from about 200  $\mu$ g per 2  $\mu$ mol of liposome to about 400  $\mu$ g of total recombinant molecules per 6.4  $\mu$ mol of liposome.

A preferred single dose of a superantiqen-encoding recombinant molecule in a non-targeting carrier to administer to an animal treat a metastatic cancer, is from about 100  $\mu$ g to about 4 mg of total recombinant molecules, more preferably from about 150  $\mu$ g to about 3 mg of total recombinant molecules, and even more preferably from about 200  $\mu$ g to about 2 mg of total recombinant molecules. single preferred dose of superantigen-encoding recombinant molecule complexed with liposomes to administer to an animal to treat a metastatic cancer, is from about 100  $\mu$ g of total recombinant molecules per 800 nmol of liposome to about 4 mg of total recombinant molecules per 32  $\mu$ mol of liposome, more preferably from about 200  $\mu$ q per 1.6  $\mu$ m of liposome to about 3 mg of total recombinant molecules per 24 µmol of liposome, and even more preferably from about 400  $\mu$ g per 3.2  $\mu$ mol of liposome to about 2 mg of total recombinant molecules per 16 µmol of liposome.

WO 96/36366 PCT/US96/07432

-43-

A preferred single dose of a cytokine-encoding recombinant molecule or a chemokine-encoding recombinant molecule in a non-targeting carrier to administer to an animal to treat a metastatic cancer, is from about 100  $\mu$ g to about 4.0 mg of total recombinant molecules, more preferably from about 150  $\mu$ g to about 3 mg of total recombinant molecules, and even more preferably from about 200  $\mu$ g to about 2 mg of total recombinant molecules. A preferred single dose of a cytokine-encoding recombinant molecule or a chemokine-encoding recombinant molecule complexed with liposomes to administer to an animal to treat a metastatic cancer, is from about 100  $\mu$ g of total recombinant molecules per 800 nmol of liposome to about 4.0 mg of total recombinant molecules per 32  $\mu$ mol of liposome, more preferably from about 200 µg per 1.6 µmol of liposome to about 3 mg of total recombinant molecules per 24  $\mu$ mol of liposome, and even more preferably from about 400 µg per 3.2  $\mu$ mol of liposome to about 2  $\mu$ g of total recombinant molecules per 16  $\mu$ mol of liposome.

According to the present invention, a single dose of a therapeutic composition useful to treat a lesion, comprising a superantigen-encoding recombinant molecule in a non-targeting carrier or liposomes, respectively, and a cytokine-encoding recombinant molecule in a non-targeting carrier or liposomes, respectively, is substantially similar to those doses used to treat a tumor (as described in detail above).

10

The number of doses administered to an animal is dependent upon the extent of the disease and the response of an individual patient to the treatment. For example, a large tumor may require more doses than a smaller tumor. In some cases, however, a patient having a large tumor may require fewer doses than a patient with a smaller tumor, if the patient with the large tumor responds more favorably to the therapeutic composition than the patient with the smaller tumor. Thus, it is within the scope of the present invention that a suitable number of doses includes any number required to cause regression of a disease. preferred protocol is monthly administrations of single doses (as described above) for up to about 1 year. preferred number of doses of a therapeutic composition comprising a superantigen-encoding recombinant molecule; or a combination of a superantigen-encoding recombinant molecule, with a cytokine-encoding recombinant molecule and/or a chemokine-encoding recombinant molecule in a nontargeting carrier or complexed with liposomes in order to treat a tumor is from about 1 to about 10 administrations per patient, preferably from about 2 to about administrations per patient, and even more preferably from to per about 3 about 5 administrations Preferably, such administrations are given once every 2 weeks until signs of remission appear, then once a month until the disease is gone.

A preferred number of doses of a therapeutic composition comprising a superantigen-encoding recombinant

5

10

15

20

WO 96/36366 PCT/US96/07432

-45-

molecule; or a combination of a superantigen-encoding recombinant molecule, with a cytokine-encoding recombinant molecule and/or a chemokine-encoding recombinant molecule in a non-targeting carrier or complexed with liposomes in order to treat a metastatic cancer, is from about 2 to about 10 administrations patient, more preferably from about 3 to about 8 administrations per patient, and even more preferably from about 3 to about 7 administrations per patient. Preferably, such administrations are given once every 2 weeks until signs of remission appear, then once a month until the disease is gone.

According to the present invention, the number of doses of a therapeutic composition to treat a lesion comprising a superantigen-encoding recombinant molecule; or a combination of a superantigen-encoding recombinant molecule, with a cytokine-encoding recombinant molecule and/or a chemokine-encoding recombinant molecule, in a non-targeting carrier or liposomes, respectively, is substantially similar to those number of doses used to treat a tumor (as described in detail above).

A therapeutic composition is administered to an animal in a fashion to enable expression of an introduced recombinant molecule of the present invention into a curative protein in the animal to be treated for disease. A therapeutic composition can be administered to an animal in a variety of methods including, but not limited to, local administration of the composition into a site in an animal. Examples of such sites include lymph nodes, a site

5

10

15

20

10

15

20

25

that contains abnormal cells or pathogens to be destroyed (e.g., injection locally within the area of a tumor or a lesion); and systemic administration.

Therapeutic compositions to be delivered by local administration include: (a) recombinant molecules of the present invention in a non-targeting carrier (e.g., as "naked" DNA molecules, such as is taught, for example in Wolff et al., 1990, Science 247, 1465-1468); and (b) recombinant molecules of the present invention complexed to a delivery vehicle of the present invention. Suitable delivery vehicles for local administration comprise liposomes. Delivery vehicles for local administration can further comprise ligands for targeting the vehicle to a particular site (as described in detail herein).

A preferred method of local administration is by injection. Direct injection techniques are particularly useful for the treatment of disease by, for example, injecting the composition into a mass formed by abnormal cells, a lymph node or a granuloma mass induced by pathogens. Preferably, a recombinant molecule of the present invention complexed with a delivery vehicle is administered by direct injection into or locally within the area of a tumor mass, a lymph node, a granuloma mass or a cancer cell. Administration of a composition locally within an area of a mass or a cell refers to injecting the composition centimeters and preferably, millimeters within the mass or the cell. A preferred tumor mass to inject includes discrete inner body and cutaneous solid tumors.

A preferred inner body tumor to inject includes a discrete solid tumor that forms in the brain, breast, liver, kidney, colon, prostate, testicular, ovary, spleen and/or lymph node. A preferred cutaneous tumor to inject includes a discrete solid melanoma.

A preferred lymph node to inject includes a draining lymph node that "drains" a site containing abnormal cells or pathogens. As used herein, the term "draining lymph node" refers to a lymph node that is located downstream of a site containing abnormal cells or pathogens is based on the direction of the lymphatic flow of an animal (see qeneral discussion in Hole, Human Anatomy and Physiology, Edward G. Jaffe, ed., Wm. C Brown Publishers, Dubuque, IA; and G.C. Christiansen et al., Anatomy of the Dog, W.B. Saunders Publishers, Philadelphia, PN, 1979; both of which are incorporated herein by this reference). A preferred draining lymph node to inject comprises the draining lymph node most proximal to a site containing abnormal cells or pathogens. Thus, a skilled artisan can choose the site of lymph node injection based upon the location of the site containing abnormal cells or pathogens. Examples of lymph nodes to injection include: the mandibular lymph node if a tumor is located in the oral cavity; and the superficial cervical lymph node of a tumor is located in the front leg Effector cells travel from a site containing abnormal cells or pathogens. Injection of a therapeutic composition of the present invention into a lymph node can result in expression of a superantigen, a cytokine and/or

5

10

15

20

a chemokine by an effector cell from the lymph node or that has drained into the lymph node. Such expression can result in the activation of T lymphocytes, which can travel back to the site containing abnormal cells or pathogens to enhance the immune response at the site.

Another method of local administration is to contact a therapeutic composition of the present invention in or around a surgical wound. For example, a patient can undergo surgery to remove a tumor. Upon removal of the tumor, the therapeutic composition can be coated on the surface of tissue inside the wound or the composition can be injected into areas of tissue inside the wound. Such local administration is useful for treating cancer cells not excised by the surgical procedure, as well as, preventing recurrence of the primary tumor or development of a secondary tumor in the area of the surgery.

In one embodiment, a therapeutic composition of the present invention can be introduced to a tumor cell in vivo. In another embodiment, a therapeutic composition of the present invention can be introduced to a non-tumor cell in vivo or in vitro. Methods to introduce a therapeutic composition in vivo are disclosed herein. Methods to introduce a therapeutic composition in vitro include methods standard in the art, such as culturing cells in the presence of a therapeutic composition for a sufficient amount of time to enable a nucleic acid molecule of the present invention to pass through the plasma membrane in a cell and subsequently to be expressed in the cell.

5

10

15

20

10

15

20

25

in systemic useful Therapeutic compositions administration, include recombinant molecules of the present invention complexed to a targeted delivery vehicle of the present invention. Suitable delivery vehicles for use with systemic administration comprise liposomes comprising ligands for targeting the vehicle to a particular site, preferably ligands for targeting the vehicle to a site of a cancer or a lesion (depending upon the disease being treated). For cancer treatment, ligands capable of selectively binding to a cancer cell or to a cell within the area of a cancer cell are preferred. Systemic administration is useful for the treatment of both tumor and metastatic cancer and systemic infectious diseases. Systemic administration is particularly useful for the treatment of metastatic forms of cancer, in which the cancer cells are dispersed (i.e., not localized within Systemic administration is a single tumor mass). particularly advantageous when organs, in particular difficult to reach organs (e.g., heart, spleen, lung or liver) are the targeted sites of treatment.

Preferred methods of systemic administration, include intravenous injection, aerosol, oral and percutaneous (topical) delivery. Intravenous injections can be performed using methods standard in the art. Aerosol delivery can also be performed using methods standard in the art (see, for example, Stribling et al., Proc. Natl. Acad. Sci. USA 189:11277-11281, 1992, which is incorporated herein by reference in its entirety). Oral delivery can be

-50-

performed by complexing a therapeutic composition of the present invention to a carrier capable of withstanding degradation by digestive enzymes in the gut of an animal. Examples of such carriers, include plastic capsules or tablets, such as those known in the art. Topical delivery can be performed by mixing a therapeutic composition of the present invention with a lipophilic reagent (e.g., DMSO) that is capable of passing into the skin.

Therapeutic compositions of the present invention can be administered to any animal, preferably to mammals and birds, and more preferably to humans, house pets, economic produce animals and zoo animals. Economic produce animals include animals to be consumed or that produce useful products (e.g., sheep for wool production). Zoo animals include those animals harbored in zoos. Preferred animals to protect include humans, dogs, cats, sheep, cattle, horses and pigs, with humans and dogs being particularly preferred. While a therapeutic composition of the present invention is effective to treat disease in inbred species of animals, the composition is particularly useful for treating outbred species of animals, in particular those having tumors.

Yet another embodiment of the present invention is a method to suppress T cell activity in an animal, the method comprising administering to an animal an effective amount of a therapeutic composition comprising: (a) a naked nucleic acid molecule encoding a superantigen; and (b) a pharmaceutically acceptable carrier, in which the nucleic

5

10

15

20

-51-

acid molecule is operatively linked to a transcription control sequence, and in which the therapeutic composition is targeted to a site in the animal that contains excessive T cell activity.

Suitable embodiments, single dose sizes, number of doses and modes of administration of a therapeutic composition of the present invention useful in a treatment method of the present invention are disclosed in detail herein.

10 A therapeutic composition of the present invention is also advantageous for the treatment of autoimmune diseases in that the composition suppresses the harmful stimulation of T cells by autoantigens (i.e., a "self", rather than a foreign antigen). Superantigen-encoding recombinant molecules in a therapeutic composition, upon transfection 15 into a cell, produce superantigens that delete harmful populations of T cells involved in an autoimmune disease. A preferred therapeutic composition for use in the treatment of autoimmune disease comprises a superantigenencoding recombinant molecule of the present invention. A 20 more preferred therapeutic composition for use in the treatment of autoimmune disease comprises a superantigenencoding recombinant molecule combined with a non-targeting carrier of the present invention, preferably saline or 25 phosphate buffered saline. Such a therapeutic composition of the present invention is particularly useful for the treatment of autoimmune diseases, including but not limited to, multiple sclerosis, systemic

erythematosus, myasthenia gravis, rheumatoid arthritis, insulin dependent diabetes mellitus, psoriasis, polyarteritis, immune mediated vasculitides, immune mediated glomerulonephritis, inflammatory neuropathies and sarcoidosis.

A single dose of a superantigen-encoding nucleic acid molecule in a non-targeting carrier to administer to an animal to treat an autoimmune disease is from about 0.1  $\mu$ g to about 200  $\mu$ g of total recombinant molecules per kilogram (kg) of body weight, more preferably from about 0.5  $\mu$ g to about 150  $\mu$ g of total recombinant molecules per kg of body weight, and even more preferably from about 1  $\mu$ g to about 10  $\mu$ g of total recombinant molecules per kg of body weight.

The number of doses of a superantigen-encoding recombinant molecule in a non-targeting carrier to be administered to an animal to treat an autoimmune disease is an injection about once every 6 months, more preferably about once every 3 months, and even more preferably about once a month.

A preferred method to administer a therapeutic composition of the present invention to treat an autoimmune disease is by local administration, preferably direct injection. Direct injection techniques are particularly important in the treatment of an autoimmune disease. Preferably, a therapeutic composition is injected directly into muscle cells in a patient, which results in prolonged expression (e.g., weeks to months) of a recombinant molecule of the present invention. Preferably, a

5

10

15

20

10

15

20

25

recombinant molecule of the present invention in the form of "naked DNA" is administered by direct injection into muscle cells in a patient.

Another aspect of the present invention is an adjuvant for use with a nucleic acid-based vaccine to protect an animal from a disease or a remedy to treat a diseased animal. Adjuvants of the present invention comprise: (a) a superantigen-encoding nucleic acid molecule of the present invention; or (b) a combination of a superantigen-encoding nucleic acid molecule of the present invention with a cytokine nucleic acid molecule of the present invention, a chemokine nucleic acid molecule of the present invention or mixtures thereof.

Suitable compounds to combine with an adjuvant of the present invention, to form an adjuvant composition (i.e., a vaccine composition useful as a preventative therapeutic reagent or a therapeutic remedy useful to alleviate a disease) of the present invention, include any compound that is administered to an animal as an immunogen. As used herein, an immunogen of the present invention comprises a compound capable of eliciting an immune response in an animal. Preferably, an immunogen of the present invention is derived from a foreign agent including a pathogen. Also preferably, an immunogen of the present invention includes an allergen (organic or inorganic), tumor antigens and self-antigens.

A preferred immunogen is derived from a pathogen including, but not limited to, a virus, a bacteria, a

-54-

eukaryotic parasite and unicellular protozoa (e.g., amoeba). Preferred eukaryotic parasites include protozoan parasites, helminth parasites (such as nematodes, cestodes, trematodes, ectoparasites and fungi.

A preferred immunogen also includes an allergen including, but not limited to, a plant allergen, an animal allergen, a bacterial allergen, a parasitic allergen, a metal-based allergen that causes contact sensitivity and inorganic allergens such as silica, beryllium, xenobiotics, synthetic drugs and dyes. A more preferred allergen includes weed, grass, tree, peanut, mite, flea, cat, house dust and bacterial products antigens.

A preferred immunogen derived from a bacteria includes an immunogen that protects an animal from or alleviates Mycobacterium infection, in particular M. tuberculosis, M. leprae, M. avium, and/or M. bovis infection. A more preferred bacterial immunogen of the present invention includes a peptide, mimetopes thereof and compositions containing the same, as disclosed in U.S. Patent Serial No. 08/484,169, filed June 7, 1995, which is incorporated embodiment, herein by this reference. In one an . immunogen comprises a nucleic acid molecule encoding an immunogenic protein. Such immunogen-encoding nucleic acid molecules can be designed by those of skill in the art based upon the amino acid sequence of the immunogen. addition, a recombinant molecule encoding an immunogen of the present invention can be produced using the recombinant DNA technology disclosed herein and known to those of skill

5

10

15

20

-55-

in the art. In other embodiments, an immunogen can comprise a peptide, a polypeptide or a chemical compound as disclosed herein. All such embodiments of an immunogen are useful with an adjuvant of the present invention.

In order to treat an animal (i.e., vaccinate or remedy), an adjuvant composition of the present invention is administered to the animal in an effective manner such that the composition is capable of protecting an animal from or alleviating a disease. For example, an adjuvant, when administered to an animal in an effective manner, is able to stimulate effector cell immunity in a manner that is sufficient to prevent an initial or continued disease response by the subject animal.

effective administration protocol administering an adjuvant composition in an effective manner) comprises suitable dose parameters, and modes and times of administration that result in the treatment of an dose parameters modes animal. Effective and administration can be determined using methods standard in the art for a particular adjuvant composition. Such methods include, for example: determination of side effects (i.e., toxicity) of an adjuvant composition; progression of a disease upon administration of an adjuvant composition; magnitude and/or duration of antibody response by an animal against an immunogen contained in an adjuvant composition; magnitude and/or duration of a cell mediated immune response in an animal against an adjuvant composition; similarity of an immune response to an adjuvant composition

5

10

15

20

10

15

20

25

in different species of animals; and/or effect of breed (in non-human animals) or race (in humans) on responsiveness to an adjuvant composition. In particular, the effectiveness of dose parameters and modes of administration of an adjuvant composition of the present invention can be determined by assessing antibody production in vivo, skin test sensitivities in vivo, cytokine production in vitro, antigen-specific proliferation in vitro, cytotoxic T cell activity in vitro, reduction of tumor burden in vivo and/or reduction of infectious agent burden in vivo. standard in the art can be used to determine antibody production (e.g., enzyme-linked immunoassays), skin test sensitivities (e.g., subcutaneous injection of an immunogen into a vaccinated animal to assess weal formation, induration and erythema), cytokine production (e.g., immunoassays using cytokine-specific antibodies or bioassays using cytokine-dependent cell lines), antigenspecific proliferation (e.g., 3H-thymidine incorporation), cytotoxic T cell activity (e.g., measure release of 51Cr from target cells), reduction of tumor burden (e.g., measure size of a tumor) and/or reduction of infectious agent burden (e.g., obtaining, for example, viral titers, bacterial colony counts or parasite counts).

An effective dose refers to a dose capable of immunizing an animal against an immunogen. Effective doses can vary depending upon, for example, the adjuvant used, the immunogen being administered, and the size and type of the recipient animal. Effective doses to treat an animal

10

15

20

25

to an immunogen include doses administered over time that are capable of preventing or alleviating a disease in an animal to, for example, a pathogen or allergen. For example, a first treatment dose can comprise an amount of an adjuvant composition of the present invention that causes a minimal hypersensitive response when administered to a hypersensitive animal. A second treatment dose can comprise a greater amount of the same adjuvant composition than the first dose. Effective treatment doses can comprise increasing concentrations of the adjuvant composition necessary to treat an animal such that the animal does not exhibit signs of a disease.

In accordance with the present invention, a suitable single dose is a dose that is capable of vaccinating an animal against a foreign agent when administered one or more times over a suitable time period. For example, a preferred single dose of an adjuvant composition of the present invention is from about 100  $\mu$ g to about 1 mg of the adjuvant composition per kilogram body weight of the animal. Further treatments with the adjuvant composition can be administered from about 1 week to about 1 year after the original administration. Further treatments with the adjuvant composition preferably are administered when the animal is no longer protected from an immunogen to which the animal has been treated. Particular administration doses and schedules can be developed by one of skill in the art based upon the parameters discussed above.

The number of doses administered to an animal is dependent upon the immunogen and the response of individual patient to the adjuvant composition. For example, treatment of one strain of virus may require more doses than treatment of a more immunogenic strain of virus. Thus, it is within the scope of the present invention that a suitable number of doses includes any number required to treat an animal. A preferred number of doses of an adjuvant composition comprising a superantigen-encoding recombinant molecule, and/or a cytokine-encoding recombinant molecule and/or a chemokine-encoding recombinant molecule is from about 2 to about 20 administrations, preferably from about 3 to about 10 administrations, and even more preferably from about 3 to about 5 administrations per patient per year. Preferably, such administrations are given once every 2 weeks until, for example, antibody production against an immunogen increases or decreases, cell mediated immunity increases, and/or a clinical response is observed when an adjuvant composition is administered as a therapeutic remedy.

A preferred single dose of the superantigen-encoding recombinant molecule is an amount that, when transfected into a muscle cells, skin tissue, lung cells or other suitable cellular sites, leads to the production of from about 10 femtograms (fg) to about .01  $\mu$ g, preferably from about 100 fg to about 1 picogram (pg), and more preferably from about 1 pg to about 5 pg of superantigen per transfected cell. A preferred single dose of a cytokine-

5

10

15

20

-59-

encoding recombinant molecule is an amount that when transfected into a target cell population leads to the production of from about 10 pg to about .01  $\mu$ g, preferably from about 100 fg to about 2 pg, and more preferably about 1 pg of cytokine per transfected. A preferred single dose of a chemokine-encoding recombinant molecule is an amount that when transfected into a target cell population leads to the production of from about 1 pg to about .01  $\mu$ g, preferably from about 0.1 pg to about 10 pg, and more preferably about 1 pg of chemokine per transfected.

In one embodiment, an adjuvant composition of the present invention comprises up to about 50% of an immunogen-encoding recombinant molecule and up to about 50% superantigen-encoding recombinant molecule. of Preferably, an adjuvant composition of the present invention comprises no more than about 1.5 mg of immunogenencoding recombinant molecule and no more than about 1.5 mg of superantigen-encoding recombinant molecule, more preferably no more than about 1 mg of immunogen-encoding recombinant molecule and no more than about 1 mg of superantigen-encoding recombinant molecule, and even more preferably no more than about 0.5 mg of immunogen-encoding recombinant molecule and no more than about 0.5 mg of superantigen-encoding recombinant molecule per animal.

In another embodiment, an adjuvant composition of the present invention comprises an immunogen-encoding recombinant molecule in an amount up to about 66% by weight of the composition and a superantigen-encoding recombinant

5

10

15

20

molecule in an amount up to about 33% by weight of the composition. Preferably, an adjuvant composition of the present invention comprises no more than about 2000 µg of immunogen-encoding recombinant molecule and no more than superantigen-encoding recombinant about 1000 μg of molecule, more preferably no more than about 1400  $\mu g$  of immunogen-encoding recombinant molecule and no more than about 660 µg of superantigen-encoding recombinant molecule, and even more preferably no more than about 670  $\mu g$  of immunogen-encoding recombinant molecule and no more than about 330 µg of superantigen-encoding recombinant molecule per animal.

In another embodiment, an adjuvant composition of the invention comprises an immunogen-encoding present recombinant molecule in an amount up to about 50% of the composition; a superantigen-encoding recombinant molecule in an amount up to about 25% of the composition; and a cytokine-encoding recombinant molecule chemokineor encoding recombinant molecule or mixtures thereof, in an According to amount up to about 25% of the composition. the present embodiment, a cytokine-encoding recombinant molecule or a chemokine-encoding recombinant molecule can be used alone or in combination with each other. When used in combination, the ratio of cytokine-encoding recombinant molecule to chemokine-encoding recombinant molecule can be varied according to need. The ratio can be determined based upon the effectiveness of the adjuvant composition at

5

10

15

20

-61-

vaccinating an animal against a foreign agent using the methods and parameters disclosed herein.

In one embodiment, an adjuvant composition of the present invention comprises: no more than about 2000  $\mu$ g of immunogen-encoding recombinant molecule, no more than about 500  $\mu$ g of superantigen-encoding recombinant molecule, and no more than about 500 µg of cytokine-encoding recombinant molecule or no more than about 500 µg of chemokine-encoding recombinant molecule; more preferably no more than about 1400  $\mu$ g of immunogen-encoding recombinant molecule, no more than about 300  $\mu g$  of superantigen-encoding recombinant molecule, and no more than about 300  $\mu g$  of cytokineencoding recombinant molecule or no more than about 300  $\mu$ g of chemokine-encoding recombinant molecule; and even more preferably no more than about 660  $\mu g$  of immunogen-encoding recombinant molecule, no more than about 160  $\mu$ g of superantigen-encoding recombinant molecule, and no more than about 160 µg of cytokine-encoding recombinant molecule or no more than about 160  $\mu$ q of chemokine-encoding recombinant molecule per animal.

In another embodiment, an adjuvant composition of the present invention comprises: no more than about 2000  $\mu g$  of immunogen-encoding recombinant molecule, no more than about 500  $\mu g$  of superantigen-encoding recombinant molecule, and no more than about 250  $\mu g$  of cytokine-encoding recombinant molecule and no more than about 250  $\mu g$  of chemokine-encoding recombinant molecule; more preferably no more than about 1000  $\mu g$  of immunogen-encoding recombinant molecule,

5

10

15

20

-62-

no more than about 250  $\mu$ g of superantigen-encoding recombinant molecule, and no more than about 125  $\mu$ g of cytokine-encoding recombinant molecule and no more than about 125  $\mu$ g of chemokine-encoding recombinant molecule; and even more preferably no more than about 660  $\mu$ g of immunogen-encoding recombinant molecule, no more than about 160  $\mu$ g of superantigen-encoding recombinant molecule, and no more than about 80  $\mu$ g of cytokine-encoding recombinant molecule and no more than about 80  $\mu$ g of chemokine-encoding recombinant molecule and no more than about 80  $\mu$ g of chemokine-encoding recombinant molecule per animal.

Adjuvant compositions are preferably delivered by intramuscular administration in the form of "naked" DNA molecules, such as disclosed herein. Preferably, an adjuvant composition of the present invention is delivered by intramuscular, intravenous, intraperitoneal and/or intraarterial injection, and/or injection directly into specific cellular locations (e.g., into a tumor). Preferred sites of intramuscular injections include caudal thigh muscle, back muscle and into the buttocks of a human.

20

25

5

10

15

Preferably, an adjuvant composition of the present invention comprises a suitable pharmaceutically acceptable carrier for delivering the composition intramuscularly. A preferred carrier for use with an adjuvant includes phosphate buffered saline, water, Ringer's solution, dextrose solution, Hank's balanced salt solution and normal saline. A more preferred carrier includes phosphate

10

15

buffered saline and normal saline, with phosphate buffered saline being even more preferred.

Preferably, an adjuvant composition of the present invention comprises a mixture including a superantigen encoding molecule including an SEA-encoding recombinant molecule, an SEB-encoding recombinant molecule or mixtures thereof, and an immunogen-encoding recombinant molecule of the present invention; a superantigen encoding molecule including an SEA-encoding recombinant molecule, an SEBencoding recombinant molecule or mixtures thereof, a cytokine encoding molecule including a GM-CSF-encoding recombinant molecule and an immunogen-encoding recombinant molecule of the present invention; or a superantique encoding molecule including an SEA-encoding recombinant molecule, an SEB-encoding recombinant molecule or mixtures thereof, a chemokine encoding molecule including a MIPla, MIP16, IL-8 or RANTES recombinant molecule and immunogen-encoding recombinant molecule of the present invention.

In a preferred embodiment, an adjuvant of the present invention includes the following recombinant molecules contained in phosphate buffered saline: (1) PCR<sub>3</sub>-SEA, PCR<sub>3</sub>-SEA.S, PCR<sub>3</sub>-SEB, PCR<sub>3</sub>-SEB.S, PCR<sub>3</sub>-TSST and mixtures thereof; (2) mixtures of up to about 50% PCR<sub>3</sub>-SEA, PCR<sub>3</sub>-SEA.S, PCR<sub>3</sub>-SEB, PCR<sub>3</sub>-SEB.S and/or PCR<sub>3</sub>-TSST, and up to about 50% PCR<sub>3</sub>-GM<sub>3</sub>; (3)

mixtures of up to about 50% PCR3-SEA, PCR3-SEA.S, PCR3-SEB, PCR3-SEB.S and/or PCR3-TSST, and up to about 50% PCR3-MIPla;

15

20

25

(4) mixtures of up to about 50% PCR<sub>3</sub>-SEA, PCR<sub>3</sub>-SEA.S, PCR<sub>3</sub>-SEB, PCR<sub>3</sub>-SEB.S and/or PCR<sub>3</sub>-TSST, and up to about 50% PCR<sub>3</sub>-MIP1β; (5) mixtures of up to about 50% PCR<sub>3</sub>-SEA, PCR<sub>3</sub>-SEA.S, PCR<sub>3</sub>-SEB, PCR<sub>3</sub>-SEB.S and/or PCR<sub>3</sub>-TSST, and up to about 50% PCR<sub>3</sub>-RANTES; (6) mixtures of up to about 50% PCR<sub>3</sub>-SEA, PCR<sub>3</sub>-SEA.S, PCR<sub>3</sub>-SEB.S and/or PCR<sub>3</sub>-TSST, up to about 25% PCR<sub>3</sub>-GM<sub>3</sub>, and up to about 25% PCR<sub>3</sub>-MIP1α, PCR<sub>3</sub>-MIP1β and/or PCR<sub>3</sub>-RANTES.

According to the present invention, a preferred embodiment of an adjuvant composition of the present invention includes: (1) an immunogen-encoding recombinant molecule the present invention in an amount up to about 50% of the composition and a preferred embodiment of an adjuvant of the present invention in an amount up to about 50% of the composition; or (2) an immunogen-encoding recombinant molecule in an amount up to about 66% of the composition and a preferred embodiment of an adjuvant of the present invention in an amount up to about 33% of the composition, in phosphate buffered saline.

The following examples are provided for the purposes of illustration and are not intended to limit the scope of the present invention.

# **EXAMPLES**

### Example 1

This example describes the production of recombinant molecules encoding superantigens and cytokines.

Full-length cDNA encoding Staphylococcal enterotoxin B (SEB; SEQ ID NO:1) and Staphylococcal enterotoxin A (SEA;

SEQ ID NO:3) were produced by polymerase chain reaction (PCR) amplification using templates obtained from Dr. John Kappler (National Jewish Center for Immunology Respiratory Disease, Denver, CO). A truncated form of SEB 5 lacking the leader sequence, which spans base pairs 46 to 773 (referred to herein as SEB.S), was prepared by PCR 5 / amplification using the primers 3' GGGAATTCCATGGAGAGTCAACCAG (SEQ ID NO:7) 3' and GCGGATCCTCACTTTTCTTTGT 5' (SEQ ID NO:8). A truncated form of SEA lacking the signal sequence, which spans base pairs 10 46 to 751 (referred to herein as SEA.S), was prepared by amplification using the primers 5' 3' (SEQ GGGAATTCCATGGAGAGTCAACCAG ID NO:9) GCAAGCTTAACTTGTATATAAATAG 3'(SEQ ID NO:10). Full-length cDNA encoding Toxic Shock Syndrome Toxin (TSST; SEQ ID 15 NO:5) was produced by PCR amplification using a template obtained from Dr. Brian Kotzin (National Jewish Center for Immunology and Respiratory Disease, Denver, CO), using the primers:

- 20 5' CGGGGTACCCCGAAGGAGGAAAAAAAATGTCTACAAACGATAATATAAAG 3' (SEQ ID NO:11); and
  - 3' TGCTCTAGAGCATTAATTAATTTCTGCTTCTATAGTTTTTAT 5' (SEQ ID NO:12).

Each cDNA clone was ligated into the eukaryotic expression vector PCR<sub>3</sub> (In Vitrogen, San Diego, CA) using standard cloning methods. The full-length SEB cDNA cloned into PCR<sub>3</sub> is referred to herein as PCR<sub>3</sub>-SEB; the full-length SEA cDNA cloned into PCR<sub>3</sub> is referred to herein as PCR<sub>3</sub>-SEA;

10

20

25

the full-length TSST cDNA cloned into PCR<sub>3</sub> is referred to herein as PCR<sub>3</sub>-TSST; the truncated SEB cDNA cloned into PCR<sub>3</sub> is referred to herein as PCR<sub>3</sub>-SEB.S; and the truncated SEA cDNA cloned into PCR<sub>3</sub> is referred to herein as PCR<sub>3</sub>-SEA.S.

A cDNA for canine GM-CSF was produced by PCR amplification of total RNA extracted from Concavalin Astimulated normal canine peripheral blood mononuclear cells (PBMC) using canine GM-CSF primers designed based on the published canine GM-CSF cDNA (Nash, ibid.). The total RNA was reverse transcribed using the reverse transcriptase enzyme and oligoT primers. The canine GM-CSF cDNA was then amplified using PCR and specific 5' and 3' primers. The PCR product was cloned into the PCR3 vector, the resulting recombinant molecule is referred to herein as PCR3-GM3.

### 15 Example 2

This example describes the expression of DNA encoding superantigens in mammalian CHO cells following transfection.

Isolated PCR3-SEB.S, PCR3-SEA.S and PCR3-TSST were transformed into *E. coli* cells and ampicillin-resistant bacterial colonies were screened for the presence of the plasmid. Selected colonies were then cultured in large scale culture (liter volume). Plasmid DNA was isolated using standard methods. A typical plasmid yield was 20 mg plasmid DNA from one liter of bacteria-containing culture medium. Plasmid DNA was transfected into Chinese hamster ovary cells (CHO) by lipofection (Lipofectamine, Gibco-BRL, Gaithersburg, MD) using methods provided by the

10

15

20

25

manufacturer. About 2.0  $\mu g$  of each plasmid DNA was separately transfected into about 10 $^6$  CHO cells.

The transfected CHO cells were cultured for 48 hours. Supernatants and cell lysates were then isolated to determine the amount of intracellular and secreted SAg protein produced by the transfected cells. Cell lysates were prepared by detaching and sonicating the transfected cells to prepare cell lysates to measure activity. protein activity in each sample was measured quantitating the ability of the SAg protein to stimulate lymphocyte contained in a PBMC population using the following method. Supernatants and lysates to be tested were added in serial dilutions to triplicate wells of a 96well plate containing 5 X 10<sup>5</sup> PBMC in a total volume of 200  $\mu$ l per well. After 3 days, the wells were pulsed with <sup>3</sup>H thymidine and incubated for 18 hours. The radioactivity incorporated into the PBMC's were quantitated on a beta counter. Negative controls included CHO cells transfected with the DNA vector without an inserted gene (mock) and positive controls were purified recombinant SAg proteins.

The results were plotted as the mean incorporated thymidine in counts per minute and are shown in Fig. 1. The results indicate that both supernatants and lysates of CHO cells transfected with PCR3-SEB.S, PCR3-SEA.S and PCR3-TSST stimulated strong proliferation of the PBMC's, compared to mock transfected cultures. The activity in supernatants in some cases exceeded that in cell lysates.

15

20

25

Thus, DNA encoding bacterial SAg proteins are capable of being transcribed and translated in mammalian cells in biologically active form. The results also indicate that the amounts of biologically active SAg protein are active produced by the transfected cells was sufficient to stimulate T cell proliferation.

### Example 3

This example describes the expression of DNA encoding superantigens in canine melanoma cells following transfection.

A melanoma cell line was established from an oral malignant melanoma obtained by biopsy from a canine patient by isolating a portion of a melanoma tumor, digesting that portion with collagenase and plating the released cells in 24 well plates using Iscove Modified Dulbecco's Medium (IMDM) with 10% fetal calf serum. Melanoma cells were transfected with PCR3-SEB.S, PCR3-SEA.S and PCR3-TSST by lipofection as described in Example 2. The cells were then irradiated (15,000 Rads). Four samples of each sample of transfected melanoma cells were prepared, in which decreasing numbers of the transfected cells were added to normal canine PBMC (5 X 10<sup>5</sup>/well). Each sample was prepared in triplicate in a 96 well plate. After 3 days, proliferation was quantitated as described in Example 2. Non-transfected melanoma cells were used as negative The results were plotted as the mean control samples. incorporated thymidine in counts per minute and are shown The results indicate that Canine PBMC in Fig. 2.

10

15

20

25

proliferated when cultured with canine melanoma cells transfected with PCR<sub>3</sub>-SEB.S, PCR<sub>3</sub>-SEA.S and PCR<sub>3</sub>-TSST, exhibiting a dose-dependent increase in proliferation as increasing numbers of irradiated tumor cells were used. Thus, melanoma tumor cells can be transfected and can express biologically active SAg protein. The results also show that the transfected melanoma cells continue to release biologically active SAg protein after irradiation, indicating that transfected tumor cells would also be useful as an autologous tumor vaccine as described in detail in the present application.

### Example 4

This example describes the long term expression of DNA encoding SEB.S and SEA.S in stably transfected CHO cells.

To determine whether the SAg protein activity detected in supernatants of transfected CHO cells (described in Example 2) represented actual secretion or simple release from dying cells, stably transfected CHO lines were prepared using either PCR<sub>3</sub>-SEB.S, PCR<sub>3</sub>-SEA.S or vector with no cDNA insert (control). About 2 x 10<sup>6</sup> CHO cells were transfected with about 2 μg of plasmid DNA by lipofection. The transfected cells were then cultured in G418 (1 mg/ml) for 3 weeks to obtain stable transfectants. The G418 selected CHO cells were seeded into 9 individual tissue culture wells, allowed to adhere for 4 hours, and then fresh tissue culture media was added. Supernatants were harvested sequentially, beginning at time zero and continuing for 36 hours. Supernatants were added to PBMC

-70-

to assay for SAg protein activity, as described in Example 2.

The results were plotted as the mean proliferation stimulating activity contained in supernatants at each time point and are shown in Figs. 3A and 3B. The results indicate that a steady time-dependent increase in PBMC stimulatory activity was observed in supernatants from CHO cells stably transfected with both PCR<sub>3</sub>-SEB.S and PCR<sub>3</sub>-SEA.S. Thus, transfection of mammalian cells with PCR<sub>3</sub>-SEB.S, PCR<sub>3</sub>-SEA.S results in long term expression of biologically active SAg protein. The data indicates that transfected mammalian cells can serve as a sustained source of SAg protein production.

## Example 5

10

20

25

This example describes that transfection of PCR3-SEA.S

DNA in melanoma cells results in the expression of biologically active SEA.S protein.

Superantigens are capable of stimulating the proliferation of T cells bearing certain  $V\beta$  domains in their T cell receptor (TCR). SEA is known to stimulate T cells having a  $V\beta 3+$  TCR in mice. SEB does not stimulate  $V\beta 3+$  T cells. Therefore, an experiment was performed to assess the ability of SEA.S protein expressed by melanoma cells transfected with PCR<sub>3</sub>-SEA.S DNA to stimulate the proliferation of a T cell clone (AD10) expressing the  $V\beta 3+$ TCR.

B16 melanoma cells were transfected with PCR3-SEA.S DNA, PCR3-SEB.S or PCR3 vector DNA with no insert (mock).

15

20

25

The cells were then irradiated (18,000 Rads) and plated in triplicate in a 96 well plate at a concentration of about 1 x 10<sup>4</sup> per well. About 1 x 10<sup>5</sup> AD10 cells were added to each well. Next, irradiated syngeneic spleen cells were added to each well as a source of antigen presenting cells for the superantigen and T cell interaction. Negative controls included mock transfected cells; positive controls included recombinant SEA (10ng/ml). The cells were incubated for 48 hours. <sup>3</sup>H thymidine was then added to each well and the proliferative response quantitated.

The results were plotted as the mean incorporated thymidine in counts per minute and are shown in Fig. 4. The AD10 cells proliferated strongly in response to SEA.S protein produced by the PCR<sub>3</sub>-SEA.S DNA transfected into the B16 cells, with the proliferative response nearly equal to that of the recombinant protein. Thus, the T cell response generated by transfection of melanoma cells with PCR<sub>3</sub>-SEA.S DNA is specific for the correct TCR. Cells transfected with PCR<sub>3</sub>-SEB.S DNA did not stimulate proliferation of AD10 cells, consistent with the predicted TCR specificity of SEA and SEB.

### Example 6

This example describes the expression of PCR3-GM DNA in CHO cells.

PCR3-GM DNA was produced, isolated and transfected into CHO cells using the methods described in Examples 1 and 2. Expression of GM-CSF protein in the CHO cells was measured by the following method. Supernatants were isolated from

15

20

25

the cultures of the transfected cells and non-transfected CHO cells. The supernatants were added to cultures of monocyte cells (obtained from normal canine PBMC) and the ability of the supernatants to support the growth and survival of monocytes was determined. After 4 days in culture with test or control CHO supernatants, monocyte survival was quantitated by addition of methyltetrazolium dye (MTT) that is reduced in viable cells. Absorbance of light at 570 nm (measured using an ELISA reader) is representative of cell survival.

The results are shown in Fig. 5 and indicate that the supernatants from CHO transfected with  $PCR_3$ -GM DNA stimulated the survival of canine monocytes in culture compared with results obtained using the control supernatants. The level of activity was comparable to that of 1 x  $10^5$  units of canine recombinant GM-CSF. Thus, the GM-CSF protein produced by CHO cells transfected with  $PCR_3$ -GM DNA is biologically active.

### Example 7

This example demonstrates that the vaccination of mice with autologous tumor cells transfected with PCR3-SEA.S DNA or PCR3-SEB.S DNA induce strong cytotoxic T cell (CTL) activity.

The following experiment studies the ability of non-immunogenic murine melanoma cells (B16 melanoma cells, F10 clone) expressing either PCR<sub>3</sub>-SEA.S DNA or PCR<sub>3</sub>-SEB.S to induce CTL responses in mice. B16 cells are known to be non-immunogenic when injected into C57B16/J mice. The

-73-

level of CTL responses that can be induced has been shown to correlate with the ability of the immunized animal to reject tumors.

B16 cells were transfected with either PCR3-SEA.S DNA, PCR,-SEB.S or PCR, DNA lacking insert (mock) using the method described in Example 2. The cells were then irradiated at 12,000 Rads. About 106 irradiated cells were then injected subcutaneously into C57B16/J mice. weeks later, the mice were sacrificed and their spleen mononuclear cells harvested. Mononuclear cells isolated from the spleen cells were then restimulated in vitro with irradiated, non-transfected wild type Bl6 cells for 6 days in media with interleukin-2 (IL-2). The spleen cells were then added in decreasing numbers to about 5 x 103 of 51Crlabeled wild type (non-transfected) B16 cells in a standard chromium release assay for CTL activity. After 4 hours, the supernatants were harvested and the percentage of specific lysis of the target B16 melanoma cells was quantitated.

The results are shown in Figs. 6A and 6E and indicate that injection of animals with irradiated transfected melanoma cells induce greater CTL activity than injection with non-transfected cells. This result is consistent with the non-immunogenic nature of B16 cells. Thus, DNA encoding bacterial SAg proteins expressed in transfected tumor cells are capable of eliciting strong CTL-mediated immunity against the non-transfected parental cell. These results suggest that autologous tumor cells transfected

5

10

15

20

10

15

20

25

with DNA encoding a superantigen constitute an effective tumor vaccine for treatment or prevention of metastatic disease.

# Example 8

This example demonstrates that tumor cells transfected with PCR3-SEB.S DNA are capable of inducing cytotoxic activity in adjacent T cells.

T cells were prepared from a mouse immunized with non-transfected B16 cells using the methods described in Example 7. These isolated cells exhibited minimal CTL activity towards non-transfected B16 target cells. B16 cells were transfected with PCR3-SEB.S using the methods generally described in Example 2. Induction of CTL activity by the transfected B16 target cells was assessed in a standard 4 hour chromium release assay as used in Example 7.

The results are shown in Fig. 7 and indicates that B16 cells transfected with PCR3-SEB.S produced protein that rapidly induced a four-fold increase in CTL activity in T cells that were relatively unresponsive to non-transfected target B16 cells. Thus, the SEB produced in the vicinity of the isolated T cells by the B16 cells is capable of stimulating such T cells. The data indicates that tumor cells transfected in vivo with PCR3-SEB.S can produce biologically active SEB.S that is capable of rapidly activating T lymphocytes in their vicinity and thereby inducing cytotoxic activity against themselves or neighboring tumor cells.

### Example 9

This example describes the treatment of canine melanoma with DNA encoding superantigen or GM-CSF.

# A. Criteria for entry and trial design

Animals selected for entry into the present study were 5 client owned animals with spontaneous oral malignant melanoma, a highly malignant neoplasm of dogs for which there is no alternative effective treatment. Prior to entry, the owners were required to sign informed consent. The study consisted of an initial 12 week trial response 10 phase with 6 injections given once every 2 weeks, followed by long term once monthly maintenance therapy for those animals that responded during the initial 12 week induction Potential toxicity was assessed by (1) body temperature measured daily for 7 days after injection; (2) 15 physical examination of the injection site; (3) owner's assessment of their pet's attitude and appetite; (4) complete blood counts and biochemistry measurements once Treatment responses were assessed by: (1) monthly. physical measurement of tumor dimensions; (2) 20 photography; (3) thoracic radiographs for metastasis evaluation.

# B. Superantigen + GM-CSF Treatment protocol

DNA samples complexed with liposomes were prepared as follows. PCR3-SEB.S and PCR3-GM plasmid DNA prepared from bacterial cultures by the alkaline lysis method, then purified by CsCl banding, were resuspended at a 1.0 mg/ml concentration in sterile PBS. Liposomes were prepared by

mixing equimolar amounts of N-[1-(33-dioleyloxy)propyl]-N,N,N-triethylammonium (DOTMA; obtained from Syntex, Corp., Palo Alto, CA) and dioleoyl phosphatidylethanolamine (DOPE; obtained from Avanti Polar Lipids, Birmingham, AL). The lipids were dried in a desiccator and reconstituted at a concentration of 1.0 mg/ml in sterile phosphate buffered saline (PBS), pH 7.0. The reconstituted lipids were sonicated for 5 minutes to produce liposomes having an average size of about 200 nm to about 400 nm.

Thirty minutes prior to injection into the patients, the PCR<sub>3</sub>-SEB.S and PCR<sub>3</sub>-GM DNA was mixed with the liposomes at a ratio of 1.0  $\mu$ g DNA to 4 nmol liposome, in 1.0 ml sterile PBS. The solution was allowed to complex at room temperature. Two doses of DNA were administered, depending on tumor volume. For tumors less than 3 centimeters (cm) in diameter, 400  $\mu$ g total DNA (200  $\mu$ g each of PCR<sub>3</sub>-SEB.S and PCR<sub>3</sub>-GM DNA) were injected into each tumor. For tumors larger than 3 cm diameter, a total of 800  $\mu$ g DNA (400  $\mu$ g each of PCR<sub>3</sub>-SEB.S and PCR<sub>3</sub>-SEB.S and PCR<sub>3</sub>-GM DNA) were injected into each tumor.

For each treatment, the DNA:liposome mixture was injected into the tumor site with a 3 ml syringe and 25 gauge needle. For larger tumors, most of the injection was delivered into tissues at the periphery of the tumor base. For some smaller tumors, injections were also injected directly into tumor tissue. Lymph node tissue having evidence of tumor metastasis was also injected. Injections were performed once every 2 weeks for the first 12 weeks,

5

10

15

20

-77-

then continued twice monthly for those animals in which an initial treatment response occurred, until complete tumor regression occurred. At that time, the frequency of injections decreased to once monthly. The toxicity of the treatment was evaluated based on the parameters outlined above in section A. The results are shown below in Table 1.

BNSDOCID: <WO\_\_\_\_\_9636366A1\_IA>

Patient Log for SEB.S and PCR,-GM DNA Treatment of Canine Melanoma Table 1.

Patient	Stage	TN	Tumor Size	Start Date	Response	Comments
Zomax	H	TIPNOMO	1.5 cm diam	5/16/94	CR 51 WKS	SEB.S + GM-CSF
Shadow	III	T2bN1bMO	3 cm diam	5/23/94	CR 50 WKB	SEB.S + GM-CSF
NG	ı	TINOMO	1.2 cm diam	9/12/94	CR 34 wks	SEB.S + GM-CSF
Maggie	II	TZaNOMO	2 cm diam	8/24/94	PR 33 WKS	SEB.S + GM-CSF
K.C.	III	T3aNOMO	> 4 cm diam	10/13/94	SD 12 WK	SEB.S + GM-CSF
Belvedere	III	TZN1bMO	4 cm diam	10/13/94	CR 30 WKB	SEB.S + GM-CSF
Nicholas	III	T3bNOMO	> 4 cm diam	2/12/95	SD 12 WKs	SEB.S + GM-CSF
Heidi	III	TONIPMO	LN:2cm diam	2/21/95	PR 10 WKs	SEB.S + GM-CSF
Bear	III	TON1BMO	LN:2.5cm	4/11/95	SD 4 wks	SEB.S + GM-CSF

# Key to terminology in patient data sheets:

WITH METABLE			
argest size,	World Health Organization staging system		partial remission (> 50% decrease in tumor size)
and III the	staging sys	rowth)	lecrease in
SEALLEST	anization	no tumor g	n (> 50% d
ssents the	Health Org	disease (	l remissio
I repr	World	stable	partia
tage:	TNM:	u O	11 22
S)	15 T	Ñ	Ď,

tumor completely regressed

progressive disease, no response to treatment

mast cell tumor MCT 20

= mammary gland adenocarcinoma (malignant breast cancer) = thyroid adenocarcinoma გ Mammary Thyroid SCC

= squamous cell carcinoma

S

10

15

20

25

The results shown in Table 1 indicate that a treatment response was observed in 6 of 9 dogs treated for the 12 week trial period. This included 4 complete remissions (no residual tumor) and 2 partial remissions (greater than 50% reduction in tumor size). Tumors in the remaining two dogs did not regress, but also did not progress in size during the 12 week trial. On average, a tumor response required 6 to 10 weeks to become apparent. The injections did not cause any inflammation or necrosis at injections sites. Toxicity, either local or systemic, was not observed in any of the 10 patents treated in this study. These results provide evidence of the efficacy of direct DNA injection using DNA encoding superantigen (SEB) and cytokine (GM-CSF) for treatment of spontaneous malignant melanoma in an outbred species.

Canine melanoma is a highly malignant, rapidly growing tumor of dogs, and provides a useful model for the study of treatments for human melanoma. Without treatment, the 50% survival time for animals with stage III disease (5 of the patients in this study) is about 3 months and all animals will be dead by 5 months due to pulmonary metastases. The observation of several long term survivors shown in Table 1 (others have not been treated long enough to evaluate) suggests that the combined DNA immunotherapy approach also has a systemic effect on preventing metastatic diseases.

Another major advantage of this approach is the apparent complete absence of toxicity in the dogs. Since dogs respond to SAg protein similar to humans, it is also

10

15

20

25

likely that toxicity in humans would also be minimal. delivery of DNA encoding superantigens into tumor cells by transfection and subsequent local expression is sufficient to induce a strong immune response without inducing tumor approach to genetic this Thus toxicity. conventional over advantages offers immunotherapy chemotherapy and radiation therapy in terms of reducing patient morbidity. In addition, delivering the SAg protein by DNA transfection also avoids the potential toxicity associated with systemic administration.

# C. Single Gene Treatment Protocol

To evaluate the effectiveness of injecting encoding either a superantigen or a cytokine, relative to combined genetic therapy (SAg-encoding DNA and cytokineencoding DNA), 2 groups of dogs were treated with either PCR3-SEB.S DNA alone (3 dogs) or PCR3-GM DNA alone (3 dogs; 2 entered, one evaluatable). Similar criteria for entry and trial design described above in Section A of this example was applied. Although not formally randomized, after the first 10 dogs were treated with the 2 gene combination, the next 3 enrollees were assigned the PCR<sub>3</sub>-SEB.S DNA alone group and the next 3 to the PCR3-GM DNA A similar treatment protocol as described alone group. above in section B was applied in this study. Briefly, the DNA was complexed with liposomes and injected once every 2 weeks for the first 12 weeks, then continued twice monthly for those animals in which an initial treatment response occurred, until complete tumor regression occurred.

-81-

toxicity of the treatment was evaluated based on the parameters outlined above in section A. The results are shown below in Table 2.

BNSDOCID: <WO\_\_\_\_\_9636366A1\_IA>

Patient Log for SEB, S or PCR, -GM DNA alone Treatment of Canine Melanoma Table 2.

Patient	Stage		Tumor Size	Start Date	Response	Comments
Jessie	II	T2bNOMO	2 cm diam	1/11/95	PD 17 wks	SEB.S alone
Mr. T	III	TON1DMO	LN:2cm diam	2/1/95	PD 14 wks	SEB.S alone
Duffy	H	TZANOMO	2 cm diam	2/3/95	PD 12 wks	SEB.S alone
Scooter	н	TZANOMO	2 cm diam	3/24/95	PD 7 wks	GM-CSF alone

The results indicated that a tumor response did not occur in any dog receiving PCR<sub>3</sub>-SEB.S DNA alone and tumors grew progressively. In addition, one dog (Scooter) treated with PCR<sub>3</sub>-GM DNA alone also exhibited progressive growth. These data indicate that treatment with PCR<sub>3</sub>-SEB.S DNA alone or PCR<sub>3</sub>-GM DNA alone does not induce tumor regression. The data indicate that the marked anti-tumor efficacy of direct DNA injection results from the combined expression of PCR<sub>3</sub>-SEB.S DNA and PCR<sub>3</sub>-GM DNA in a tumor and adjacent tissues.

# 10 Example 10

WO 96/36366

5

15

20

25

This example describes the treatment of various tumor types with superantigen or GM-CSF encoding DNA.

The efficacy and lack of toxicity of PCR3-SEB.S DNA and PCR3-GM DNA was determined for the treatment of dogs with malignancies having similar biological and histological characteristics as human cancers. Dogs with five different cancers (advanced mammary carcinoma, mast cell tumor, thyroid carcinoma, non-oral melanoma, and squamous cell carcinoma) were treated in this study. Animals selected for entry into the present study included dogs with spontaneous malignancies that had received alternative treatments (e.g., chemotherapy and/or surgery) and either, had not responded, or had relapsed.

Therapeutic samples were prepared and injected intratumorally with PCR3-SEB.S DNA and PCR3-GM DNA as described above in Example 2. The dogs were treated initially once every 2 weeks for 12 weeks, then continued twice monthly for those animals in which an initial

treatment response occurred. The toxicity of the treatment was evaluated based on the parameters outlined above in Example 9, section A. The results are shown below in Table 3.

Patient Log for SEB.S and PCR3-GM DNA Treatment of Various Carcinomas Table 3.

Patient	Tumor Type	Stage	LI	Tumor Size	Start Date	Response	Comments
Emma	Mammary CA	111	T4N1DNMO	1.8 cm diam	8/11/94	PR 22 wk8	SEB.S + GM-CSF
Baby	Mammary CA	II	Tlanibmo	2.6 cm diam	9/12/94	PR 8 wks	SEB.S + GM-CSF
Christa	MCT	IIIa	NA	>2 cm diam	7/27/94	SD 39 wkg	SEB.S + GM-CSF
Jack	MCT	IIIa	NA	>3 cm dlam	3/28/95	PD 4 wks	SEB.S + GM-CSF
Britt	Thyroid CA	III	T3bNOMO	>7 cm diam	10/14/94	SD 16 wk	SEB.S + GM-CSF
Duncan	Melanoma Toe	NA*	TZN1MO	>4 cm diam	8/11/94	SD 20 wks	SEB.S + GM-CSF
Віллу	Melanoma Toe	NA*	TONIBMO	LN 3.5 cm	1/10/95	CR 17 wks	SEB.S + GM-CSF
Scotche	SCC Tonsil	NA	T3NOMO	4 cm dlam	3/27/95	SD	SEB.S + GM-CSF

Metastases Not Applicable Carcinoma Mast Cell Tumor Squamous Cell Carcinoma

KOT SOCI

15

10

S

5

10

15

20

25

In this study, toxicity was not observed in any of the animals. Tumor responses (partial remission of the primary tumors) were observed in the animals with mammary carcinoma and neither animal developed additional metastatic disease during the course of the study. Treatment of one dog (Billy) with a large, metastatic (lymph node metastases), non-oral melanoma resulted in complete remission of the cancer. Treatment of the other dog (Duncan) with a large, metastatic (lymph node metastases), non-oral melanoma resulted in prolonged stabilization of the disease. The dog with thyroid cancer (Britt) also experienced prolonged stabilization of the disease with once monthly injections. The response rate for the dogs with mast cell tumors was The effectiveness of the treatment on the squamous low. cell carcinoma is in early stages of evaluation. together, the results indicate that PCR3-SEB.S DNA and PCR3-GM DNA can effectively treat multiple tumor types, in addition to the melanomas reported above in Example 9.

# Example 11

This example describes the injection of PCR3-SEA.S DNA into muscle cells which induces potent, long-lasting T cell deletion.

Four groups of mice B10.BR (2-3 mice per group) were prepared as follows. Group (1) consisted of untreated mice (control mice). Group (2) consisted of mice injected intraperitoneally with 100 ng of recombinant SEA (rSEA) protein. Group (3) consisted of mice injected

10

15

20

25

intramuscularly with 100  $\mu$ g of PCR<sub>3</sub>-SEA.S DNA (50  $\mu$ g per leg, total of 100  $\mu$ g/mouse). Group (4) consisted of mice injected intramuscularly with 100  $\mu$ g PCR<sub>3</sub> (no insert; mock) DNA (50  $\mu$ g per leg, total of 100  $\mu$ g/mouse). The DNA samples were prepared by diluting 100  $\mu$ l of a solution containing 100  $\mu$ g of DNA 50:50 (v:v) in sterile PBS prior to injection. The rSEA protein was purified from cultures of E. coli cells transformed with the recombinant molecule PKK223 (obtained from Dr. John Kappler) encoding the truncated SEA.S protein lacking a leader sequence.

Beginning 72 hours after injection, mice were tail bled and PBMC prepared for fluorescence activated cell sorter (FACS) analysis. Cells were double labeled with the monoclonal antibodies FITC conjugated-GK1.5 antibody, biotinylated-KJ25 antibody and biotinylated-F23.1, to analyze for expression of CD4, TCR  $V\beta3$  and TCR  $V\beta8$  expression, respectively. The labelled calls were analyzed on an EPICS-C flow cytometer.

The percentage of cells isolated from the experimental mice expressing CD4 that also expressed either  $V\beta8$  or  $V\beta3$  was calculated and compared to percentages expressed by cells isolated from control mice. The mean percentage of CD4+ and  $V\beta3+$  T cells in PBMC was plotted against time after injection. The results are shown in Fig. 8 and indicate that the percentage of CD4+,  $V\beta3+$  T cells declined rapidly in PBMC of mice that received intramuscular injections with PCR<sub>x</sub>-SEA.S DNA, but not in mice mock

injected with mock DNA. The percentages of  $V\beta 8+$  cells was This result is predicted since SEA protein not affected. The decline of the does not bind mouse  $V\beta 8+$  T cells. percentage of CD4+,  $V\beta$ 3+ T cells occurred as rapidly as in mice injected with the recombinant SEA protein (rSEA). The deletion, however, observed over the next 2 months in mice injected with PCR3-SEA.S DNA was longer lasting and was more pronounced than the deletion induced by injection of SEA.S protein. In addition, injection of as little as 2  $\mu$ g PCR<sub>z</sub>-SEA.S DNA also induced deletion of  $V\beta$ 3+ T cells. intramuscular injection of DNA encoding superantigens represents a potent and non-toxic approach to the deletion or suppression of potentially harmful (e.g., autoreactive T cells) T cells.

# 15 Example 12

10

20

25

This example describes the production of immunogen and chemokine encoding recombinant molecules.

Recombinant molecules encoding ovalbumin (OVA) were produced by ligating cDNA encoding OVA into the eukaryotic expression vector  $PCR_3$  and is referred to herein as  $PCR_3$ -OVA. cDNA encoding murine RANTES, murine macrophage inflammatory protein-1 alpha (MIP-1 $\alpha$ ), and macrophage inflammatory protein-1 beta (MIP-1 $\beta$ ) was prepared from RNA isolated from LPS-stimulated normal murine bone marrow macrophages using methods standard in the art. The cDNA were ligated into the expression vector  $PCR_3$ , and are referred to herein as  $PCR_3$ -RANTES,  $PCR_3$ -MIP-1 $\alpha$  and  $PCR_3$ -MIP-1 $\alpha$ 

-89-

1 $\beta$ . All plasmid DNA were purified by cesium chloride gradient centrifugation and resuspended at 1.0 mg/ml in sterile PBS.

## Example 13

5

10

15

20

25

This example demonstrates that the co-administration of adjuvant DNA and immunogen DNA stimulates antibody production against the immunogen protein.

Separate groups of 4 CB6 F1 mice per group were injected twice with the following mixtures of DNA: (1) about 100 μg PCR<sub>3</sub>-OVA + about 100 μg PCR<sub>3</sub>-MIP-1β; (2) about 100 μg PCR<sub>3</sub>-OVA + about 50 μg PCR<sub>3</sub>-SEB (described in Example 1) + PCR<sub>3</sub>-GM-CSF (described in Example 1); (3) about 100 μg PCR<sub>3</sub>-OVA + about 100 μg PCR<sub>3</sub>-RANTES; (4) about 100 μg PCR<sub>3</sub>-OVA + about 100 μg PCR<sub>3</sub>-SEB; (5) about 100 μg PCR<sub>3</sub>-OVA + about 100 μg PCR<sub>3</sub>-GM-CSF; or (6) about 100 μg PCR<sub>3</sub>-OVA alone. Control samples were also prepared which included 6 non-injected, syngeneic mice. The DNA was diluted to a final concentration of 0.5 mg/ml in sterile phosphate buffered saline (PBS) prior to injection. The mice were injected intramuscularly, bilaterally in their quadriceps muscles (about 100 μg of DNA per quadricep).

About 20 days after the immunization of step B, serum was collected from each mouse and assayed for antibodies that specifically bind to OVA protein using an OVA-specific enzyme linked immunoassay (ELISA) assay using methods standard in the art. Briefly, OVA protein was bound to an ELISA plate. The plates were washed and then incubated in

5

10

15

the presence of serum. Again the plates were washed and then incubated in the presence of HRP-conjugated anti-mouse IgG antibody. The amount of antibody bound to the OVA was detected on an ELISA reader and are expressed in absorbance units.

The results of the ELISA are shown in Fig. 9 and indicate that co-injection of DNA encoding OVA, with either DNA encoding RANTES or MIP-1 $\beta$ , or SEB and GM-CSF, increases the antibody response to OVA over that observed with OVA alone, OVA plus GM-CSF, OVA plus SEB alone or control samples. Thus, the expression of RANTES, MIP-1 $\beta$ , or SEB and GM-CSF increase the antibody response to OVA when administered as a DNA vaccine.

#### Example 14

This example demonstrates that the co-administration of DNA adjuvant and immunogen DNA results in the production of interferon gamma.

Separate groups of 4 CB6 F1 mice per group were injected twice, intramuscularly (on day 1 and day 21), with the following mixtures of DNA: (1) about 100 μg PCR<sub>3</sub>-OVA + about 100 μg PCR<sub>3</sub>-MIP-1β; (2) about 100 μg PCR<sub>3</sub>-OVA + about 50 μg PCR<sub>3</sub>-SEB + PCR<sub>3</sub>-GM-CSF; (3) about 100 μg PCR<sub>3</sub>-OVA + about 100 μg PCR<sub>3</sub>-SEB; (4) about 100 μg PCR<sub>3</sub>-OVA + about 100 μg PCR<sub>3</sub>-SEB; (5) about 100 μg PCR<sub>3</sub>-OVA + about 100 μg PCR<sub>3</sub>-GM-CSF; or (6) about 100 μg PCR<sub>3</sub>-OVA alone. Control samples were also prepared as above.

-91-

The mice were sacrificed on day 27. Spleen cells were harvested from each mouse and re-stimulated in vitro irradiated OVA-transfected cells (EG7-OVA) quadruplicate wells. On day 4 of the re-stimulation with irradiated EG7-OVA cells, supernatants were harvested from the cultures and assayed for interferon gamma activity using an interferon gamma-specific ELISA assay. were expressed as units/ml of interferon activity, as determined by comparison with a standard curve generated with recombinant murine interferon-gamma.

The results are shown in Fig. 10 and indicate that RANTES or GM-CSF were effective compounds for inducing interferon-gamma production. Although less, SEB and MIP-1 $\beta$ also induced interferon-gamma production. Additional experiments indicated that none of the adjuvants evaluated in this experiment induced significant quantities of IL-4 Together, these data indicate that the immune response induced by an adjuvant of the present invention is primarily a Th1 response, which induces primarily cellmediated immunity, including macrophage activation, enhanced T cell CTL activity, and increased MHC expression.

### Example 15

10

15

20

25

This example demonstrates that the co-administration adjuvant DNA and immunogen DNA of induce T proliferative responses to the immunogen.

Separate group of 4 CB6 F1 mice per group were immunized using the protocol described in Example 14.

animals were sacrificed on day 27 and harvested spleen cells re-stimulated using the method described in Example 14. After about 4 days of re-stimulation, 100  $\mu$ l aliquots of the cells were harvested from each well and pulsed for 18 hours with  $^3$ H-thymidine. Thymidine incorporation was then quantitated (cpm) as a measure of the proliferative response to OVA expressed by the transfected EG7-OVA cell line.

The results are shown in Fig. 11 and indicate that

10 MIP-1\$\beta\$, RANTES, SEB + GM-CSF, and SEB alone, when coadministered together with OVA DNA, induce a substantial
increase in the proliferative response to OVA. Thus, these
data provide evidence that DNA encoding chemokines and SAgs
are useful for enhancing cell-mediated immune responses and
therefore are useful as DNA vaccine adjuvants.

#### Example 16

This example demonstrates that the co-administration of adjuvant DNA increases CTL responses to the immunogen ovalbumin.

Mice were immunized using the protocol described in Example 14. Spleen cells were harvested from the immunized mice 7 days after the last vaccination. The cells were then re-stimulated in vitro for 6 days with irradiated EG7-OVA cells. T cells were then harvested from the restimulated population and added in decreasing numbers to 51Cr-labeled EG7-OVA or EL-4 target cells in a standard 4 hour chromium release assay for CTL activity. The percent

20

-93-

cell lysis was determined Chromium release was then quantitated (cpm) as a measure of the percent specific cell lysis of labeled target cells. The higher the % specific lysis, the more CTL activity exhibited by the T cells.

The results are shown in Fig. 12 and indicate that all of the adjuvant DNAs evaluated induced increased CTL activity compared to OVA alone. The use of RANTES, GM-CSF and SEB alone, each were effective in inducing CTL activity. These data indicate that co-administration of chemokine DNA can enhance CTL-mediated immunity to an intracellular immunogen, as typified by OVA expressed in a transfected cell line, indicating that this approach is useful for vaccines against intracellular pathogens.

Taken together, the results of Examples 12-16 indicate that all DNA adjuvants tested (GM-CSF, SEB, SEB+GM-CSF, RANTES and MIP-1β) improved cell mediated immunity against the immunogen ovalbumin. In particular, the use of either SEB or GM-CSF alone, as well as the combination of SEB + GM-CSF were effective at inducing cell mediated immunity.

5

10

-94-

#### SEQUENCE LISTING

The following Sequence Listing is submitted pursuant to 37 CFR §1.821. A copy in computer readable form is also submitted herewith.

Applicants assert pursuant to 37 CFR §1.821(f) that the content of the paper and computer readable copies of SEQ ID NO:1 through SEQ ID NO:13 submitted herewith are the same.

#### (1) GENERAL INFORMATION:

- 10 (i) APPLICANT: Dow, Steve W.
  Elmslie, Robyn B.
  Potter, Terence A.
  - (ii) TITLE OF INVENTION: GENE THERAPY FOR EFFECTOR CELL REGULATION
- 15 (iii) NUMBER OF SEQUENCES: 13
  - (iv) CORRESPONDENCE ADDRESS:
    - (A) ADDRESSEE: Sheridan Ross & McIntosh
    - (B) STREET: 1700 Lincoln Street, Suite 3500
- 20 (C) CITY: Denver
  - (D) STATE: Colorado
  - (E) COUNTRY: U.S.A.
  - (F) ZIP: 80203
  - (v) COMPUTER READABLE FORM:
    - (A) MEDIUM TYPE: Floppy disk
    - (B) COMPUTER: IBM PC compatible
    - (C) OPERATING SYSTEM: PC-DOS/MS-DOS
    - (D) SOFTWARE: PatentIn Release #1.0, Version #1.25
    - (vi) CURRENT APPLICATION DATA:
    - (A) APPLICATION NUMBER:
      - (B) FILING DATE:
      - (C) CLASSIFICATION:
    - (viii) ATTORNEY/AGENT INFORMATION:
      - (A) NAME: Connell, Gary J.
      - (B) REGISTRATION NUMBER: 32,020
        - (C) REFERENCE/DOCKET NUMBER: 2879-29-C1-PCT
      - (ix) TELECOMMUNICATION INFORMATION:
        - (A) TELEPHONE: (303) 863-9700
        - (B) TELEFAX: (303) 863-0223

25

30

-95-

	(2) INFORMATION FOR SEQ ID NO:1:	
5	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 773 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: single  (D) TOPOLOGY: linear	
	(ii) MOLECULE TYPE: protein	
	(ix) FEATURE: (A) NAME/KEY: CDS	
10	(B) LOCATION: 1765	
	(xi) SEQUENCE DESCRIPTION: SEQ ID NO:1:	
	ATG ACC ATG ATT ACG AAT TTA ATA CGA CTC ACT ATA GGG AAT TCC ATG Met Thr Met Ile Thr Asn Leu Ile Arg Leu Thr Ile Gly Asn Ser Met 1 5 10 15	48
15	GAG AGT CAA CCA GAT CCT AAA CCA GAT GAG TTG CAC AAA TCG AGT AAA Glu Ser Gln Pro Asp Pro Lys Pro Asp Glu Leu His Lys Ser Ser Lys 20 25 30	96
20	TTC ACT GGT TTG ATG GAA AAT ATG AAA GTT TTG TAT GAT G	144
	GTA TCA GCA ATA AAC GTT AAA TCT ATA GAT CAA TTT CTA TAC TTT GAC Val Ser Ala Ile Asn Val Lys Ser Ile Asp Gln Phe Leu Tyr Phe Asp 50 55 60	192
25	TTA ATA TAT TCT ATT AAG GAC ACT AAG TTA GGG AAT TAT GAT AAT GTT Leu Ile Tyr Ser Ile Lys Asp Thr Lys Leu Gly Asn Tyr Asp Asn Val 65 70 75 80	240
	CGA GTC GAA TTT AAA AAC AAA GAT TTA GCT GAT AAA TAC AAA GAT AAA Arg Val Glu Phe Lys Asn Lys Asp Leu Ala Asp Lys Tyr Lys Asp Lys 85 90 95	288
30	TAC GTA GAT GTG TTT GGA GCT AAT TAT TAT TAT CAA TGT TAT TTT TCT Tyr Val Asp Val Phe Gly Ala Asn Tyr Tyr Tyr Gln Cys Tyr Phe Ser 100 105 110	336
35	AAA AAA ACG AAT GAT ATT AAT TCG CAT CAA ACT GAC AAA CGA AAA ACT Lys Lys Thr Asn Asp Ile Asn Ser His Gln Thr Asp Lys Arg Lys Thr 115 120 125	384
	TGT ATG TAT GGT GGT GTA ACT GAG CAT AAT GGA AAC CAA TTA GAT AAA Cys Met Tyr Gly Gly Val Thr Glu His Asn Gly Asn Gln Leu Asp Lys 130 135 140	432
10	TAT AGA AGT ATT ACT GTT CGG GTA TTT GAA GAT GGT AAA AAT TTA TTA Tyr Arg Ser Ile Thr Val Arg Val Phe Glu Asp Gly Lys Asn Leu Leu 145 150 155 160	480
	TCT TTT GAC GTA CAA ACT AAT AAG AAA AAG GTG ACT GCT CAA GAA TTA Ser Phe Asp Val Gln Thr Asn Lys Lys Lys Val Thr Ala Gln Glu Leu 165 170 175	528
5	GAT TAC CTA ACT CGT CAC TAT TTG GTG AAA AAT AAA AAA CTC TAT GAA Asp Tyr Leu Thr Arg His Tyr Leu Val Lys Asn Lys Lys Leu Tyr Glu	576

624

672

720

765

													Phe 205			
5													GGA ( Gly			
													AAA I			
10													AAG : Lys			
	TGA	AGCT:	r													
	(2)	INF	ORMA!	rion	FOR	SEQ	ID 1	NO: 2	:							
15			(i) :	(A)	LEI	NGTH PE: a	: 25	BRIST 5 am: 0 ac: line	ino a id	: acid	В					
		(	ii) 1	MOLE	CULE	TYPI	E: p	rote	Ĺn							
20		(;	ki) S	SEQUI	ence	DES	CRIP:	rion:	: SE	O ID	NO:	2:				
	Met 1	Thr	Met	Ile	Thr 5	Asn	Leu	Ile	Arg	Leu 10	Thr	Ile	Gly	Asn	Ser 15	Met
	Glu	Ser	Gln	Pro 20	Asp	Pro	Lys	Pro	Asp 25	Glu	Leu	His	Lys	Ser 30	Ser	Lys
25	Phe	Thr	Gly 35	Leu	Met	Glu	Asn	Met 40	Lys	Val	Leu	Tyr	<b>Asp</b> 45	Asp	Asn	His
	Val	Ser 50	Ala	Ile	Asn	Val	Lys 55	Ser	Ile	Asp	Gln	Phe 60	Leu	Tyr	Phe	Asp
30	Leu 65	Ile	Tyr	Ser	Ile	Lys 70	Asp	Thr	Lys	Leu	Gly 75	Asn	Tyr	yab	Asn	Val 80
	Arg	Val	Glu	Phe	Lys 85	Asn	Lys	Asp	Leu	Ala 90	Авр	Lys	Tyr	Lys	<b>Д</b> вр 95	Lys
	Tyr	Val	Asp	Val 100	Phe	Gly	Ala	Asn	Tyr 105	Tyr	Tyr	Gln	Сув	Tyr 110	Phe	Ser
35	Lys	Lys	Thr 115	Asn	Asp	Ile	Asn	Ser 120	His	Gln	Thr	Asp	Lys 125	Arg	Lys	Thr
	Cys	Met 130	Tyr	Gly	Gly	Val	Thr 135	Glu	His	Asn	Gly	Asn 140	Gln	Leu	Asp	Lys
40	Tyr 145	Arg	Ser	Ile	Thr	Val 150	Arg	Val	Phe	Glu	<b>Asp</b> 155	Gly	Lys	Asn	Leu	Leu 160
	Ser	Phe	Asp	Val	Gln 165	Thr	Asn	Lys	Lys	Lys 170	Val	Thr	Ala	Gln	Glu 175	Leu
	Asp	Tyr	Leu	Thr 180	Arg	His	Tyr	Leu	Val 185	Lys	Asn	Lys	Lys	Leu 190	Tyr	Glu

	Phe	Asn	Asn 195	Ser	Pro	Tyr	Glu	Thr 200	Gly	Tyr	Ile	Lys	Phe 205	Ile	Glu	Asn	
	Glu	Asn 210	Ser	Phe	Trp	Tyr	Авр 215	Met	Met	Pro	Ala	Pro 220	Gly	Asp	Lys	Phe	
5	Asp 225	Gln	Ser	Lys	Tyr	Leu 230	Met	Met	Tyr	Asn	Asp 235	Asn	Lys	Met	Val	Asp 240	
	Ser	Lув	Авр	Val	Lys 245	Ile	Glu	Val	Tyr	Leu 250	Thr	Thr	Lys	Lys	Lys 255		
	(2)	INFO	ORMA!	TION	FOR	SEQ	ID 1	10:3	:								
10		(i)	(1 (1	A) LI B) T: C) S:	ce ci engti ype: trani opolo	nucl	51 ba leic 3SS:	acio sing	pair: 1	8							
15		•			LE TY	PE:	prot	ein									
		(ix)	(1		e: ame/i ocati			.744									
		(xi	SE(	QUENC	CE DE	ESCR	[PTIC	ON: 5	SEQ :	ID NO	0:3:						
20	ATG	ACCA!	rga 1	PTACC	EAATI	AA TI	TACG	ACTO	: ACI	PATAG	GGA	ATTC			G AAI Lu Ly		54
25	AGC Ser	GAA Glu 5	GAA Glu	ATA Ile	AAT Asn	GAG Glu	AAA Lys 10	GAT (	CTG :	CGC 1 Arg	AAG I Lys	Lys 15	rcc G Ser	AA T Glu	TG C Leu	AG Gln	102
	GGA Gly 20	ACA Thr	GCC Ala	CTA Leu	GGC Gly	AAT Asn 25	CTT . Leu	AAA Lys	CAA . Gln	ATC T	Tyr 30	TYT	TYT	AT G Asn	AA A Glu	AA Lys 35	150
30	GCG Ala	AAG Lys	ACT Thr	GAG Glu	AAT Asn 40	AAA Lys	GAG . Glu	AGT Ser	CAC His	GAT ( Asp 45	CAA 1 Gln	TTT C Phe	TG C Leu	AG C Gln	AT A His 50	CT Thr	198
	ATA Ile	TTG Leu	TTT Phe	AAA Lys 55	GGC Gly	TTT Phe	TTT : Phe	ACT (	GAT Asp 60	CAT T His	rcg 1 Ser	rgg I Trp	AT A Tyr	AC G Asn 65	AT T Asp	TA Leu	246
35	CTA Leu	GTA Val	GAT Asp 70	TTT Phe	GAT Asp	TCG . Ser	AAG Lys	GAC ASP	ATC (	GTT ( Val	ASP	AAA I Lys	AT A Tyr 80	AA G Lys	GG A Gly	AG Lys	294
40	AAG Lys	GTC Val 85	GAC Asp	TTG Leu	TAT Tyr	GGT ( Gly	GCT S Ala 90	TAT : Tyr	TAT ( Tyr	GGG 1 Gly	Tyr	CAA T Gln 95	CYB	CT G Ala	GT G Gly	GT Gly	342
	ACA Thr 100	CCA Pro	AAC Asn	AAA Lys	ACA Thr	GCA Ala 105	TGC I	ATG : Met	TAT ( Tyr	GIY	GG G Gly 110	TA A Val	CC T Thr	TA C Leu	AT G Hib	AC Asp 115	390
15	AAT Asn	AAT Asn	CGA Arg	TTG Leu	ACC of Thr	GAA ( Glu	GAG A	AAA I Lys	AAG ( Lys	GTC C Val 125	CCG A Pro	TC A	AT T Asn	TA T Leu	GG C Trp 130	TA Leu	438

	GAC Asp	GGT Gly	AAA Lys	CAA Gln 135	AAT Asn	ACA Thr	GTA Val	CCT ( Pro	CTA Leu 140	GAA A Glu	ACG G Thr	TT A Val	AA A Lys	CG A Thr 145	AT A Asn	AG Lys	486
5	AAA Lys	AAT Asn	GTA Val 150	ACT (	GTC Val	CAA Gln	GAG Glu	CTG ( Leu 155	GAT Asp	CTT ( Leu	CAA G Gln	CG C Ala	GC C Arg 160	GA T Arg	AC C Tyr	TA Leu	534
	CAG Gln	GAA Glu 165	AAA Lys	TAT . Tyr	AAT Asn	TTG Leu	TAC Tyr 170	AAC : Asn	TCT Ser	GAC (	GTC T Val	Phe 175	AT G Asp	GG A	AG G Lyb	TT Val	582
10	CAG Gln 180	<b>A</b> GA Arg	GGC Gly	CTA . Leu	ATC Ile	GTG Val 185	TTT Phe	CAT His	ACT Thr	TCT I	Thr 190	Glu	CT 1 Pro	CG G Ser	TT A Val	AC Asn 195	630
15	TAC Tyr	GAT Asp	TTA Leu	TTT Phe	GGA Gly 200	GCT Ala	CAA Gln	GGA (	CAG Gln	TAT 1 Tyr 205	TCA <i>I</i> Ser	AT A Asn	CA C	TC T Leu	TA A Leu 210	GA Arg	678
	ATA Ile	TAT Tyr	CGC Arg	GAC Asp 215	AAC Asn	AAG Lys	ACG Thr	ATT :	AAC Asn 220	Ser	GAA 1 Glu	AAC A Asn	Met	His 225	TT G Ile	AT Asp	726
20		TAT Tyr					TAAC	CTT									751
	(2)							NO: 4:									
25		•	(1) 2	(A)	LE	ngth Pe:	: 23 amin	ERIS: 3 am: 0 ac: line	ino id	acid	8						
	•	•						rote:		0 TD	NO.	4 •					
		•	•					TION					<b>3</b>	T.v.	T.va	Ser	
30	Met 1	Glu	Lys	Ser	G1u 5		ITE	Asn	GIU	10		Leu	ALG	Dys	15	361	
	Glu	Leu	Gln	Gly 20	Thr	Ala	Leu	Gly	Asn 25	Leu	Lys	Gln	Ile	Tyr 30	Tyr	Tyr	
	Asn	Glu	Lys 35	Ala	Lys	Thr	Glu	Asn 40	Lys	Glu	Ser	His	Asp 45	Gln	Phe	Leu	
35	Gln	His 50	Thr	Ile	Leu	Phe	Lys 55	Gly	Phe	Phe	Thr	<b>Asp</b>	His	Ser	Trp	Tyr	
	<b>As</b> n 65	Авр	Leu	Leu	Val	Asp 70		Asp	Ser	Lys	Asp 75	Ile	Val	Asp	Lys	Tyr 80	
40	Lys	Gly	Lys	Lys	Val 85		Leu	Tyr	Gly	Ala 90		Tyr	Gly	Tyr	Gln 95	Сув	
	Ala	Gly	Gly	Thr 100	Pro	Asn	Lys	Thr	Ala 105		Met	Tyr	Gly	Gly 110	Val	Thr	
	Leu	His			Asn	Arg	Leu	Thr 120	Glu	Glu	Lys	Lys	Val 125	Pro	Ile	Asn	
			115					120									

	Thr 145		Lys	Lys	Asn	Val 150		Val	Gln	Glu	Leu 155	Asp	Leu	Gln	Ala	Arg 160	
	Arg	Tyr	Leu	Gln	Glu 165	-	Tyr	Asn	Leu	Tyr 170	Asn	Ser	Asp	Val	Phe 175	Asp	
5	Gly	Lys	Val	Gln 180		Gly	Leu	Ile	Val 185	Phe	His	Thr	Ser	Thr 190	Glu	Pro	
	Ser	Val	Asn 195	Tyr	Asp	Leu	Phe	Gly 200	Ala	Gln	Gly	Gln	Tyr 205	Ser	Asn	Thr	
10	Leu	Leu 210	Arg	Ile	Tyr	Arg	Asp 215		Lys	Thr	Ile	Asn 220	Ser	Glu	Asn	Met	
	His 225	Ile	Asp	Ile	Tyr	Leu 230	Tyr	Thr	Ser								
	(2)	INF	ORMA!	rion	FOR	SEQ	ID	NO: 5	:								
15	·	(±)	(1	A) L: B) T: C) S:	engti Ype : Trani	H: 50	32 b leic ESS:	ISTICASE   acic since ear	pair: i	<b>8</b> .							
		(ii)	MOI	LECU	LE T	YPE:	pro	tein									
20		(ix)		A) N	AME/I	KEY: ION:											
		(xi)	SEÇ	QUEN	CE DI	ESCR	[PTI	on: S	SEQ :	ID NO	):5:				٠.		•
25										CTA ( Leu 10							48
										TTA C Leu							96
30										ATC A Ile							144
35										AAA G Lys							192
										CAT A His							240
10										AAT A Asn 90							288
										STT C Val			Lys i				336
5										Lys (		Leu i					384

-100-

	TTA Leu	GAC Asp 130	TTT Phe	GAA Glu	ATT Ile	CGT Arg	CAT His 135	CAG Gln	CTA Leu	ACT (	CAA i	Ile 140	CAT (	GA T	TA I Leu	'AT Tyr	432
5		TCA Ser															480
		TCC Ser															528
10		AAA Lys															576
		AAT Asn															582
15		INFO	ORMA!	NOI	FOR	SEO	ID I	NO: 6	•								
	(-,					_	RACTI			:							
20			,	(A)	LEI	NGTH:	: 194 amino GY: 3	am:	ino id		8						
		(:	Li) 1	OLE	CULE	TYP	E: p	rote	in								
		(2	ci) S	SEQUI	ENCE	DES	CRIP:	rion:	: SE	Q ID	NO:	6:					
	Met 1	Thr	Asn	Asp	Asn 5	Ile	Lys	Asp	Leu	Leu 10		Trp	Tyr	Ser	Ser 15	Gly	
25	Ser	Asp	Thr	Phe 20	Thr	Asn	Ser	Glu	Val 25	Leu	yab	Asn	Ser	Leu 30	Gly	Ser	
	Met	Arg	Ile 35	Lys	Asn	Thr	Asp	Gly 40	Ser	Ile	Ser	Leu	11e 45	Ile	Phe	Pro	
30	Ser	Pro 50	Tyr	Tyr	Ser	Pro	Ala 55	Phe	Thr	Lys	Gly	Glu 60	Lys	Val	Asp	Leu	
	Asn 65	Thr	Lys	Arg	Thr	<b>Lys</b> 70	Lys	Ser	Gln	His	Thr 75	Ser	Glu	Gly	Thr	Tyr 80	
	Ile	His	Phe	Gln	Ile 85	Ser	Gly	Val	Thr	Asn 90		Glu	Lys	Leu	Pro 95	Thr	
35	Pro	Ile	Glu	Leu 100	Pro	Leu	Lys	Val	Lys 105	Val	His	Gly	Lys	<b>Asp</b> 110	Ser	Pro	
	Leu	Lys	Tyr 115	Trp	Pro	Lys	Phe	Asp 120	Lys	Lys	Gln	Leu	Ala 125	Ile	Ser	Thr	
40	Leu	Asp 130	Phe	Glu	Ile	Arg	His 135	Gln	Leu	Thr	Gln	Ile 140	His	Gly	Leu	Tyr	
	145	Ser	٠			150					155					160	
	Gly	Ser	Thr	Tyr	Gln 165	Ser	Asp	Leu	Ser	Lys 170		Phe	Glu	Tyr	<b>As</b> n 175	Thr	
45	Glu	Lys	Pro	Pro 180	Ile	Asn	Ile	ysb	Glu 185		Lys	Thr	Ile	Glu 190	Ala	Glu	
	Tle	Agn															

	(2) INFORMATION FOR SEQ ID NO:7:	
5	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 25 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: single  (D) TOPOLOGY: linear	
	(ii) MOLECULE TYPE: DNA (genomic)	
10	<pre>(ix) FEATURE:     (A) NAME/KEY: misc feature     (B) LOCATION: 125     (D) OTHER INFORMATION: /label= primer</pre>	
	(xi) SEQUENCE DESCRIPTION: SEQ ID NO:7:	
	GGGAATTCCA TGGAGAGTCA ACCAG	25
15	(2) INFORMATION FOR SEQ ID NO:8:	
20	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 23 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: single  (D) TOPOLOGY: linear	
	(ii) MOLECULE TYPE: DNA (genomic)	
25	<pre>(ix) FEATURE:    (A) NAME/KEY: misc_feature    (B) LOCATION: 123    (D) OTHER INFORMATION: /label= primer</pre>	
	(xi) SEQUENCE DESCRIPTION: SEQ ID NO:8:	
	GCGGATCCTC ACTITITCTT TGT	23
	(2) INFORMATION FOR SEQ ID NO:9:	
30	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 22 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: single  (D) TOPOLOGY: linear	
	(ii) MOLECULE TYPE: DNA (genomic)	
35	<pre>(ix) FEATURE:     (A) NAME/KEY: misc feature     (B) LOCATION: 122     (D) OTHER INFORMATION: /label= primer</pre>	
	(xi) SEQUENCE DESCRIPTION: SEQ ID NO:9:	
40	CCCAATTCCA TCCAGAAAG CC	22

	(2) INFORMATION FOR SEQ ID NO:10:	
5	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 25 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: single  (D) TOPOLOGY: linear	
	(ii) MOLECULE TYPE: DNA (genomic)	
10	<pre>(ix) FEATURE:     (A) NAME/KEY: misc_feature     (B) LOCATION: 125     (D) OTHER INFORMATION: /label= primer</pre>	
	(xi) SEQUENCE DESCRIPTION: SEQ ID NO:10:	
	GCAAGCTTAA CTTGTATATA ARTAG	25
	(2) INFORMATION FOR SEQ ID NO:11:	
15	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 51 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: single  (D) TOPOLOGY: linear	
20	(ii) MOLECULE TYPE: DNA (genomic)	
	<pre>(ix) FEATURE:     (A) NAME/KEY: misc_feature     (B) LOCATION: 151</pre>	
	(xi) SEQUENCE DESCRIPTION: SEQ ID NO:11:	
25	CGGGGTACCC CGAAGGAGGA AAAAAAATG TCTACAAACG ATAATATAAA G	51
	(2) INFORMATION FOR SEQ ID NO:12:	
30	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 42 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: single  (D) TOPOLOGY: linear	
	(ii) MOLECULE TYPE: DNA (genomic)	
35	<pre>(ix) FEATURE:     (A) NAME/KEY: misc feature     (B) LOCATION: 142     (D) OTHER INFORMATION: /label= primer</pre>	
	(xi) SEQUENCE DESCRIPTION: SEQ ID NO:12:	
	TGCTCTAGAG CATTAATTAA TTTCTGCTTC TATAGTTTTT AT	42

5

121	INFORMATION	FOR	SEO	ID	NO:13
141	INFORMATION	FUR	JUZ		110.20

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 279 base pairs

  - (B) TYPE: nucleic acid (C) STRANDEDNESS: single
  - (D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: DNA (genomic)
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:13:

ACCATGAAGA TCTCTGCAGC TGCCCTCACC ATCATCCTCA CTGCAGCCGC CCTCTGGGCG 60 120 CCCGCGCCTG CCTCACCATA TGGCTCGGAC ACCACTCCCT GCTGCTTTGC CTACCTCTCC 10 CTCGCGCTGC CTCGTGCCCA CGTCAAGGAG TATTTCTACA CCAGCAGCAA GTGCTCCAAT 180 CTTGCAGTCG TGTTTGTCAC TCGAAGGAAC CGCCAAGTGT GTGCCAACCC AGAGAAGAAG 240 279 TGGGTTCAAG AATACATCAA CTATTTGGAG ATGAGCTAG

While various embodiments of the present invention 15 have been described in detail, it is apparent that modifications and adaptations of those embodiments will occur to those skilled in the art. It is to be expressly that such modifications and. understood, however, adaptations are within the scope of the present invention, as set forth in the following claims: 20

## What is claimed:

- 1. A therapeutic composition comprising: (a) an isolated nucleic acid molecule encoding a superantigen; and (b) an isolated nucleic acid molecule selected from the group consisting of a nucleic acid molecule encoding a cytokine, a nucleic acid molecule encoding a chemokine and mixtures thereof, wherein said isolated nucleic acid molecules are operatively linked to one or more transcription control sequences.
- 2. The therapeutic composition of Claim 1, wherein said superantigen is selected from the group consisting of staphylococcal enterotoxins, retroviral antigens, streptococcal antigens, mycoplasma antigens, mycobacteria antigens, viral antigens and protozoan antigens.
- 3. The therapeutic composition of Claim 1, wherein said superantigen comprises staphylococcal enterotoxins.
  - 4. The therapeutic composition of Claim 1, wherein said superantigen is selected from the group consisting of SEA, SEB, SEC<sub>1</sub>, SEC<sub>2</sub>, SEC<sub>3</sub>, SED, SEE and TSST.
- 5. The therapeutic composition of Claim 1, wherein said superantigen is derived from a virus selected from the group consisting of mouse mammary tumor virus, rabies virus and herpes virus.
- 6. The therapeutic composition of Claim 1, wherein said cytokine is selected from the group consisting of hematopoietic growth factors, interleukins, interferons,

immunoglobulin superfamily molecules, tumor necrosis factor family molecules and chemokines.

- 7. The therapeutic composition of Claim 1, wherein said cytokine is selected from the group consisting of granulocyte macrophage colony stimulating factor, macrophage colony stimulating factor, tumor necrosis factor α, interleukin-1, interleukin-2, interleukin-4, interleukin-6, interleukin-12, and interleukin-15.
- 8. The therapeutic composition of Claim 1, wherein said cytokine is selected from the group consisting of granulocyte macrophage colony stimulating factor and tumor necrosis factor  $\alpha$ .
- 9. The therapeutic composition of Claim 1, wherein said cytokine comprises granulocyte macrophage colony 15 stimulating factor.
  - 10. The therapeutic composition of Claim 1, wherein said chemokine is selected from the group consisting of C5a, IL-8, MIP1α, MIP1β, MCP-1, MCP-3, PAFR, FMLPR, LTB<sub>4</sub>R, GRP, RANTES, eotaxin, lymphotactin, IP10, I-309, ENA78, GCP-2, NAP-2 and MGSA/gro.
  - 11. The therapeutic composition of Claim 1, wherein said chemokine is selected from the group consisting of IL-8, MIP1 $\alpha$ , MIP1 $\beta$ , MCP-1, MCP-3, RANTES and NAP-2.
- 12. The therapeutic composition of Claim 1, wherein
  25 said chemokine is selected from the group consisting of IL8, Rantes, MIP1α and MIP1β.

5

- 13. The therapeutic composition of Claim 1, wherein said isolated nucleic acid molecules are operatively linked to one or more transcription control sequences capable of being expressed in a mammalian cell.
- 14. The therapeutic composition of Claim 1, wherein said transcription control sequences are selected from the group consisting of RSV control sequences, CMV control sequences, retroviral LTR sequences, SV-40 control sequences and  $\beta$ -actin control sequences.
- 15. The therapeutic composition of Claim 1, wherein said isolated nucleic acid molecule encoding a superantigen comprises a recombinant molecule selected from the group consisting of PCR<sub>3</sub>-SEB, PCR<sub>3</sub>-SEA, PCR<sub>3</sub>-SEB.S, PCR<sub>3</sub>-SEA.S and PCR<sub>3</sub>-TSST.
- 16. The therapeutic composition of Claim 1, wherein said isolated nucleic acid molecule encoding a cytokine comprises PCR<sub>3</sub>-GM<sub>3</sub>.
  - 17. The therapeutic composition of Claim 1, wherein said isolated nucleic acid molecule encoding a chemokine is selected from the group consisting of  $PCR_3$ -RANTES,  $PCR_3$ -MIP1 $\alpha$  and  $PCR_3$ -MIP1 $\beta$ .
  - 18. The therapeutic composition of Claim 1, wherein said therapeutic composition further comprises a pharmaceutically acceptable carrier selected from the group consisting of an aqueous physiologically balanced solution, an artificial lipid-containing substrate, a natural lipid-

20

25

PCT/US96/07432

10

containing substrate, an oil, an ester, a glycol, a virus and a metal particle.

- 19. The therapeutic composition of Claim 18, wherein said pharmaceutically acceptable carrier is selected from the group consisting of liposomes and an aqueous physiologically balanced solution.
- 20. The therapeutic composition of Claim 1, wherein said therapeutic composition further comprises an immunogen selected from the group consisting of a pathogen, an allergen, tumor antigens and self-antigens.
- 21. The therapeutic composition of Claim 1, wherein said therapeutic composition further comprises an immunogen comprising a peptide derived from Mycobacterium tuberculosis.
- isolated nucleic acid molecule encoding a superantigen; and
  (b) a second isolated nucleic acid molecule selected from
  the group consisting of a nucleic acid molecule encoding a
  cytokine, a nucleic acid molecule encoding a chemokine,
  wherein said isolated nucleic acid molecules are
  operatively linked to one or more transcription control
  sequences.
  - 23. The molecule of Claim 22, wherein said recombinant molecule contains a transcription control sequence selected from the group consisting of RSV control sequences, CMV control sequences, retroviral LTR control

-108-

sequences, SV-40 control sequences and  $\beta$ -actin control sequences.

- 24. The molecule of Claim 22, wherein said recombinant molecule is dicistronic and comprises an IRES.
- 25. The molecule of Claim 22, wherein said first nucleic acid molecule and said second nucleic acid molecule are separated by an IRES.
- 26. The molecule of Claim 22, wherein said first nucleic acid molecule encodes a superantigen selected from the group consisting of SEA, SEB, SEC<sub>1</sub>, SEC<sub>2</sub>, SEC<sub>3</sub>, SED, SEE and TSST.
- 27. The molecule of Claim 22, wherein said second nucleic acid molecule encodes a protein selected from the group consisting of macrophage colony stimulating factor, macrophage colony stimulating factor, tumor necrosis factor α, interleukin-1, interleukin-2, interleukin-4, interleukin-6, interleukin-12, interleukin-15, C5a, IL-8, MIP1α, MIP1β, MCP-1, MCP-3, PAFR, FMLPR, LTB<sub>4</sub>R, GRP, RANTES, eotaxin, lymphotactin, IP10, I-309, ENA78, GCP-2, NAP-2 and MGSA/gro.
- 28. A recombinant molecule comprising: (a) a first isolated nucleic acid molecule encoding a first superantigen; and (b) a second isolated nucleic acid molecule encoding a second superantigen, wherein said isolated nucleic acid molecules are operatively linked to one or more transcription control sequences.

5

10

15

20

-109-

- 29. The molecule of Claim 28, wherein said recombinant molecule contains a transcription control sequence selected from the group consisting of RSV control sequences, CMV control sequences, retroviral LTR control sequences, SV-40 control sequences and  $\beta$ -actin control sequences.
- 30. The molecule of Claim 28, wherein said recombinant molecule is dicistronic and comprises an IRES.
- 31. The molecule of Claim 28, wherein said first

  10 nucleic acid molecule and said second nucleic acid molecule

  are separated by an IRES.
  - 32. The molecule of Claim 28, wherein said first nucleic acid molecule encodes a superantigen selected from the group consisting of SEA, SEB, SEC<sub>1</sub>, SEC<sub>2</sub>, SEC<sub>3</sub>, SED, SEE and TSST.
  - 33. A therapeutic composition comprising a delivery vehicle carrying: (a) a first isolated nucleic acid molecule encoding a superantigen; and (b) a second isolated nucleic acid molecule selected from the group consisting of a nucleic acid molecule encoding a cytokine, a nucleic acid molecule encoding a chemokine and mixtures thereof, wherein said isolated nucleic acid molecules are operatively linked to one or more transcription control sequences.
- 34. The therapeutic composition of Claim 33, wherein said delivery vehicle comprises a liposome.
  - 35. The therapeutic composition of Claim 33, wherein said first nucleic acid molecule encodes a superantique

5

15

selected from the group consisting of SEA, SEB, SEC<sub>1</sub>, SEC<sub>2</sub>, SEC<sub>3</sub>, SED, SEE and TSST.

- 36. The therapeutic composition of Claim 33, wherein said second nucleic acid molecule encodes a protein selected from the group consisting of macrophage colony stimulating factor, macrophage colony stimulating factor, tumor necrosis factor α, interleukin-1, interleukin-2, interleukin-4, interleukin-6, interleukin-12, interleukin-15, C5a, IL-8, MIP1α, MIP1β, MCP-1, MCP-3, PAFR, FMLPR, LTB<sub>4</sub>R, GRP, RANTES, eotaxin, lymphotactin, IP10, I-309, ENA78, GCP-2, NAP-2 and MGSA/gro.
- 37. The therapeutic composition of Claim 33, wherein said delivery vehicle further carries a nucleic acid molecule encoding an immunogen.
- 38. The therapeutic composition of Claim 33, wherein said delivery vehicle further carries a peptide derived from Mycobacterium tuberculosis.
  - 39. A therapeutic composition comprising a delivery vehicle carrying an isolated nucleic acid molecule encoding a superantigen, wherein said isolated nucleic acid molecules are operatively linked to one or more transcription control sequences.
    - 40. The therapeutic composition of Claim 39, wherein said delivery vehicle comprises a liposome.
- 25 41. The therapeutic composition of Claim 39, wherein said nucleic acid molecule encodes a superantigen selected

10

from the group consisting of SEA, SEB, SEC<sub>1</sub>, SEC<sub>2</sub>, SEC<sub>3</sub>, SED, SEE and TSST.

- 42. The therapeutic composition of Claim 39, wherein said delivery vehicle further carries a nucleic acid molecule encoding a protein selected from the group consisting of a cytokine, a chemokine and an immunogen.
- 43. The therapeutic composition of Claim 39, wherein said delivery vehicle further carries a nucleic acid molecule encoding a protein selected from the group consisting of macrophage colony stimulating factor, macrophage colony stimulating factor, tumor necrosis factor α, interleukin-1, interleukin-2, interleukin-4, interleukin-6, interleukin-12, interleukin-15, C5a, IL-8, MIP1α, MIP1β, MCP-1, MCP-3, PAFR, FMLPR, LTB, R, GRP, RANTES, ectaxin, lymphotactin, IP10, I-309, ENA78, GCP-2, NAP-2 and MGSA/gro.
  - 44. The therapeutic composition of Claim 39, wherein said delivery vehicle further carries a peotide derived from Mycobacterium tuberculosis.
- 45. An adjuvant composition, comprising an immunogen and an isolated nucleic acid molecule encoding a superantigen, wherein said isolated nucleic acid molecule is operatively linked to one or more transcription control sequences.
- 25 46. The adjuvant composition of Claim 45, wherein said adjuvant composition further comprises a nucleic acid

molecule encoding a protein selected from the group consisting of a cytokine, a chemokine and mixtures thereof.

- 47. The adjuvant composition of Claim 45, wherein said immunogen comprises a compound selected from the group consisting of a nucleic acid molecule and a peptide.
- 48. The adjuvant composition of Claim 45, wherein said adjuvant composition comprises up to about 50% of a nucleic acid molecule encoding an immunogen and up to about 50% of a nucleic acid molecule encoding a superantigen.
- 49. The adjuvant composition of Claim 45, wherein said adjuvant composition comprises up to about 66% of a nucleic acid molecule encoding an immunogen and up to about 33% of a nucleic acid molecule encoding a superantigen.
- 50. The adjuvant composition of Claim 45, wherein said adjuvant composition comprises up to about 50% of a nucleic acid molecule encoding an immunogen, up to about 25% of a nucleic acid molecule encoding a superantigen and up to about 25% of a nucleic acid molecule selected from the group consisting of a nucleic acid molecule encoding a cytokine, a nucleic acid molecule encoding a chemokine and mixtures thereof.
- 51. The adjuvant composition of Claim 45, wherein said nucleic acid molecules comprise naked DNA.
- 52. The adjuvant composition of Claim 45, wherein said composition further comprises a peptide derived from Mycobacterium tuberculosis.

5

10

15

-113-

- 53. A method for increasing effector cell immunity in an animal, said method comprising administering to an animal an effective amount of an adjuvant composition comprising an immunogen and an isolated nucleic acid molecule encoding a superantigen.
- 54. The method of Claim 53, wherein said adjuvant composition further comprises a nucleic acid molecule encoding a protein selected from the group consisting of a cytokine, a chemokine and mixtures thereof.
- 10 55. The method of Claim 53, wherein said adjuvant composition further comprises a pharmaceutically acceptable carrier.
  - 56. The method of Claim 53, wherein said step of administering comprises injecting said adjuvant composition by a route selected from the group consisting of intravenous, intraperitoneally, intramuscularly, intraarterially and directly into a specific tissue site.
  - 57. The method of Claim 53, wherein said animal is a mammal.
- 58. The method of Claim 53, wherein said animal is selected from the from the group consisting of humans, horses, dogs, cats and cattle.
  - 59. A method to treat an animal with cancer, said method comprising administering to an animal an effective amount of a therapeutic composition comprising: (a) an isolated nucleic acid molecule encoding a superantigen; and (b) an isolated nucleic acid molecule selected from the

5

15

-114-

group consisting of a nucleic acid molecule encoding a cytokine, a nucleic acid molecule encoding a chemokine, and mixtures thereof, wherein said nucleic acid molecules are operatively linked to one or more transcription control sequences.

- 60. The method of Claim 59, wherein said therapeutic composition further comprises a pharmaceutically acceptable carrier selected from the group consisting of an aqueous physiologically balanced solution, an artificial lipid-containing substrate, a natural lipid-containing substrate, an oil, an ester, a glycol, a virus and a metal particle.
- 61. The method of Claim 59, wherein said pharmaceutically acceptable carrier is selected from the group consisting of liposomes, micelles, cells and cellular membranes.
- 62. The method of Claim 59, wherein said pharmaceutically acceptable carrier comprises a liposome.
- 63. The method of Claim 59, wherein said pharmaceutically acceptable carrier comprises a liposome that includes a compound capable of specifically targeting said liposome to a tumor cell.
- 64. The method of Claim 63, wherein said compound is a tumor cell ligand.
- 65. The method of Claim 59, wherein said therapeutic composition is targeted to the site of a cancer in said animal by administering said therapeutic composition locally within the area of a cancer cell.

5

10

15

10

15

- The method of Claim 59, wherein said cancer is 66. selected from the group consisting of melanomas, squamous cell carcinoma, breast cancers, head and neck carcinomas, thyroid carcinomas, soft tissue sarcomas, bone sarcomas, testicular cancers, prostatic cancers, ovarian cancers, skin cancers, brain cancers, bladder angiosarcomas, hemangiosarcomas, mast cell tumors, primary lung cancers, pancreatic cancers, hepatic cell carcinomas, renal gastrointestinal cancers, hematopoietic neoplasias, leukemias and lymphomas.
- 67. A method to treat an animal with cancer, said method comprising introducing into a tumor cell in vivo an effective amount of a therapeutic composition comprising an isolated nucleic acid molecule encoding a superantigen, wherein said nucleic acid molecules are operatively linked to one or more transcription control sequences.
- 68. The method of Claim 67, wherein said isolated nucleic acid molecule encoding a superantigen comprises a recombinant molecule selected from the group consisting of PCR<sub>3</sub>-SEB, PCR<sub>3</sub>-SEA, PCR<sub>3</sub>-SEB.S, PCR<sub>3</sub>-SEA.S and PCR<sub>3</sub>-TSST.
- 69. The method of Claim 67, wherein said therapeutic composition further comprises a pharmaceutically acceptable carrier comprising a liposome.
- 70. The method of Claim 67, wherein said therapeutic composition further comprises a pharmaceutically acceptable carrier comprising a liposome that includes a compound

PCT/US96/07432

capable of specifically targeting said liposome to a tumor cell.

- 71. The method of Claim 70, wherein said compound is a tumor cell ligand.
- 72. The method of Claim 67, wherein said therapeutic composition is targeted to the site of a cancer in said animal by administering said therapeutic composition locally within the area of a cancer cell.
- 73. The method of Claim 67, wherein said therapeutic composition is administered to said animal at a site comprising a lymph node.
  - 74. A method to treat an animal with cancer, said method comprising introducing into a non-tumor cell an effective amount of a therapeutic composition comprising an isolated nucleic acid molecule encoding a superantigen, wherein said nucleic acid molecules are operatively linked to one or more transcription control sequences.
  - 75. The method of Claim 74, wherein said step of administration is performed in vivo.
- 76. The method of Claim 74, wherein said isolated nucleic acid molecule encoding a superantigen comprises a recombinant molecule selected from the group consisting of PCR3-SEB, PCR3-SEA, PCR3-SEB.S, PCR3-SEA.S and PCR3-TSST.
- 77. The method of Claim 74, wherein said therapeutic composition comprises a pharmaceutically acceptable carrier comprising a liposome.

- 78. The method of Claim 74, wherein said therapeutic composition is targeted to the site of a cancer in said animal by administering said therapeutic composition locally within the area of a cancer cell.
- 79. The method of Claim 74, wherein said therapeutic composition is administered to said animal at a site comprising a lymph node.
- method comprising administering to an animal an effective amount of a therapeutic composition comprising: (a) an isolated nucleic acid molecule encoding a superantigen; and (b) an isolated nucleic acid molecule encoding a cytokine, wherein said nucleic acid molecules are operatively linked to one or more transcription control sequences, and wherein said therapeutic composition is targeted to the site of a cancer in said animal to treat said cancer.
  - 81. The method of Claim 80, wherein said superantigen is selected from the group consisting of staphylococcal enterotoxins, retroviral antigens, streptococcal antigens, mycoplasma antigens, mycobacteria antigens, viral antigens and protozoan antigens.
  - 82. The method of Claim 80, wherein said superantigen comprises staphylococcal enterotoxins.
- 83. The method of Claim 80, wherein said superantigen 25 is selected from the group consisting of SEA, SEB, SEC, SEC, SEC, SED, SEE and TSST.

5

10

15

- 84. The method of Claim 80, wherein said superantigen is derived from a virus selected from the group consisting of mouse mammary tumor virus, rabies virus and herpes virus.
- 85. The method of Claim 80, wherein said cytokine is selected from the group consisting of hematopoietic growth factors, interleukins, interferons, immunoglobulin superfamily molecules, tumor necrosis factor family molecules and chemokines.
- 86. The method of Claim 80, wherein said cytokine is selected from the group consisting of granulocyte macrophage colony stimulating factor, macrophage colony stimulating factor, tumor necrosis factor α, interleukin-1, interleukin-6 and interleukin-12.
- 87. The method of Claim 80, wherein said cytokine is selected from the group consisting of granulocyte macrophage colony stimulating factor and tumor necrosis factor α.
- 88. The method of Claim 80, wherein said cytokine comprises granulocyte macrophage colony stimulating factor.
  - 89. The method of Claim 80, wherein said isolated nucleic acid molecules are operatively linked to one or more transcription control sequences capable of being expressed in a mammalian cell.
- 25 90. The method of Claim 80, wherein said transcription control sequences are selected from the group consisting of RSV control sequences, CMV control sequences,

retroviral LTR sequences, SV-40 control sequences and  $\beta$ -actin control sequences.

- 91. The method of Claim 80, wherein said isolated nucleic acid molecule comprises a recombinant molecule selected from the group consisting of PCR<sub>3</sub>-SEB, PCR<sub>3</sub>-SEA, PCR<sub>3</sub>-SEB.S, PCR<sub>3</sub>-SEA.S, PCR<sub>3</sub>-TSST and PCR<sub>3</sub>-GM<sub>3</sub>.
- 92. The method of Claim 80, wherein said therapeutic composition further comprises a pharmaceutically acceptable carrier selected from the group consisting of an aqueous physiologically balanced solution, an artificial lipid-containing substrate, a natural lipid-containing substrate, an oil, an ester, a glycol, a virus and a metal particle.
- 93. The method of Claim 92, wherein said pharmaceutically acceptable carrier is selected from the group consisting of liposomes, micelles, cells and cellular membranes.
- 94. The method of Claim 92, wherein said pharmaceutically acceptable carrier comprises a liposome.
- 95. The method of Claim 92, wherein said pharmaceutically acceptable carrier comprises a liposome that includes a compound capable of specifically targeting said liposome to a tumor cell.
  - 96. The method of Claim 95, wherein said compound is a tumor cell ligand.
- 25 97. The method of Claim 80, wherein said therapeutic composition is targeted to the site of a cancer in said

BNSDCCID: <WO 9836366A1\_IA

10

-120-

animal by administering said therapeutic composition locally within the area of a cancer cell.

- 98. The method of Claim 80, wherein said cancer is selected from the group consisting of melanomas, squamous cell carcinoma, breast cancers, head and neck carcinomas, thyroid carcinomas, soft tissue sarcomas, bone sarcomas, testicular cancers, prostatic cancers, ovarian cancers, brain bladder cancers, skin cancers, cancers, angiosarcomas, hemangiosarcomas, mast cell tumors, primary lung cancers, pancreatic cancers, hepatic cancers, cell carcinomas, gastrointestinal cancers, renal hematopoietic neoplasias, leukemias and lymphomas.
- 99. The method of Claim 80, wherein said cancer is selected from the group consisting of melanomas, lung cancers, thyroid carcinomas, breast cancers, renal cell carcinomas, squamous cell carcinomas, brain tumors and skin cancers.
- 100. The method of Claim 80, wherein said animal is selected from the group consisting of mammals and birds.
- 20 101. The method of Claim 80, wherein said animal is selected from the from the group consisting of humans, house pets, economic produce animals and zoo animals.
  - 102. The method of Claim 80, wherein said animal is selected from the from the group consisting of humans, dogs, cats, sheep, cattle, horses and pigs.
  - 103. A therapeutic composition comprising an isolated nucleic acid molecule encoding a superantigen and an

25

10

isolated nucleic acid molecule encoding a cytokine, wherein said isolated nucleic acid molecules are operatively linked to one or more transcription control sequences.

- 104. The composition of Claim 103, wherein said therapeutic composition further comprises a pharmaceutically acceptable carrier.
- 105. The composition of Claim 104, wherein said pharmaceutically acceptable carrier is selected from the group consisting of an aqueous physiologically balanced solution, an artificial lipid-containing substrate, a natural lipid-containing substrate, an oil, an ester, a glycol, a virus and metal particles.
- 106. The composition of Claim 104, wherein said pharmaceutically acceptable carrier comprises a delivery vehicle capable of delivering said nucleic acid molecules to a targeted site in an animal.
- 107. The composition of Claim 106, wherein said delivery vehicle is selected from the group consisting of liposomes, micelles, cells and cellular membranes.
- 20 108. The composition of Claim 106, wherein said delivery vehicle comprises a liposome.
  - 109. The composition of Claim 106, wherein said delivery vehicle comprises a tumor cell ligand.
- 110. The composition of Claim 103, wherein said
  25 superantigen is selected from the group consisting of
  staphylococcal enterotoxins, retroviral antigens,

5

10

10

15

20

streptococcal antigens, mycoplasma antigens, mycobacteria antigens, virus antigens and protozoan antigens.

- 111. The composition of Claim 103, wherein said superantigen comprises staphylococcal enterotoxins.
- 5 112. The composition of Claim 103, wherein said superantigen is selected from the group consisting of SEA, SEB, SEC, SEC, SEC, SED, SEE and TSST.
  - 113. The composition of Claim 103, wherein said superantigen is derived from a virus selected from the group consisting of mouse mammary tumor virus, rabies virus and herpes virus.
  - 114. The composition of Claim 103, wherein said cytokine is selected from the group consisting of hematopoietic growth factors, interleukins, interferons, immunoglobulin superfamily molecules, tumor necrosis factor family molecules and chemokines.
  - 115. The composition of Claim 103, wherein said cytokine is selected from the group consisting of granulocyte macrophage colony stimulating factor, macrophage colony stimulating factor, tumor necrosis factor  $\alpha$ , interleukin-1, interleukin-6 and interleukin-12.
  - 116. The composition of Claim 103, wherein said cytokine is granulocyte macrophage colony stimulating factor and tumor necrosis factor  $\alpha$ .
- 25 117. The composition of Claim 103, wherein said isolated nucleic acid molecules are operatively linked to

one or more transcription control sequences capable of being expressed in a mammalian cell.

- 118. The composition of Claim 103, wherein said transcription control sequences are selected from the group consisting of RSV control sequences, CMV control sequences, retroviral LTR control sequences, SV-40 control sequences and  $\beta$ -actin control sequences.
- 119. The composition of Claim 103, wherein said nucleic acid molecule comprises a recombinant molecule selected from the group consisting of PCR<sub>3</sub>-SEB, PCR<sub>3</sub>-SEA, PCR<sub>3</sub>-SEB.S, PCR<sub>3</sub>-SEA.S, PCR<sub>3</sub>-TSST and PCR<sub>3</sub>-GM<sub>3</sub>.
- 120. The composition of Claim 103, wherein said therapeutic composition is useful for treating a cancer selected from the group consisting of melanomas, squamous cell carcinoma, breast cancers, head and neck carcinomas, thyroid carcinomas, soft tissue sarcomas, bone sarcomas, testicular cancers, prostatic cancers, ovarian cancers, cancers, bladder skin cancers, brain angiosarcomas, hemangiosarcomas, mast cell tumors, primary hepatic cancers, lung cancers, pancreatic gastrointestinal cancers, renal cell carcinomas, hematopoietic neoplasias, leukemias and lymphomas.
- 121. The composition of Claim 103, wherein said therapeutic composition is useful for treating a cancer selected from the group consisting of melanomas, lung cancers, thyroid carcinomas, breast cancers, renal cell

5

10

15

20

PCT/US96/07432

10

15

20

carcinomas, squamous cell carcinomas, brain tumors and skin cancers.

- 122. A recombinant molecule comprising an isolated nucleic acid molecule encoding a superantigen and an isolated nucleic acid molecule encoding a cytokine, wherein said isolated nucleic acid molecules are operatively linked to one or more transcription control sequences.
- 123. The molecule of Claim 122, wherein said recombinant molecule is capable being expressed in a mammalian cell.
- 124. The molecule of Claim 122, wherein said recombinant molecule contains a transcription control sequence selected from the group consisting of RSV control sequences, CMV control sequences, retroviral LTR control sequences, SV-40 control sequences and  $\beta$ -actin control sequences.
- 125. The molecule of Claim 122, wherein said superantigen-encoding nucleic acid molecule encodes a toxin selected from the group consisting of staphylococcal enterotoxins, retroviral antigens, streptococcal antigens, mycoplasma antigens, mycoplasma antigens, mycobacteria antigens, virus antigens and protozoan antigens.
- 126. The molecule of Claim 122, wherein said superantigen-encoding nucleic acid molecule encodes a toxin selected from the group consisting of a SEA, SEB, SEC, SEC, SEC, SEC, SEE and TSST gene.

-125-

- 127. The molecule of Claim 122, wherein said superantigen-encoding nucleic acid molecule lacks a bacterial leader sequence.
- 128. The molecule of Claim 122, wherein said recombinant molecule is selected from the group consisting of PCR<sub>3</sub>-SEB, PCR<sub>3</sub>-SEA, PCR<sub>3</sub>-SEB.S, PCR<sub>3</sub>-SEA.S, PCR<sub>3</sub>-TSST and PCR<sub>3</sub>-GM<sub>3</sub>.
- vehicle carrying an isolated nucleic acid molecule encoding a superantigen and an isolated nucleic acid molecule encoding a cytokine, wherein said isolated nucleic acid molecules are operatively linked to one or more transcription control sequences.
- 130. The composition of Claim 129, wherein said
  15 delivery vehicle is selected from the group consisting of
  a liposome, a micelle, a cell and a cellular membrane.
  - 131. The composition of Claim 129, wherein said delivery vehicle comprises a liposome.
- 132. The composition of Claim 129, wherein said 20 delivery vehicle comprises DOTMA and DOPE.
  - 133. The composition of Claim 132, wherein said compound is a tumor cell ligand.
- 134. A method for increasing effector cell immunity in an animal, said method comprising administering to an animal an effective amount of a therapeutic composition comprising:

5

-126-

- a) an isolated nucleic acid molecule encoding
   a superantigen; and
- b) an isolated nucleic acid molecule encoding
   a cytokine,
- wherein said isolated nucleic acid molecules are operatively linked to one or more transcription control sequences, and wherein said therapeutic composition is targeted to a site in said animal that contains an abnormal cell.
- 135. The method of Claim 134, wherein said abnormal cell is selected from the group consisting of a cancer cell, a cell infected with an infectious agent and a non-cancerous cell having abnormal proliferative growth.
- 136. The method of Claim 134, wherein said abnormal cell is a cancer cell.
  - 137. The method of Claim 134, wherein said site is a tumor.
- 138. A method to suppress T cell activity in an animal, said method comprising administering to an animal 20 an effective amount of a therapeutic composition comprising:
  - a) a naked isolated nucleic acid molecule encoding a superantigen; and
  - b) a pharmaceutically acceptable carrier,
- wherein said isolated nucleic acid molecule is operatively linked to a traynscription control sequence, and wherein said therapeutic composition is targeted to a

-127-

site in said animal that contains excessive T cell activity.

- 139. The method of Claim 138, wherein said composition is capable of suppressing said T cell activity for at least about 6 weeks in said animal.
- 140. The method of Claim 138, wherein said composition is capable of suppressing said T cell activity for at least about 8 weeks in said animal.
- 141. The method of Claim 138, wherein said composition
  10 is capable of suppressing said T cell activity for about 10
  weeks in said animal.
  - 142. The method of Claim 138, wherein said carrier comprises an aqueous physiologically balanced solution.

BNSDOCID: <WO\_\_\_\_\_9636368A1\_IA>

# 3 Different Bacterial Superantigens (SEB, SEA, TSST) Can Be Expressed in Eukaryotic Cells

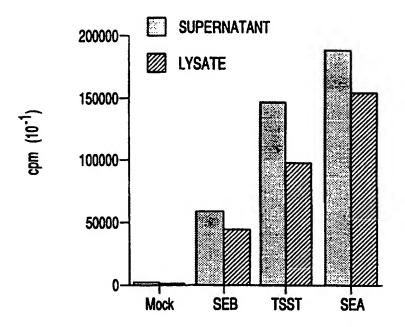


Fig. 1

# Proliferative Response of Canine PBMC to SEB Transfected Canine Melanoma Cells (MM4)

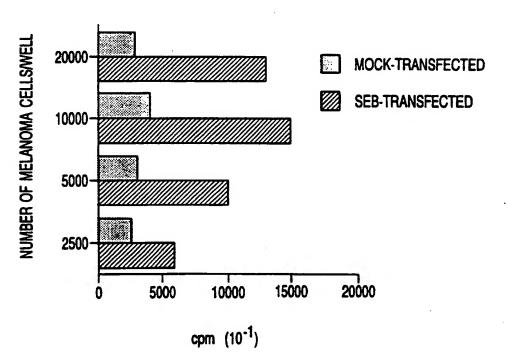


Fig. 2

#### SEB is Released from Stably Transfected CHO Cells

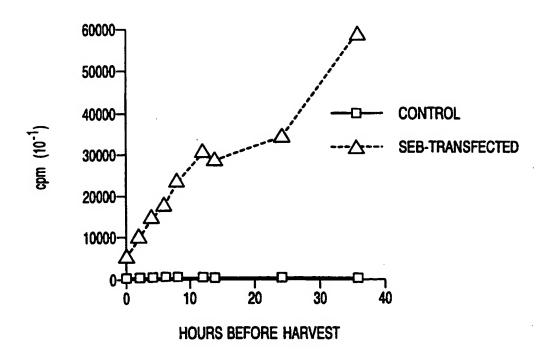


Fig. 3A

### SEA is Released from Stably Transfected CHO Cells

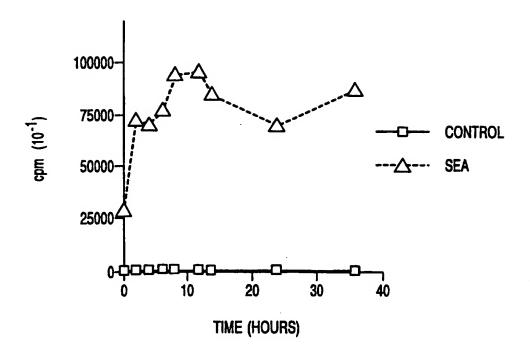


Fig. 3B

#### Proliferative Response of Vb3+ T Cell Clone (AD10) to SEA Transfected B16 Melanoma Cells

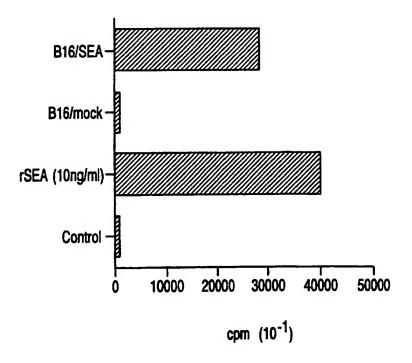


Fig. 4

6/14

## Canine GM-CSF Activity in Supernatants From Transfected CHO Cells

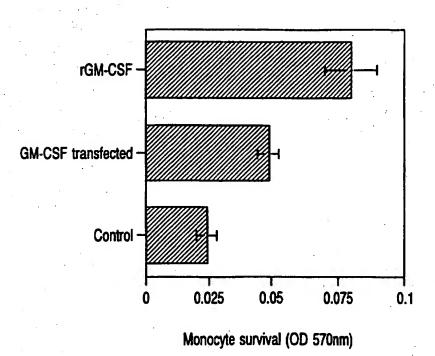


Fig. 5

## Vaccination with SEB Transfected Tumor Cells Generates Potent CTL Activity

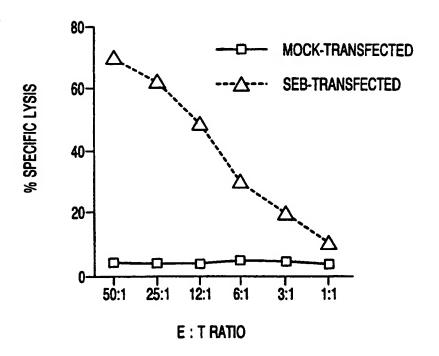


Fig. 6A

### Vaccination with SEA Transfected Tumor Cells Generates Potent CTL Activity

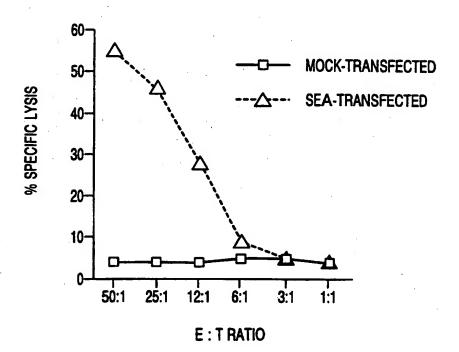


Fig. 6B

### Effect of Tumor Target Transfection on CTL Lysis

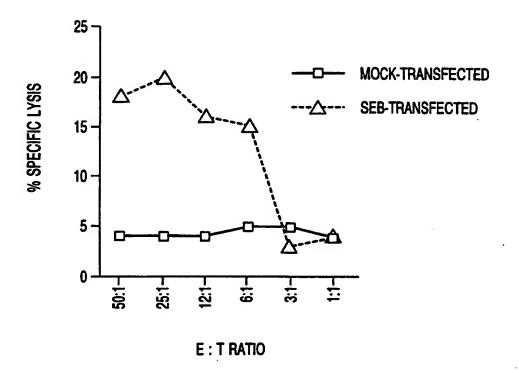


Fig. 7

### Response of VB3+ T Cells to IM SEA/DNA Injection

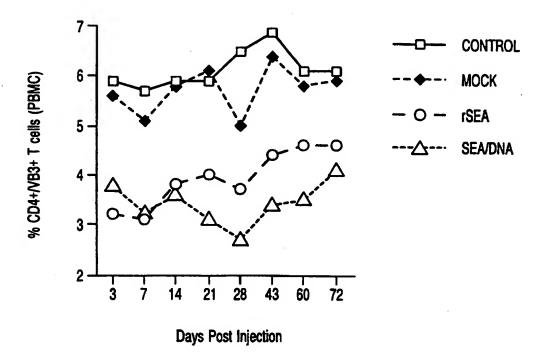


Fig. 8

11/14

#### Antibody Response to Single OVA DNA Injection (day 20)

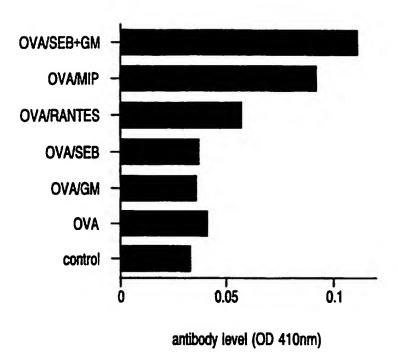


Fig. 9

#### **SUBSTITUTE SHEET (RULE 26)**

#### Interferon Gamma Release after Stimulation with EG7-OVA

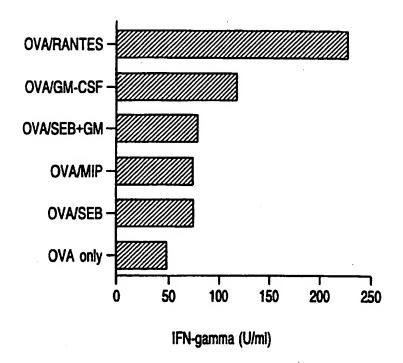


Fig. 10

SUBSTITUTE SHEET (RULE 26)

### Proliferative Response to EG7-OVA

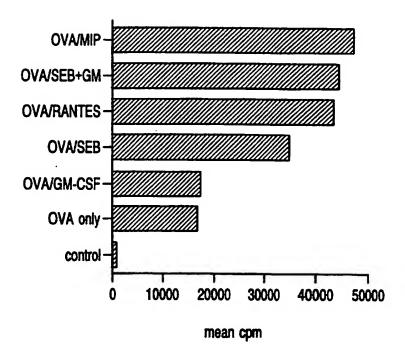


Fig. 11

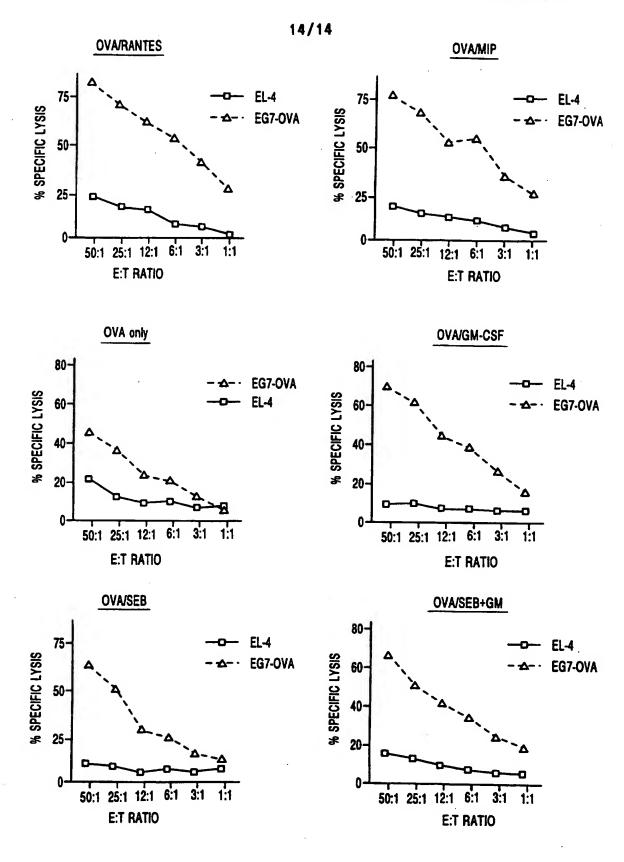


Fig. 12

**SUBSTITUTE SHEET (RULE 26)** 

#### INTERNATIONAL SEARCH REPORT

International application No. PCT/US96/07432

C. DOCUMENTS CONSIDERED TO BE RELEVAN	r		
Category* Citation of document, with indication, when	e appropriate, of the relevant passages Relevant to claim No.		
Y WO 95/00178 A1 (BOARD OF I OF TEXAS SYSTEM) 05 January	REGENTS, THE UNIVERSITY 1-142		
Y DONNELLY et al. Protective Immunization with Naked DNA. Vol.772, pages 40-46, see entire	Ann. N.Y. Acad. Sci. 1995,		
Y BLACKMAN et al. In Vivo Efformation Sciences. 1995, Vol.57, No. entire document.			
Y MIETHKE et al. Superantigen N Release Syndrome. Immunobi 270-284, see entire document.	Mediated Shock: A Cytokine 1-142 iol. 1993, Vol.189, pages		
X Further documents are listed in the continuation of Box C. See patent family annex.			
Special estegories of cited documents:  "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the investion.			
"E" earlier document published on or after the international filing date  "L" docume. which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)  "X"  document of particular relevance; the claimed invention cannot when the document is taken alone  document of particular relevance; the claimed invention cannot special reason (as specified)  "Y"			
"O" document referring to an oral disclosure, use, exhibition or other means.	nt referring to an oral disclosure, use, exhibition or other combined with one or more other such documents, such combination being obvious to a person skilled in the art		
bate of the actual completion of the international search  Date of the actual completion of the international search  Date of the actual completion of the international search  Date of mailing of the international search  23 AUG 1996			
25 JULY 1996  Name and mailing address of the ISA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231  Facsimile No. (703) 305-3230	Authorized officer  D. CURTIS HOGUE, JR.  Telephone No. (703) 308-0196		

Form PCT/ISA/210 (second sheet)(July 1992)\*

#### INTERNATIONAL SEARCH REPORT

International application No.
PCT/US96/07432

Category*	Citation of document, with indication	n, where appropriate, of the relevant passages	Relevant to claim No
•	MIETHKE et al. Superantigens: The Paradox of T-Cell Activation versus Inactivation. Int. Arch. Allergy Immunol. 1995, Vol.106, pages 3-7, see entire document.		1-142
•	LIU et al. Overview of DNA 1995, Vol.772, pages 15-20,	1-142	
	•		
	*		
			·
	·		
		•	
	,		

Form PCT/ISA/210 (continuation of second sheet)(July 1992)+

THIS PAGE BLANK (USPTO)